

# Biased random-key genetic algorithms with applications to optimization problems in telecommunications

Plenary talk given at Optimization 2011  
Lisbon, Portugal ♣ July 26, 2011

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# Summary

- Biased random-key genetic algorithms
- Applications in telecommunications
  - Routing in IP networks
  - Design of survivable IP networks with composite links
  - Redundant server location for content distribution
  - Regenerator location
  - Routing & wavelength assignment in optical networks
- Concluding remarks

# Reference



M.G.C.R., “Biased random-key genetic algorithms with applications in telecommunications,” TOP, published online 23 March 2011.

Tech report version:

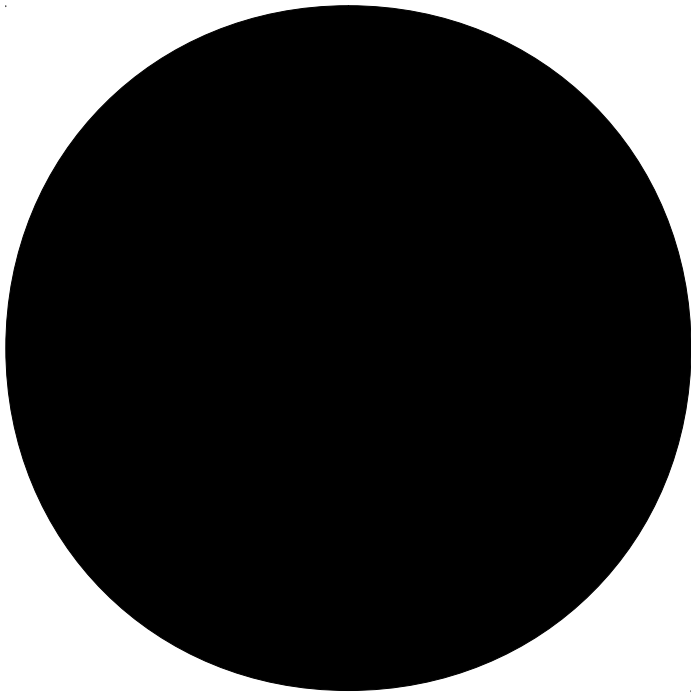
<http://www2.research.att.com/~mgcr/doc/brkga-telecom.pdf>

# Biased random-key genetic algorithms

# Genetic algorithms

Holland (1975)

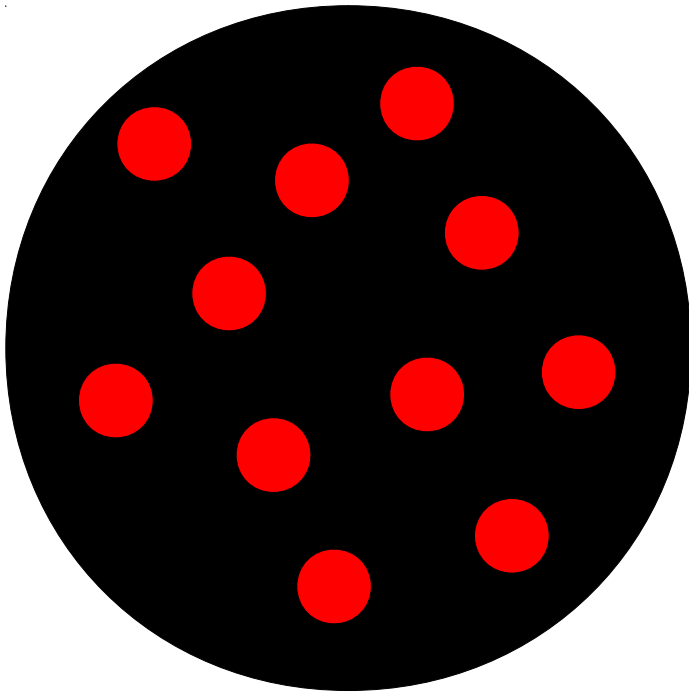
Adaptive methods that are used to solve search and optimization problems.



Individual: solution



# Genetic algorithms

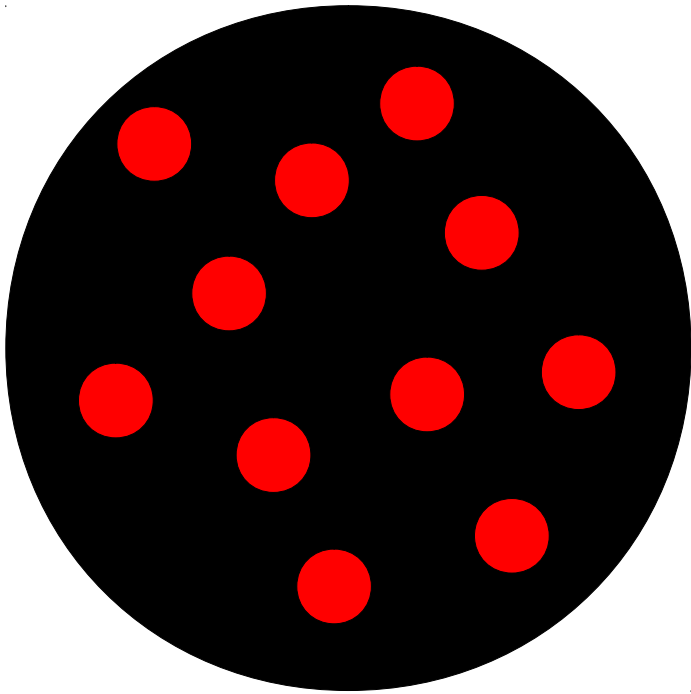


Individual: solution

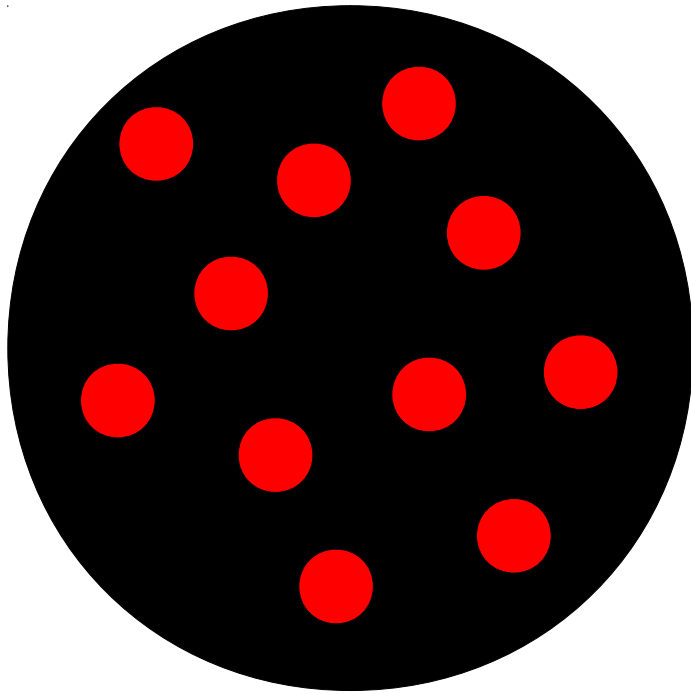
Population: set of fixed number of individuals

# Genetic algorithms

Genetic algorithms evolve population applying the principle of survival of the fittest.



# Genetic algorithms

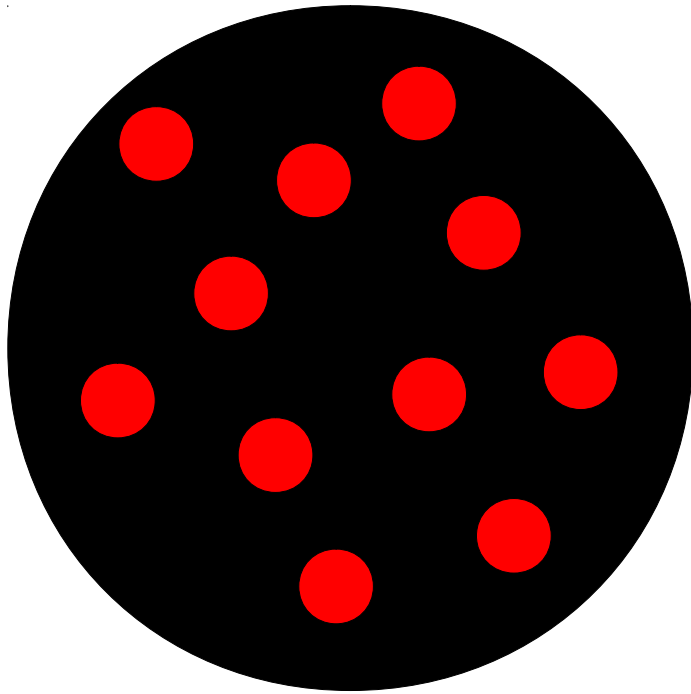


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A series of generations are produced by the algorithm. The most fit individual of last generation is the solution.



# Genetic algorithms

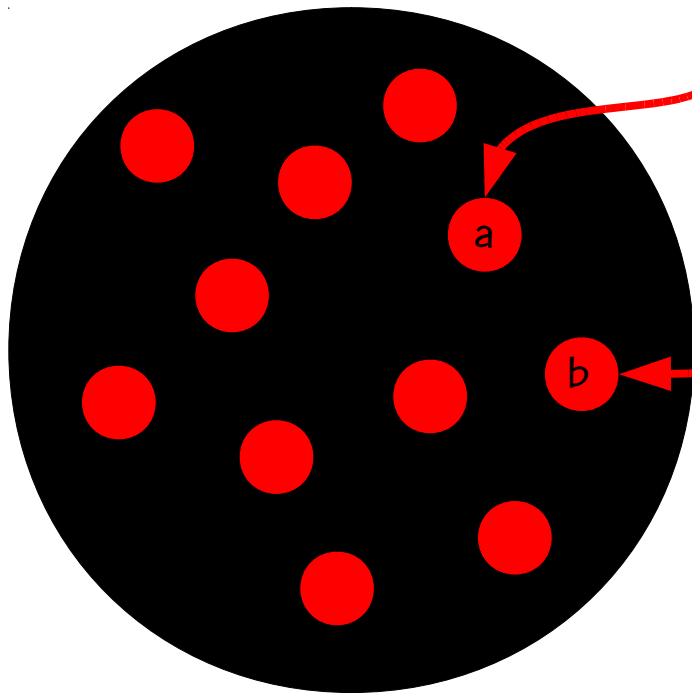


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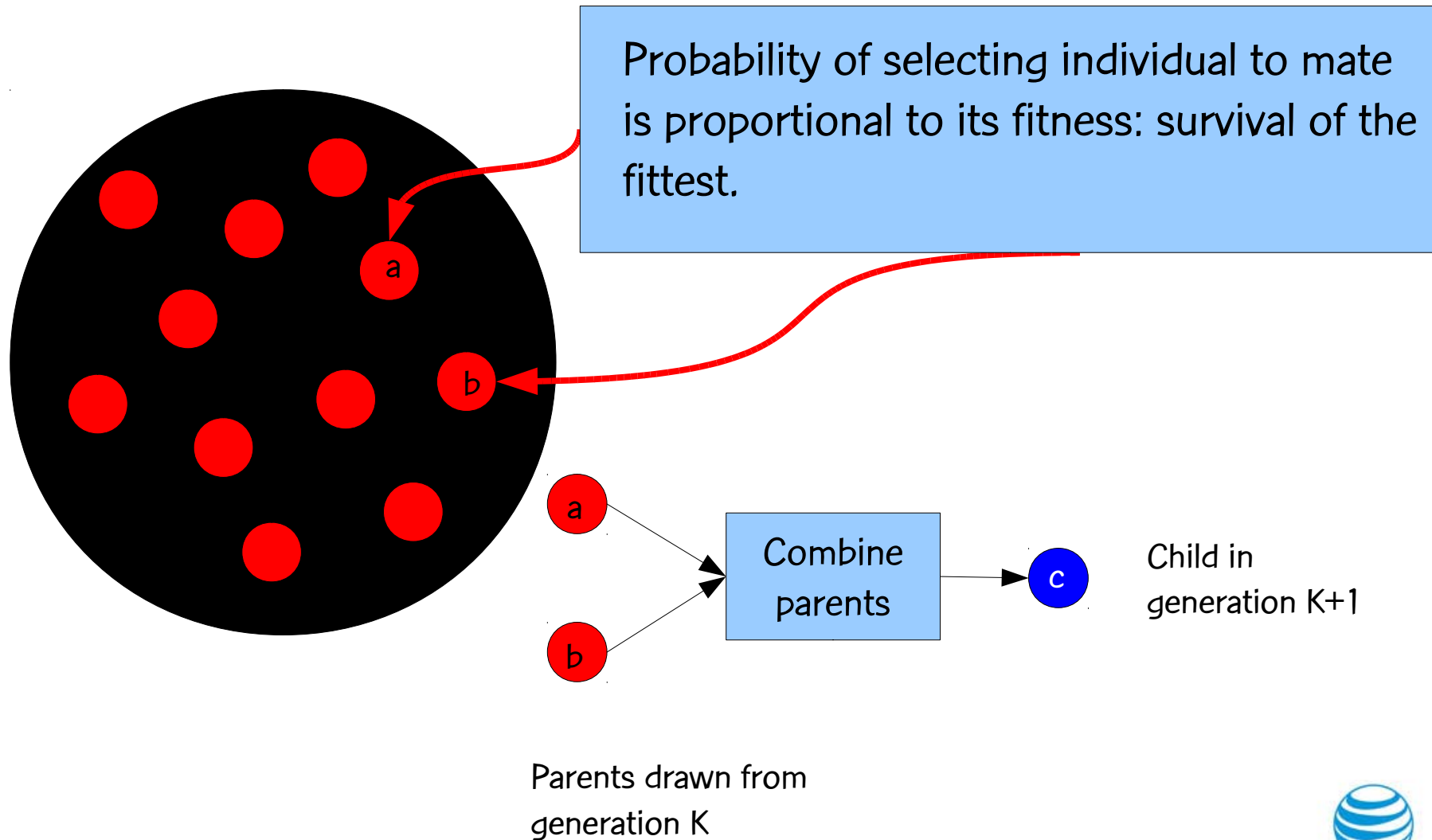
Individuals from one generation are combined to produce offspring that make up next generation.

# Genetic algorithms

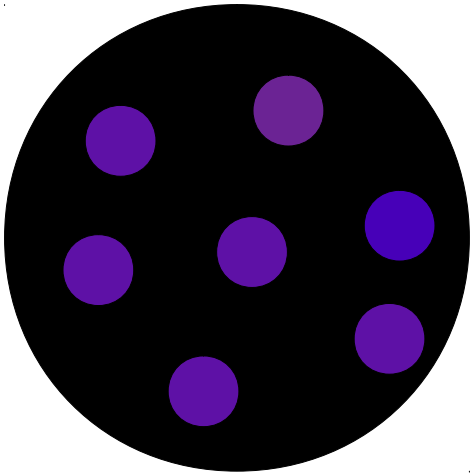


Probability of selecting individual to mate is proportional to its fitness: survival of the fittest.

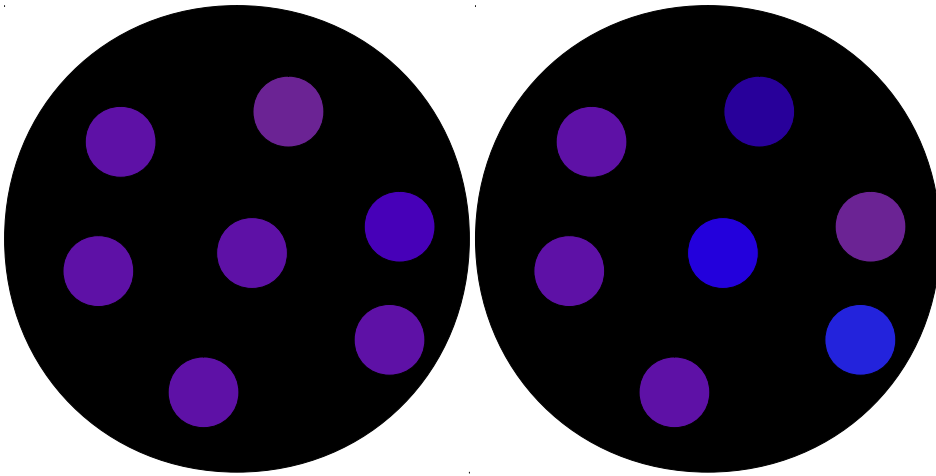
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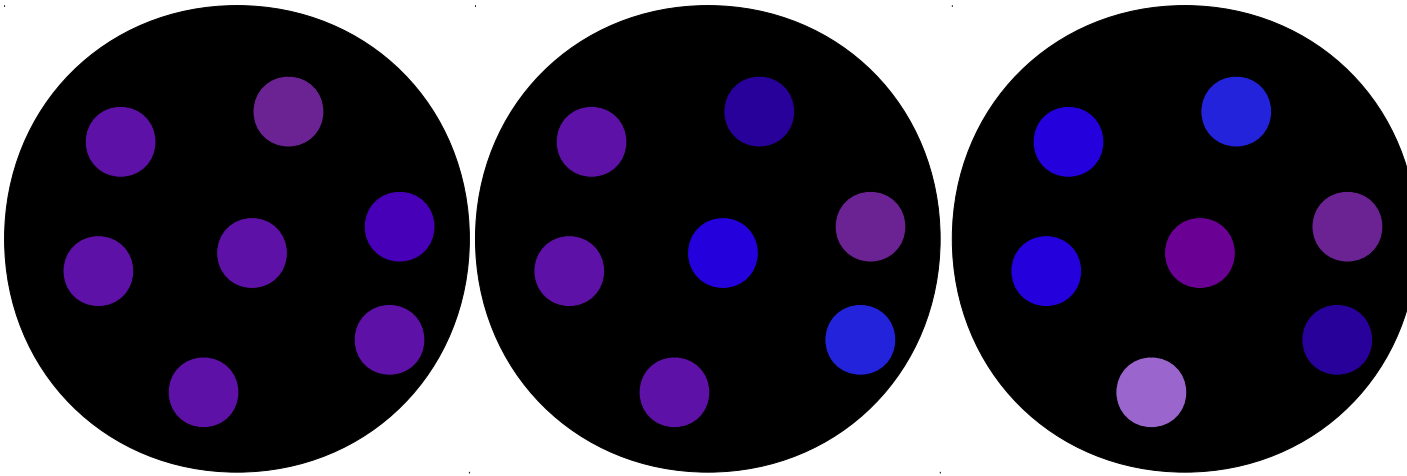
# Evolution of solutions



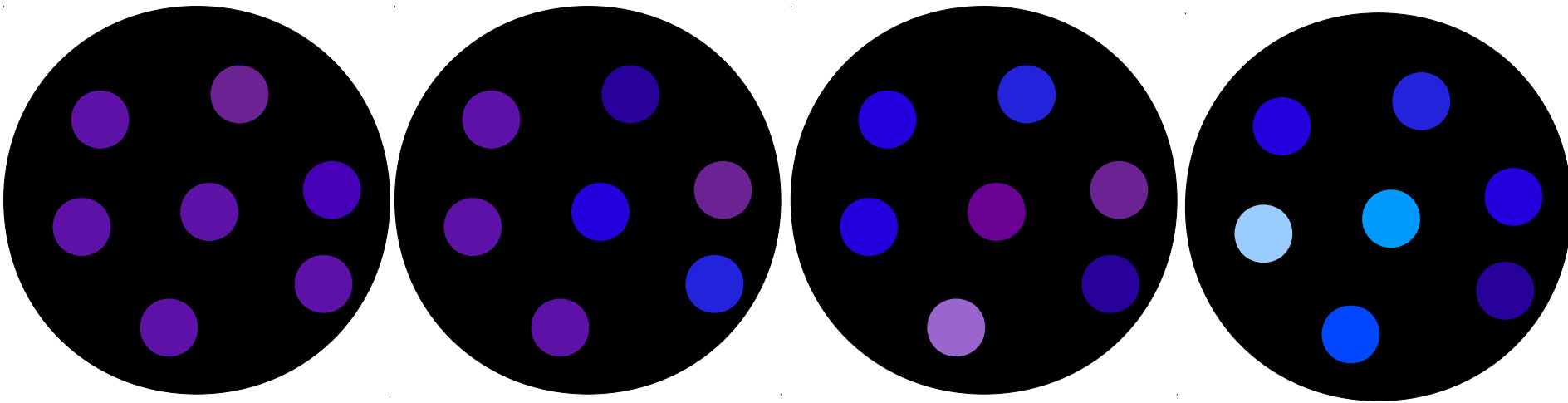
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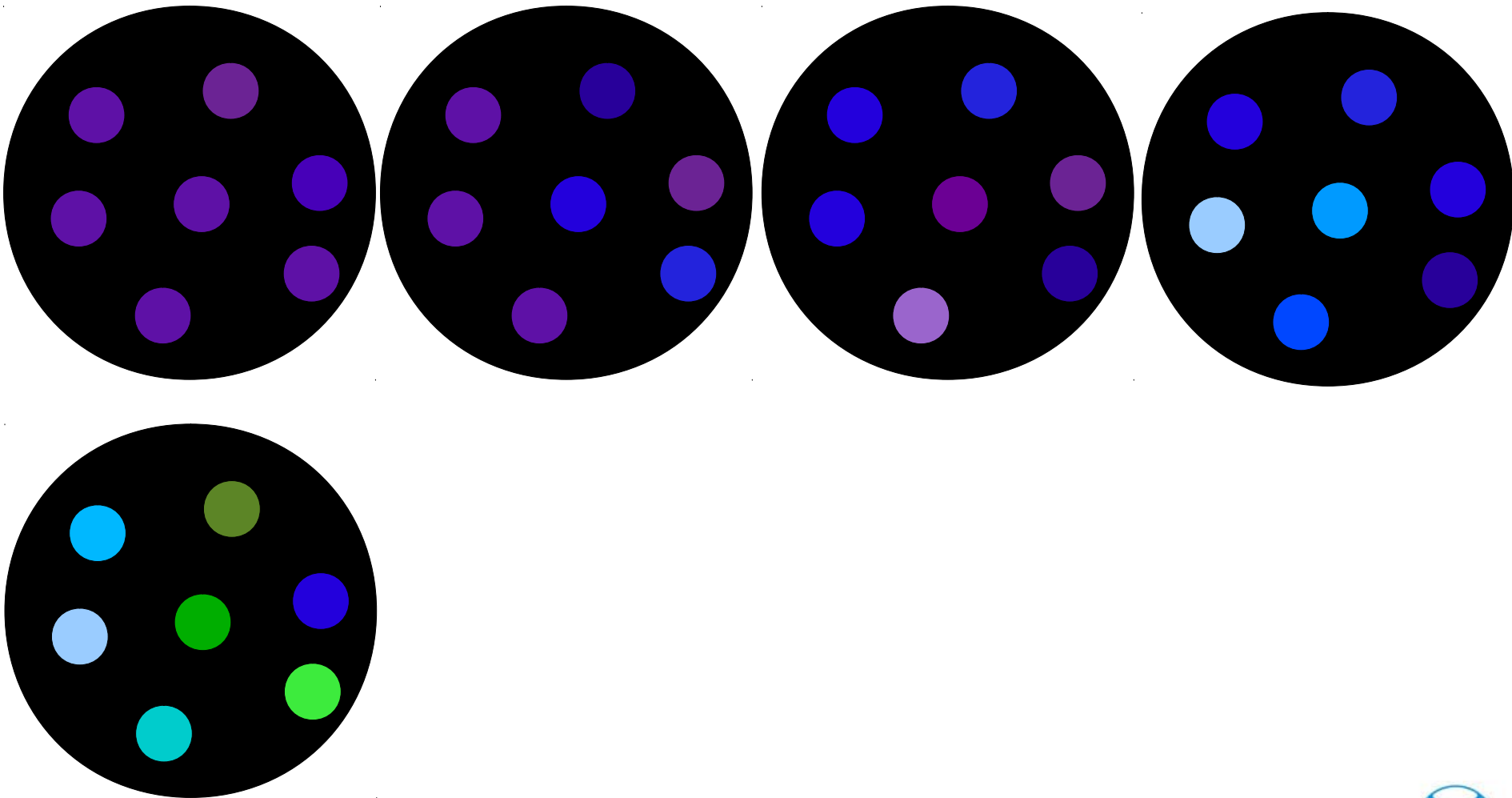
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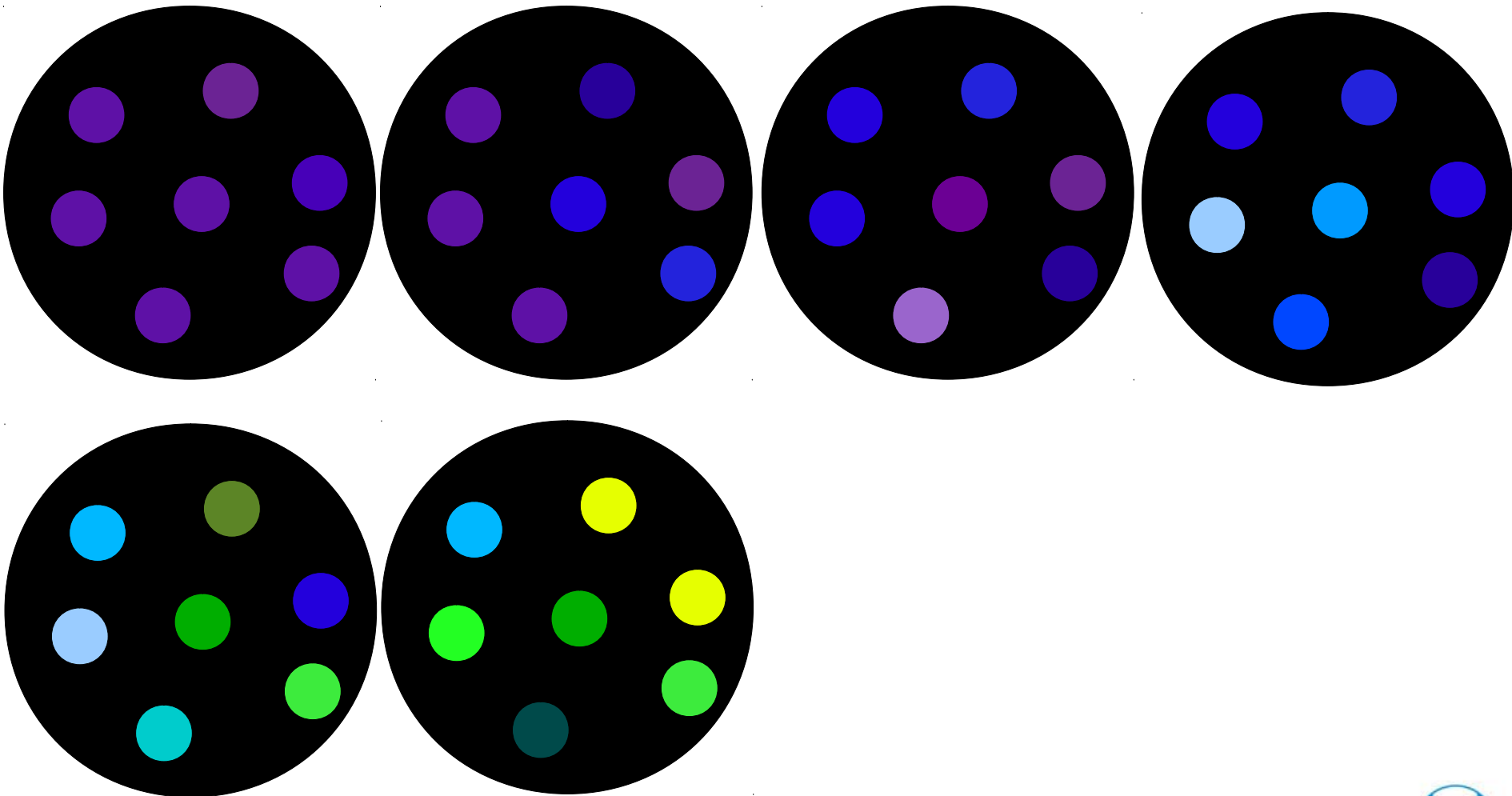


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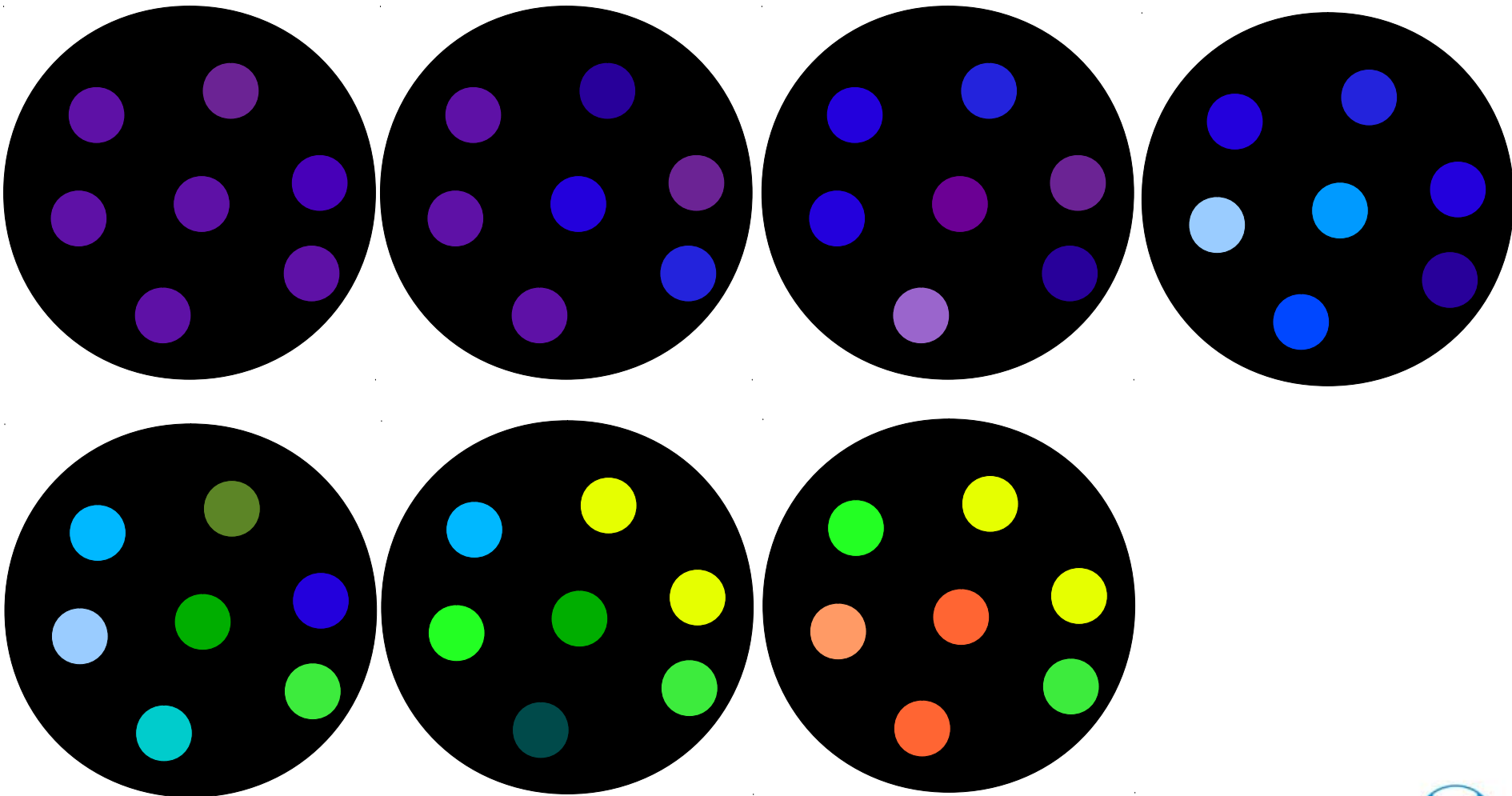




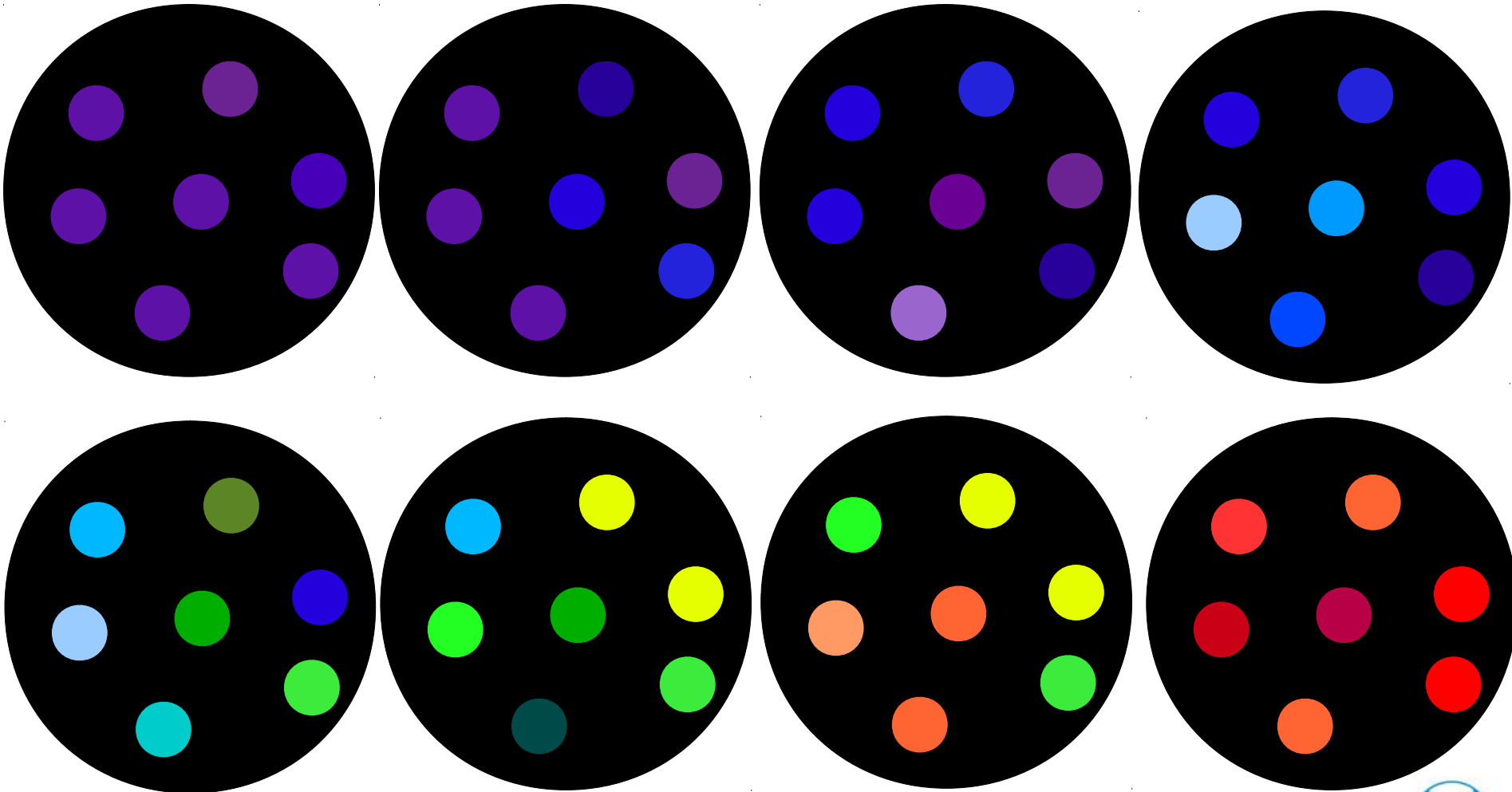
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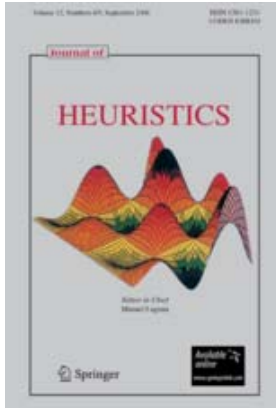


# Evolution of solutions



# Genetic algorithms with random keys

# Reference



J.F. Gonçalves and M.G.C.R., “**Biased random-key genetic algorithms for combinatorial optimization,**” J. of Heuristics, published online 31 August 2010.

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# GAs and random keys

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- Sorting random keys results in a sequencing order.

$$S = ( \begin{matrix} 0.25 & 0.19 & 0.67 & 0.05 & 0.89 \end{matrix} ) \\ \begin{matrix} s(1) & s(2) & s(3) & s(4) & s(5) \end{matrix}$$

$$S' = ( \begin{matrix} 0.05 & 0.19 & 0.25 & 0.67 & 0.89 \end{matrix} ) \\ \begin{matrix} s(4) & s(2) & s(1) & s(3) & s(5) \end{matrix}$$

Sequence: 4 – 2 – 1 – 3 – 5



# GAs and random keys

- Mating is done using parametrized uniform crossover (Spears & DeJong , 1990)

$$a = ( 0.25, 0.19, 0.67, 0.05, 0.89 )$$
$$b = ( 0.63, 0.90, 0.76, 0.93, 0.08 )$$

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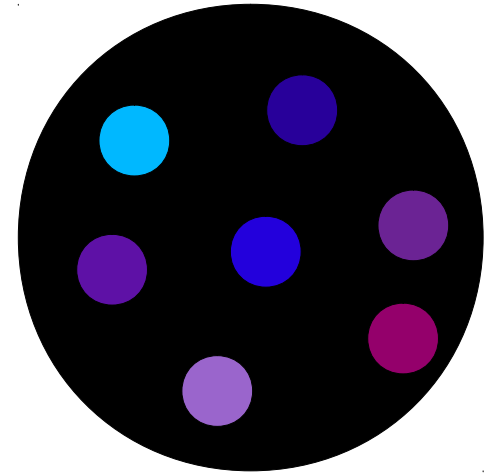
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If every random-key array corresponds to a feasible solution: Mating always produces feasible offspring.

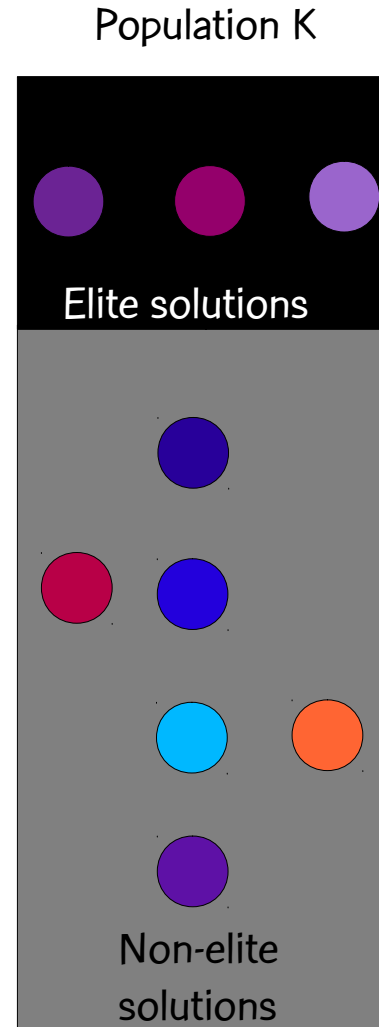
# GAs and random keys

Initial population is made up of  $P$  random-key vectors, each with  $N$  keys, each having a value generated uniformly at random in the interval  $(0,1]$ .



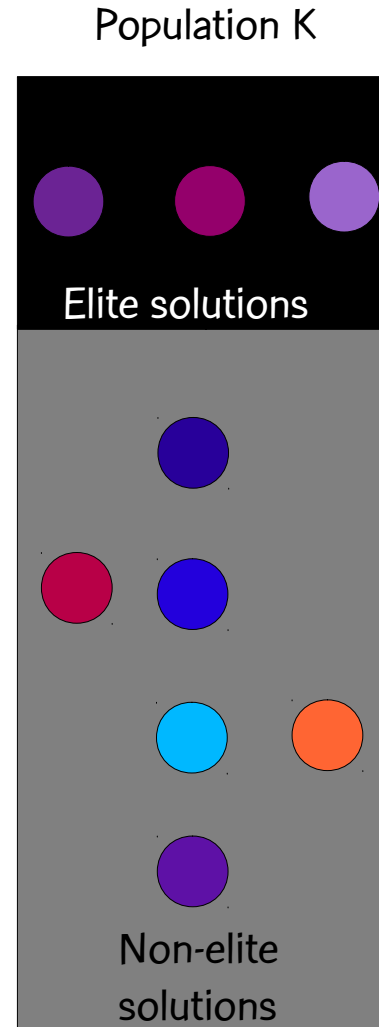
# GAs and random keys

At the K-th generation,  
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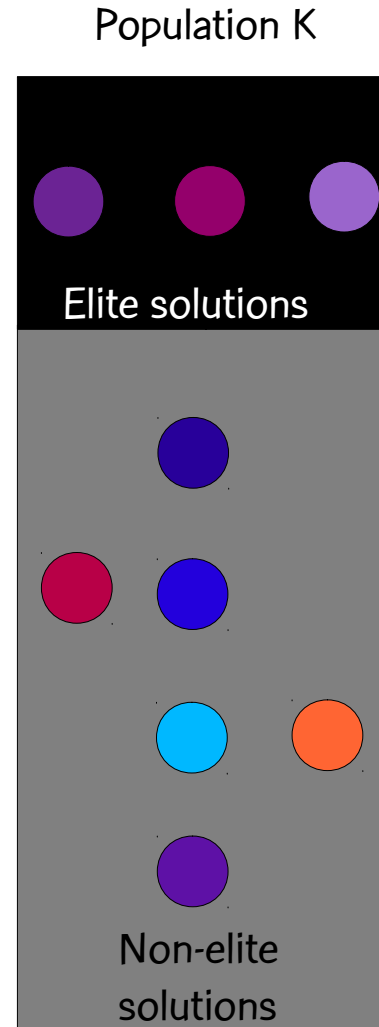
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At the K-th generation,  
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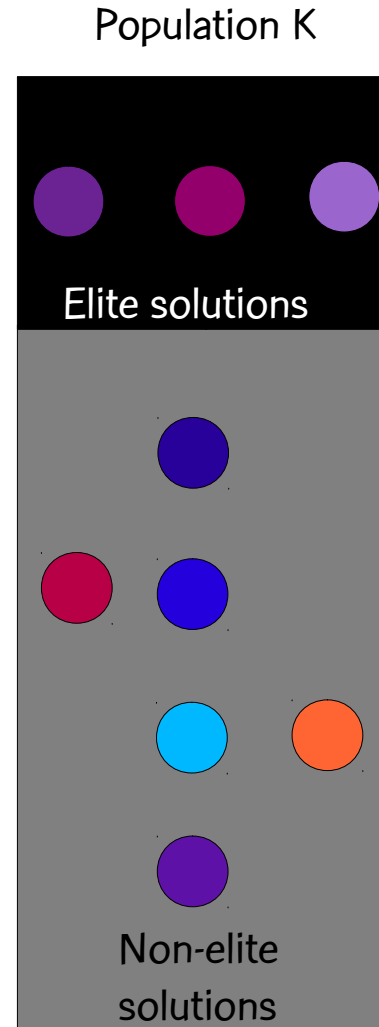
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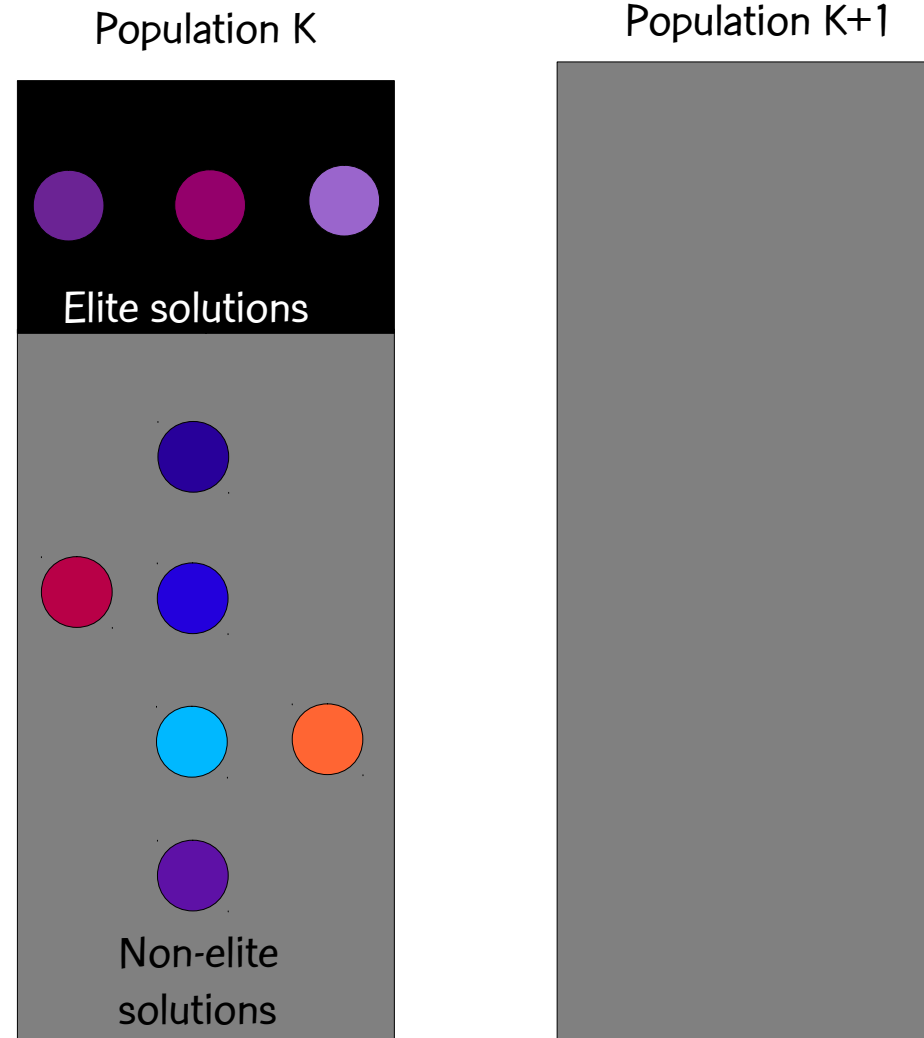
# GAs and random keys

At the K-th generation, compute the cost of each solution and partition the solutions into two sets: elite solutions and non-elite solutions. Elite set should be smaller of the two sets and contain best solutions.



# GAs and random keys

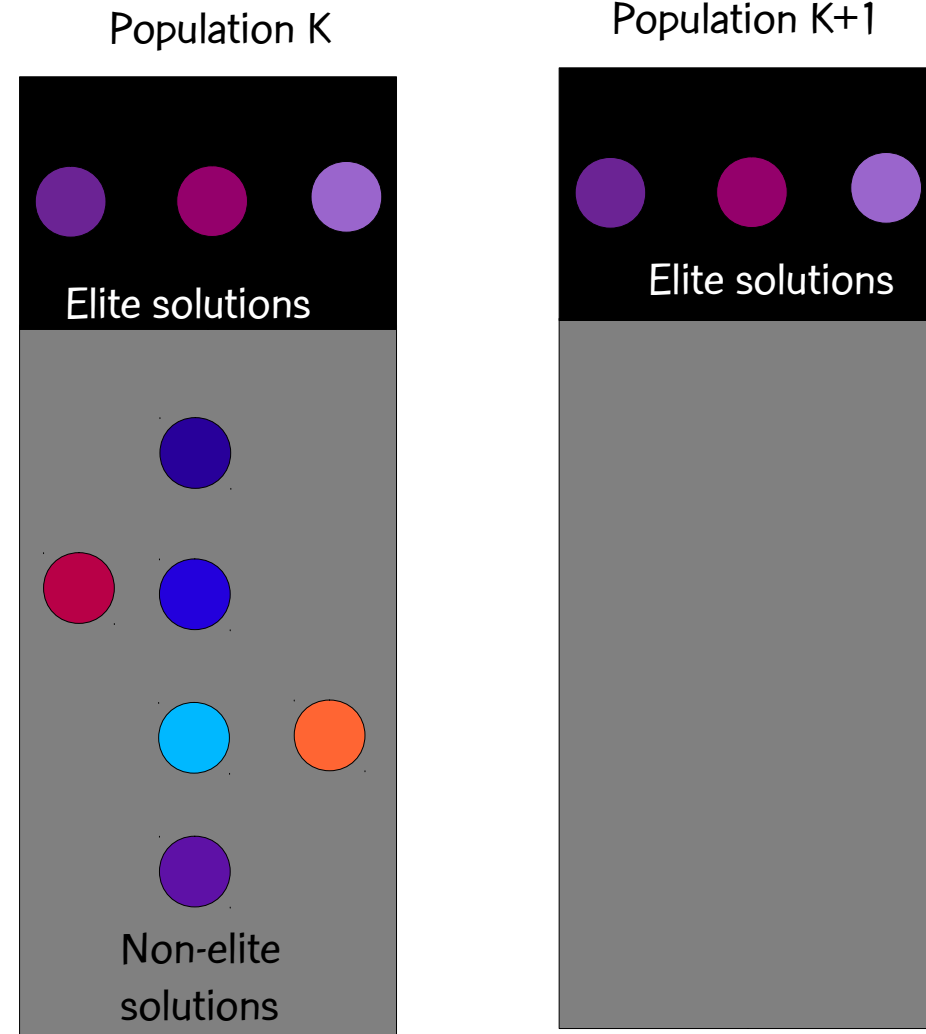
## Evolutionary dynamics



# GAs and random keys

## Evolutionary dynamics

- Copy elite solutions from population K to population K+1

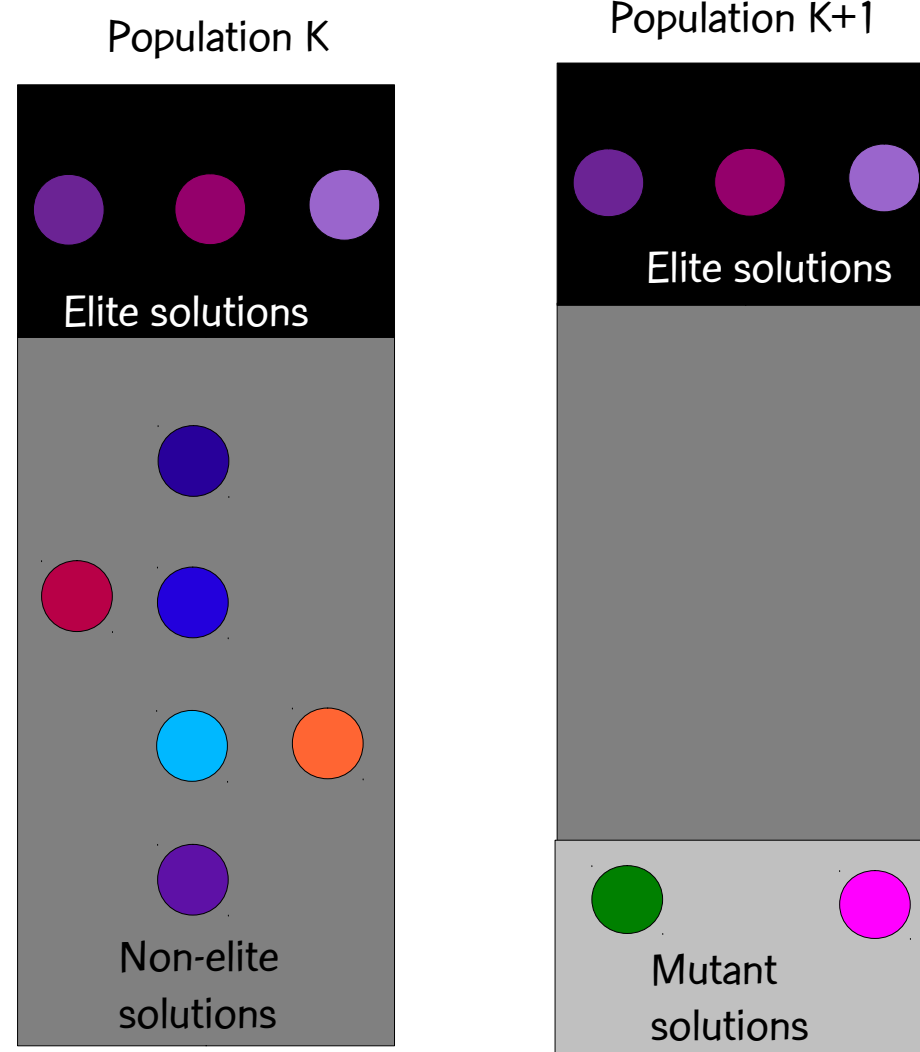




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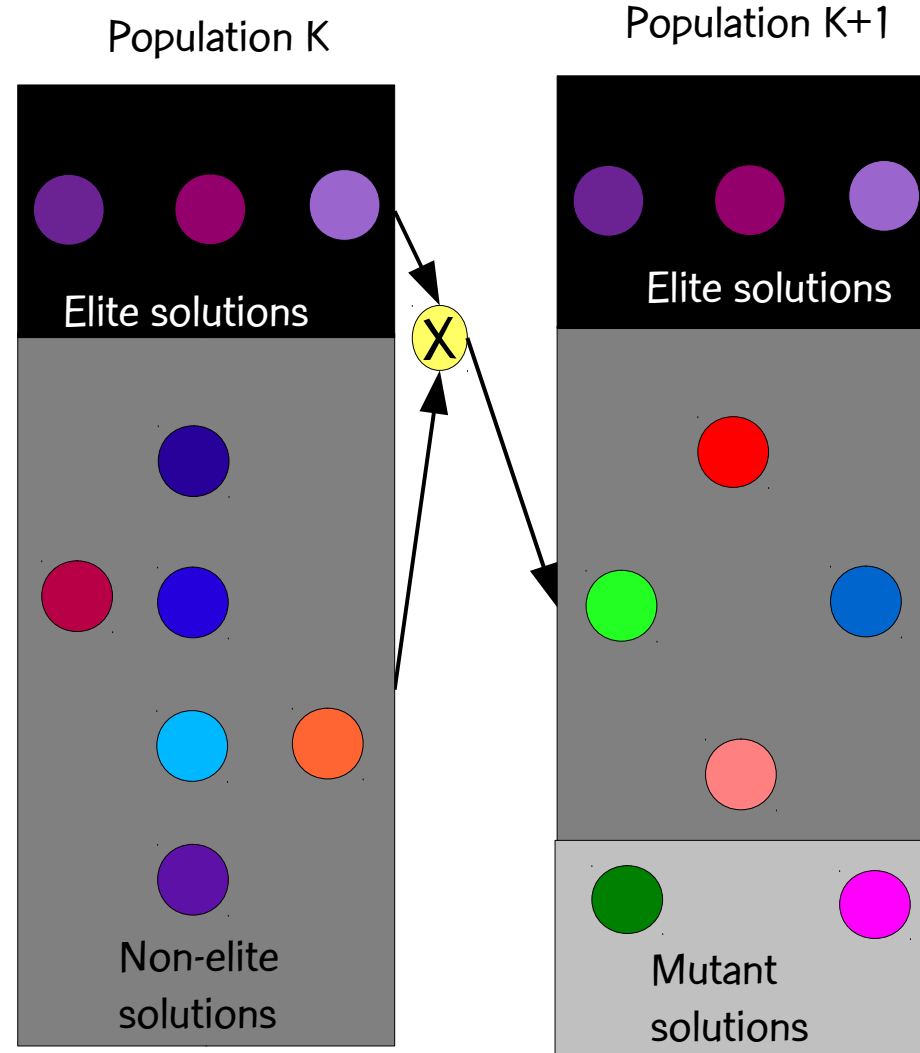
- Copy elite solutions from population K to population K+1
- Add R random solutions (mutants) to population K+1



# Biased random key GA

## Evolutionary dynamics

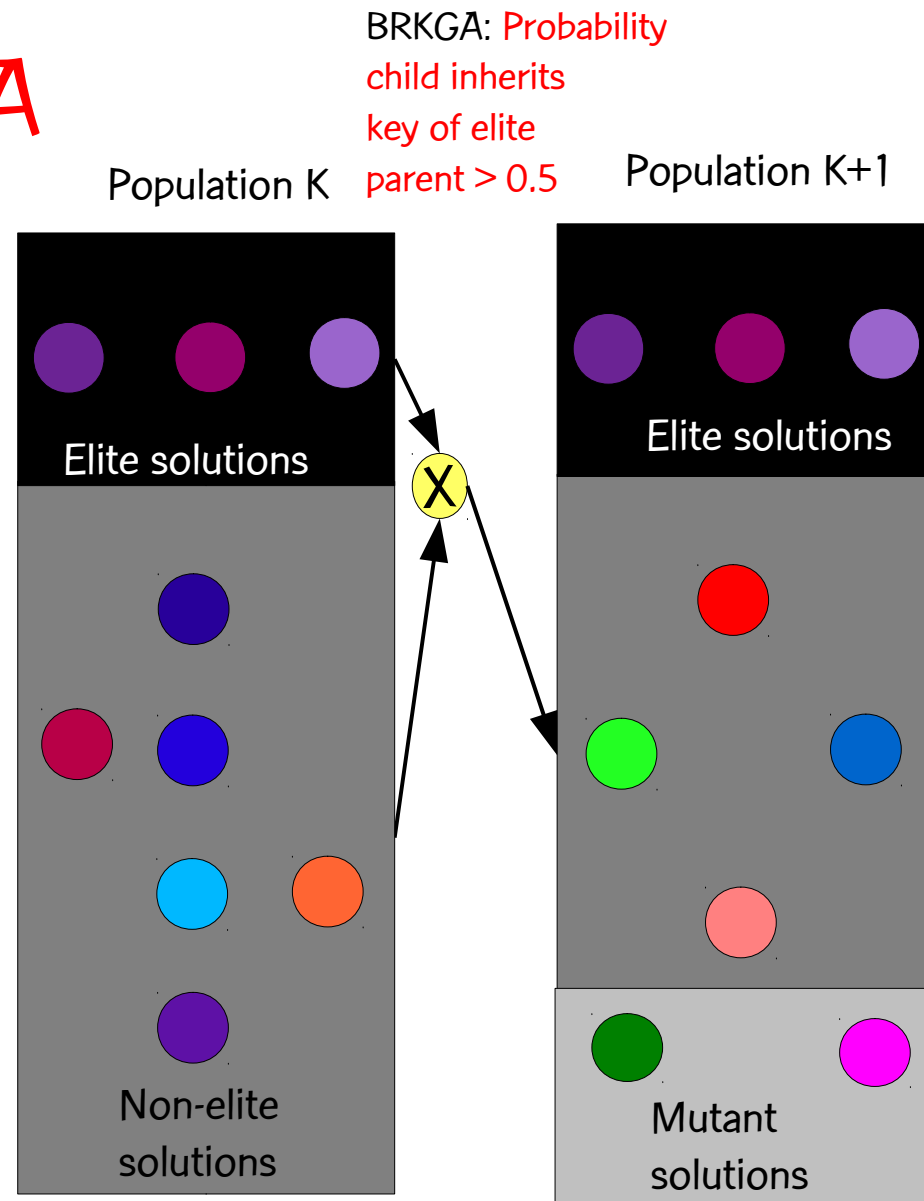
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- While K+1-th population  $< P$ 
  - **RANDOM-KEY GA:** Use any two solutions in population K to produce child in population K+1. Mates are chosen at random.



# Biased random key GA

## Evolutionary dynamics

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- Add R random solutions (mutants) to population K+1
- While K+1-th population  $< P$ 
  - **RANDOM-KEY GA:** Use any two solutions in population K to produce child in population K+1. Mates are chosen at random.
  - **BIASED RANDOM-KEY GA:** Mate elite solution with non-elite of population K to produce child in population K+1. Mates are chosen at random.

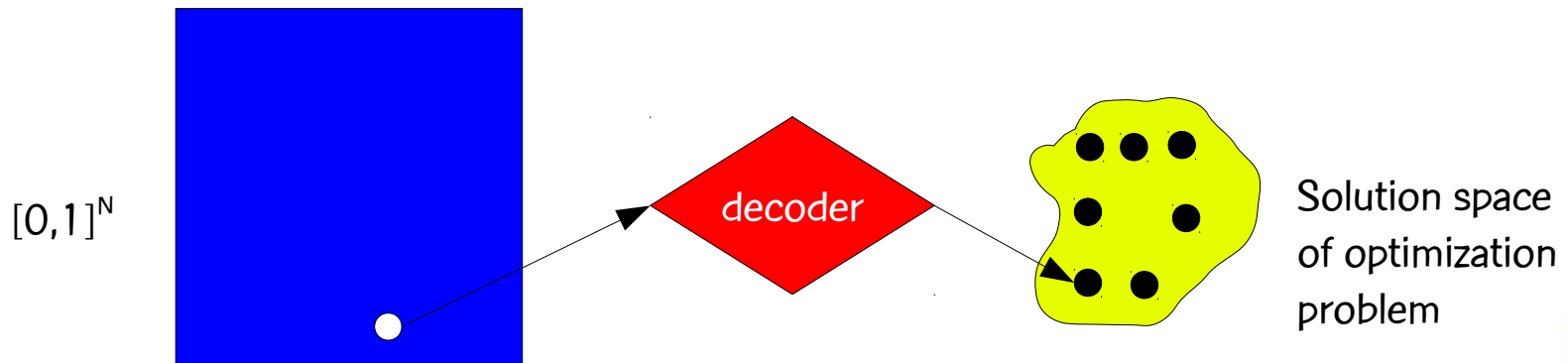


# Observations

- Random method: keys are randomly generated so solutions are always random vectors
- Elitist strategy: best solutions are passed without change from one generation to the next
- Child inherits more characteristics of elite parent: one parent is always selected (with replacement) from the small elite set and probability that child inherits key of elite parent  $> 0.5$
- No mutation in crossover: mutants are used instead

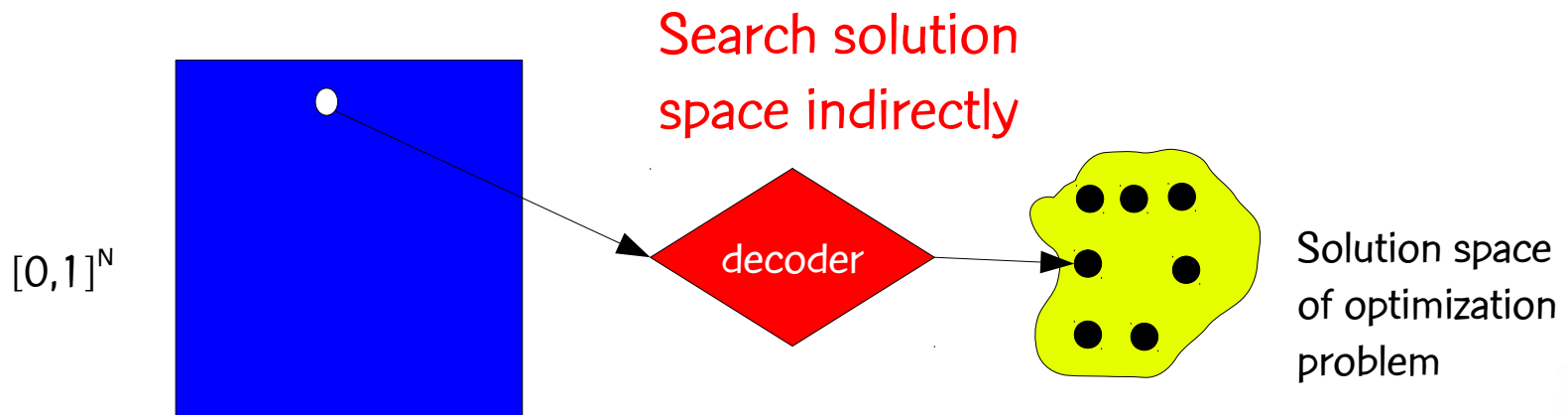
# Decoders

- A decoder is a deterministic algorithm that takes as input a random-key vector and returns a feasible solution of the optimization problem and its cost.
- Bean (1994) proposed decoders based on sorting the random-key vector to produce a sequence.
- A random-key GA searches the solution space indirectly by searching the space of random keys and using the decoder to evaluate fitness of the random key.



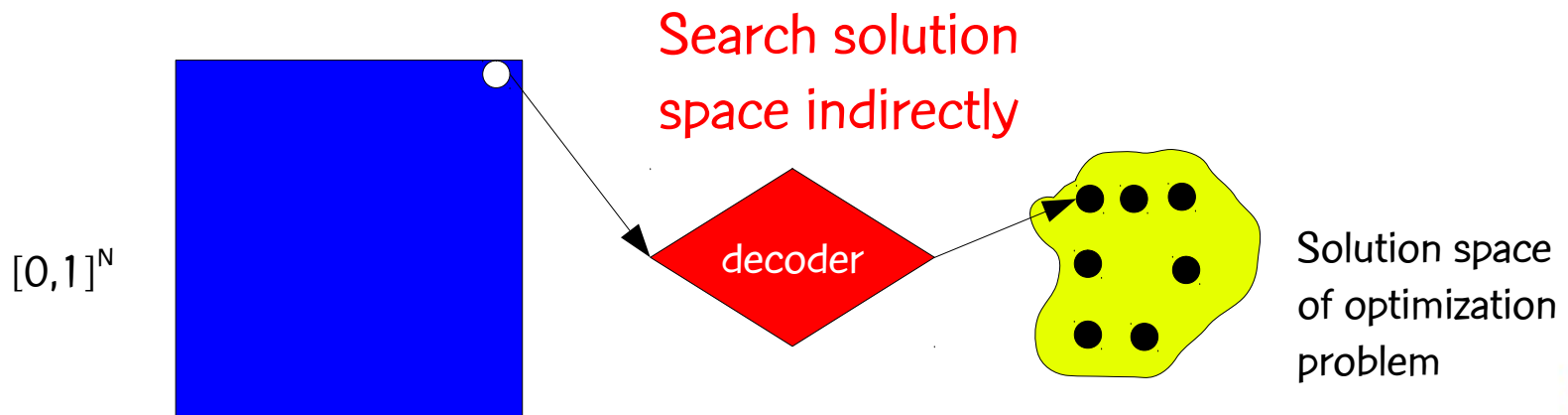
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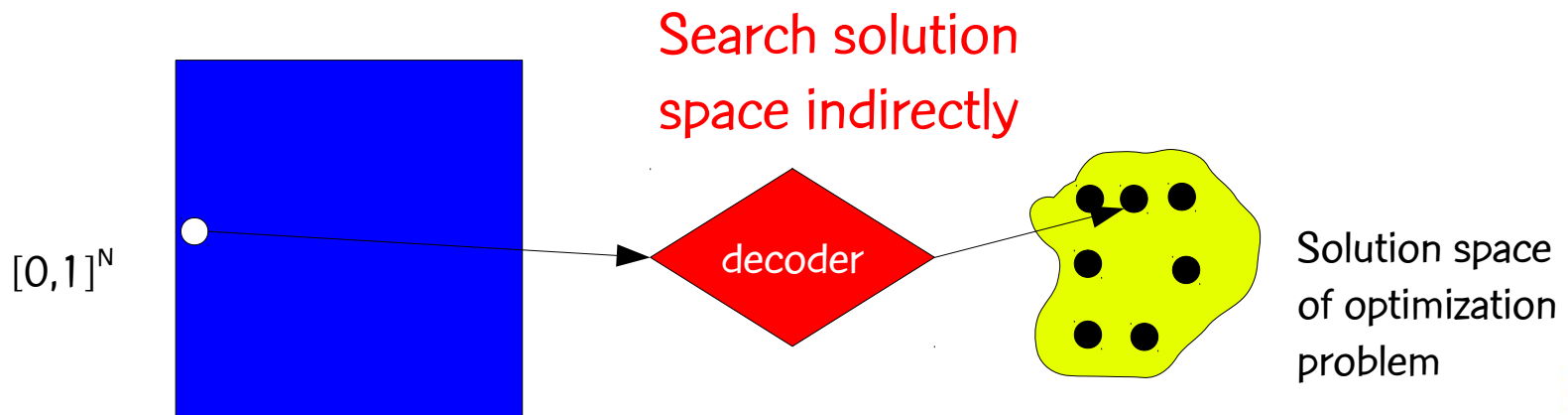
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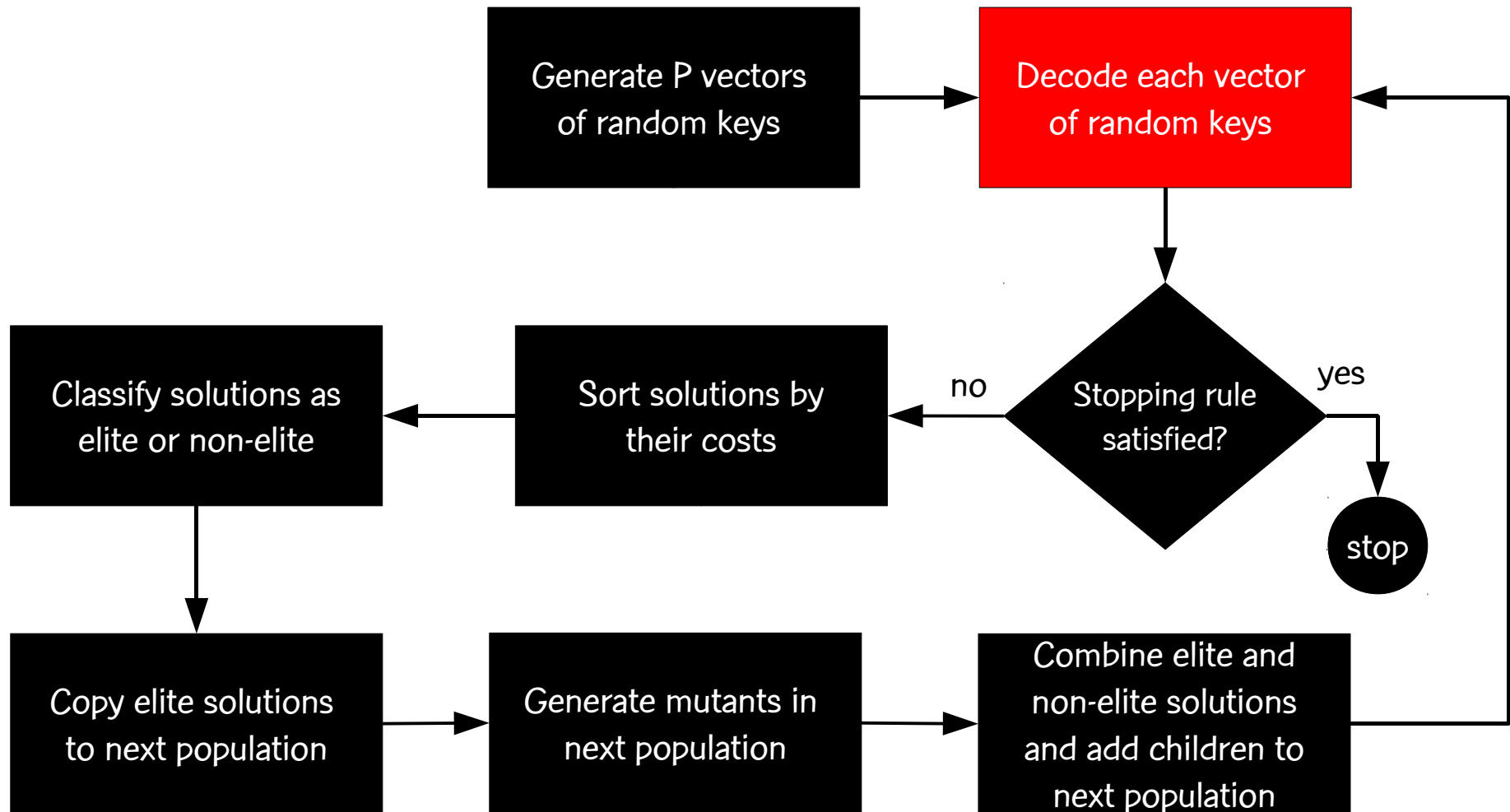
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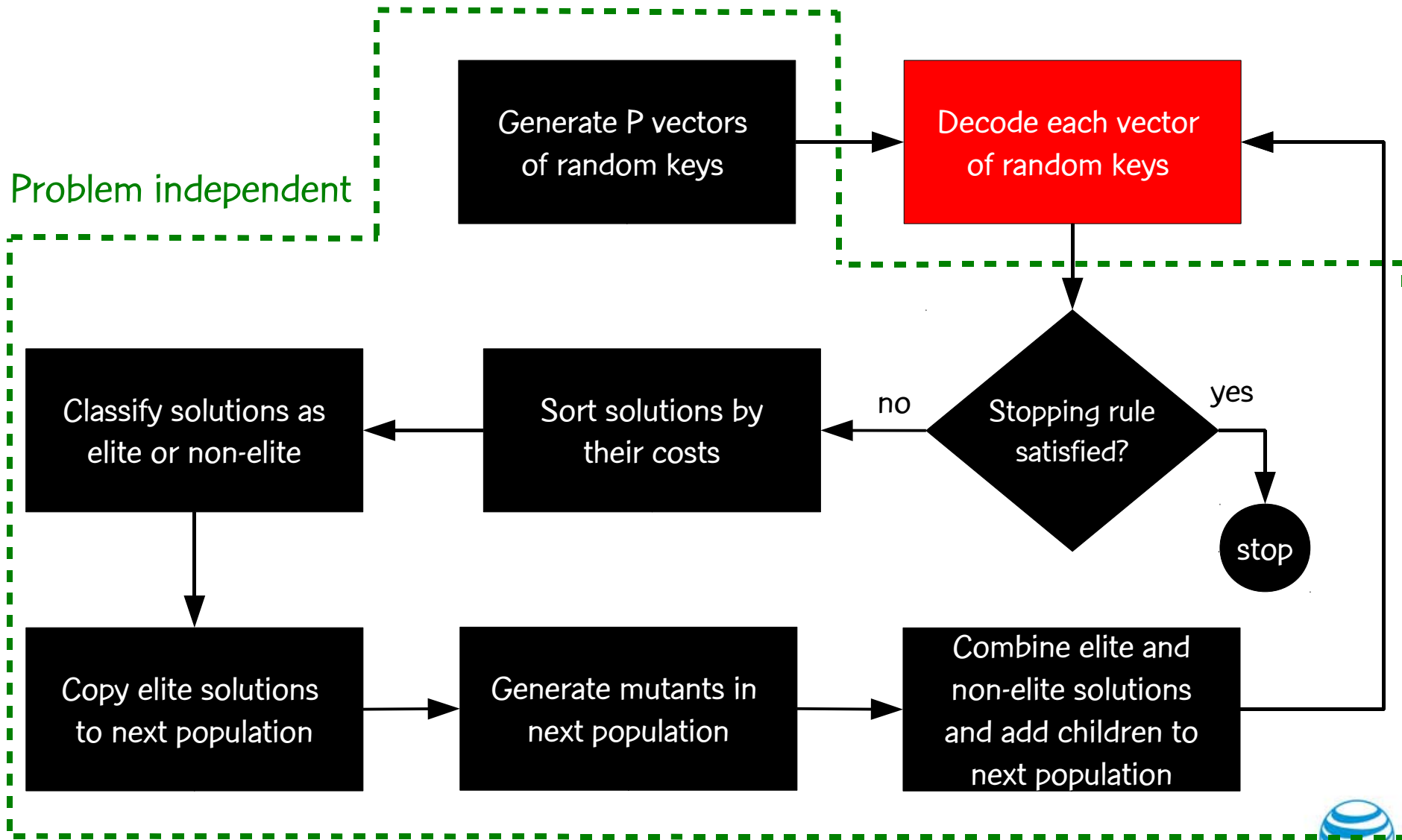




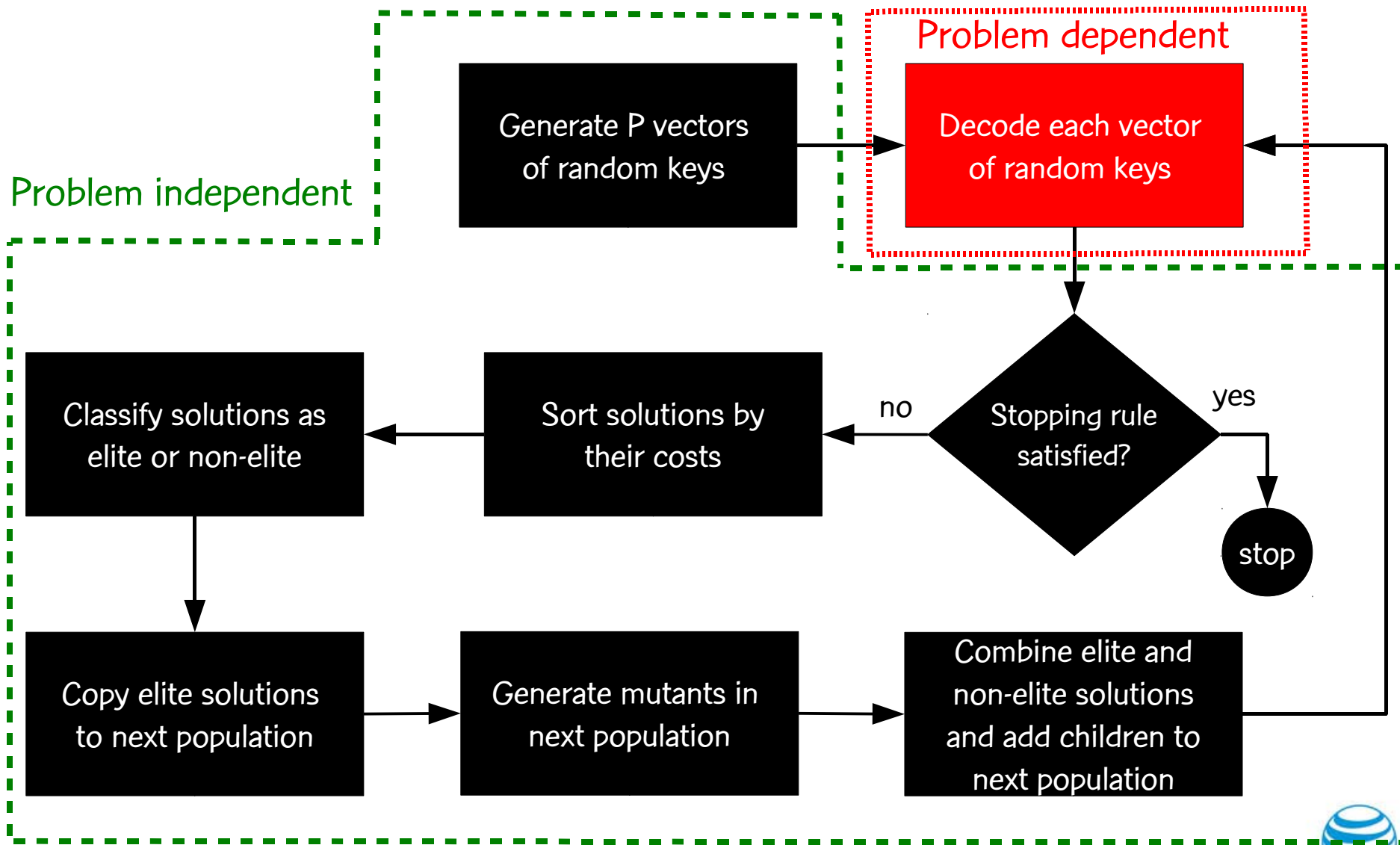
# Framework for biased random-key genetic algorithms



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# Specifying a biased random-key GA

- Encoding is always done the same way, i.e. with a vector of  $N$  random-keys (parameter  $N$  must be specified)
- Decoder that takes as input a vector of  $N$  random-keys and outputs the corresponding solution of the combinatorial optimization problem and its cost (this is usually a heuristic)
- Parameters:
  - Size of population
  - Size of elite partition
  - Size of mutant set
  - Child inheritance probability
  - Stopping criterion

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  - Stopping criterion: e.g. time, # generations, solution quality, # generations without improvement

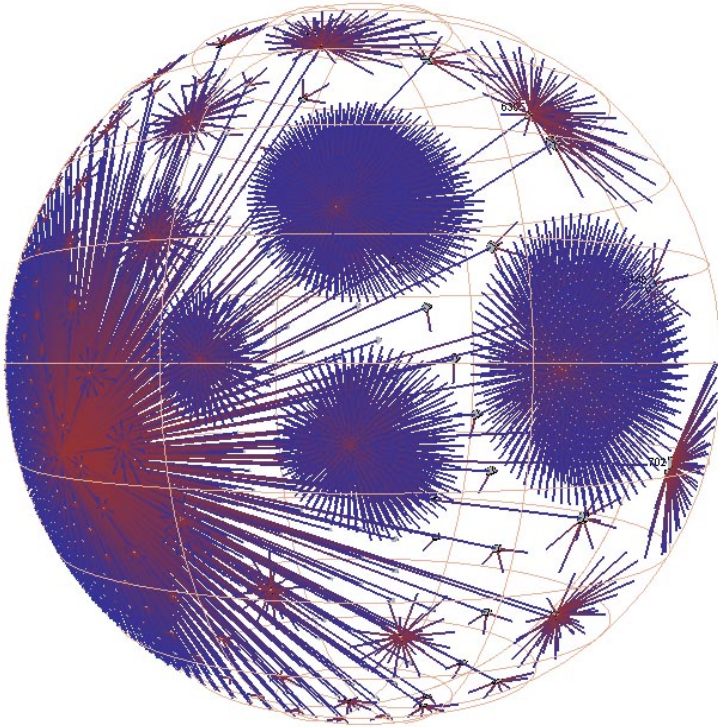
# Applications in telecommunications

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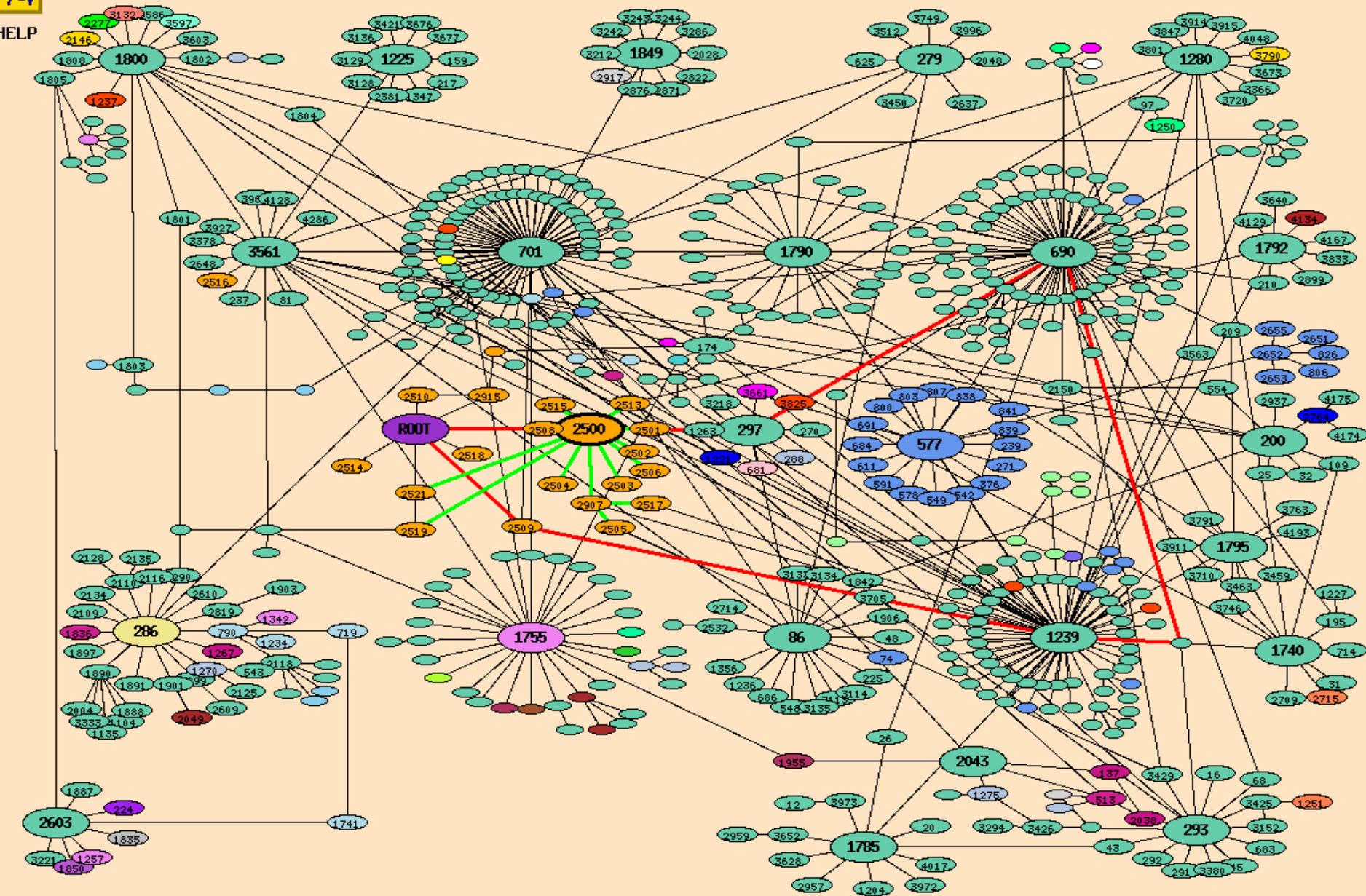
- Routing in IP networks
- Design of survivable IP networks
- Redundant server location for content distribution
- Regenerator location
- Routing and wavelength assignment in optical networks

# OSPF routing in IP networks

# The Internet



- The Internet is composed of many (inter-connected) autonomous systems (AS).
- An AS is a network controlled by a single entity, e.g. ISP, university, corporation, country, ...



# Routing

- A packet is sent from a origination router  $S$  to a destination router  $T$ .
- $S$  and  $T$  may be in
  - same AS:
  - different ASes:

# Routing

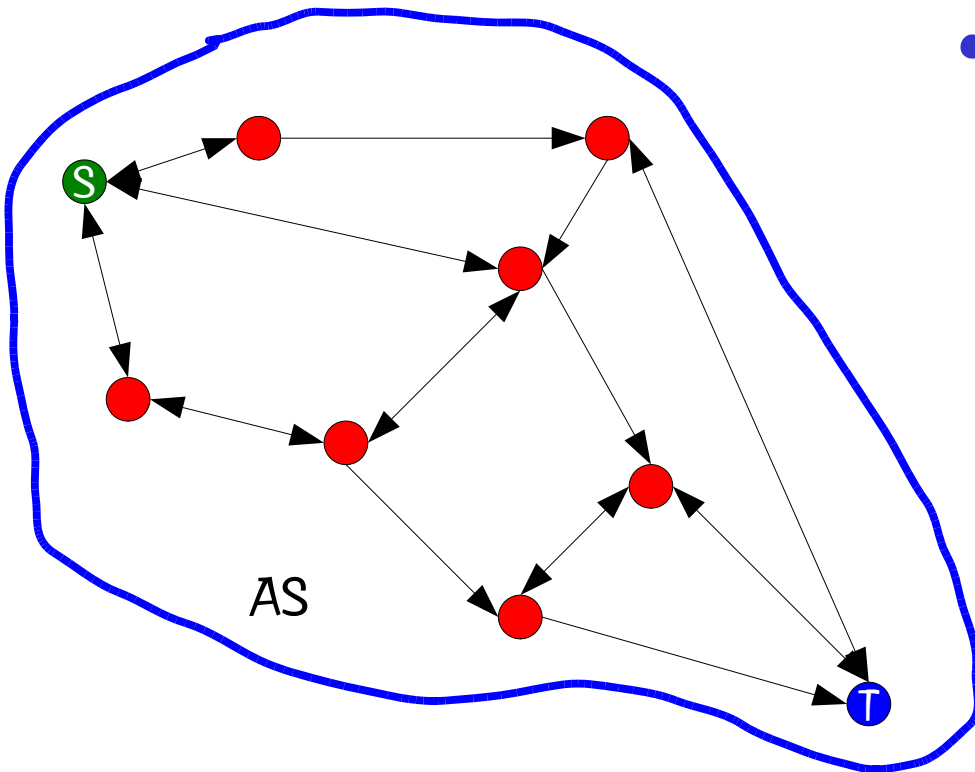
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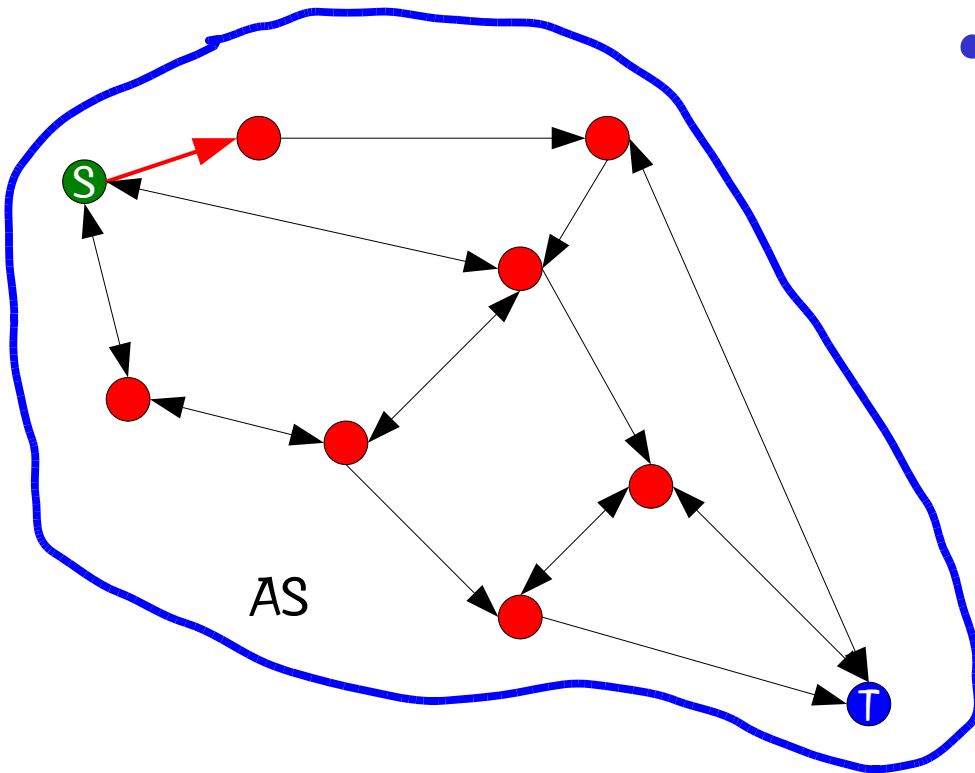
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# IGP Routing



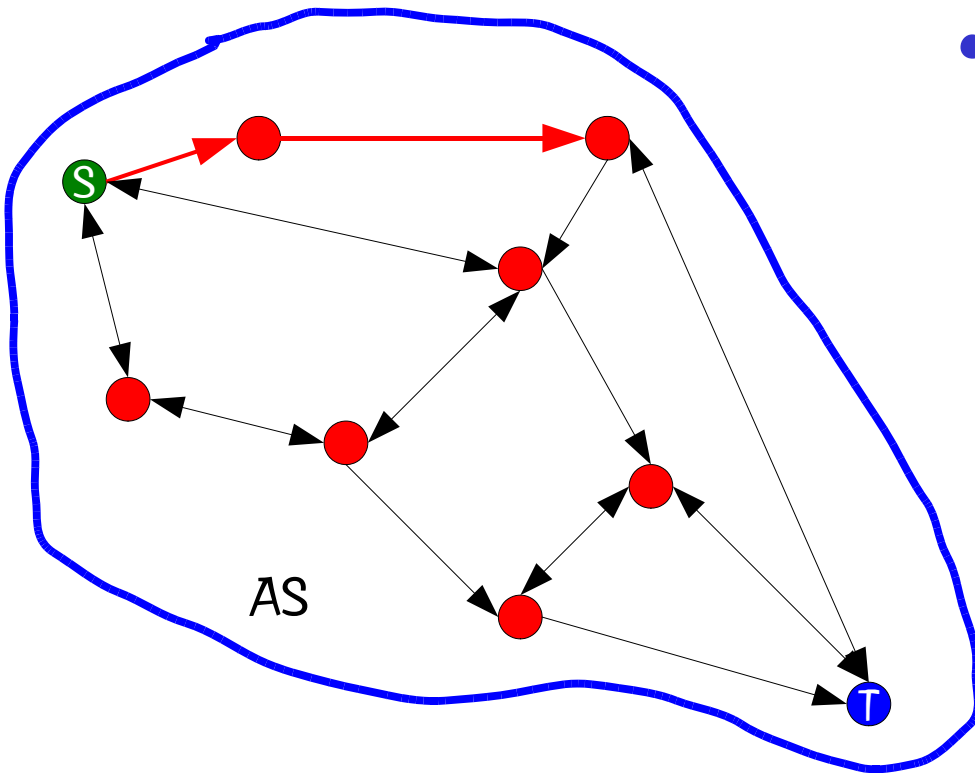
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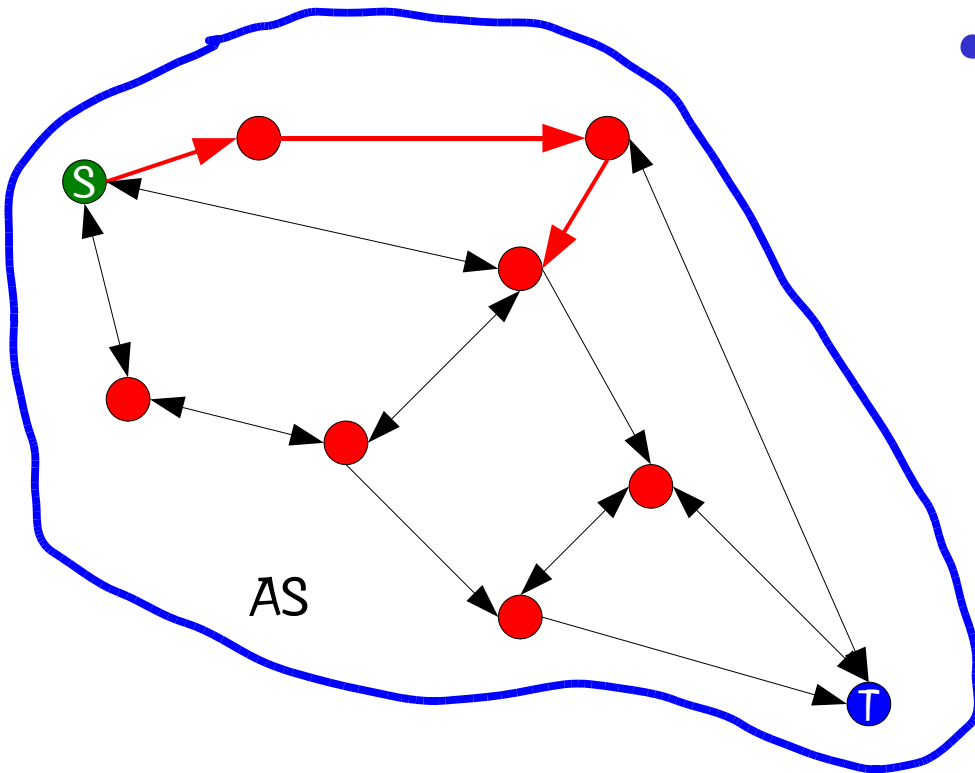
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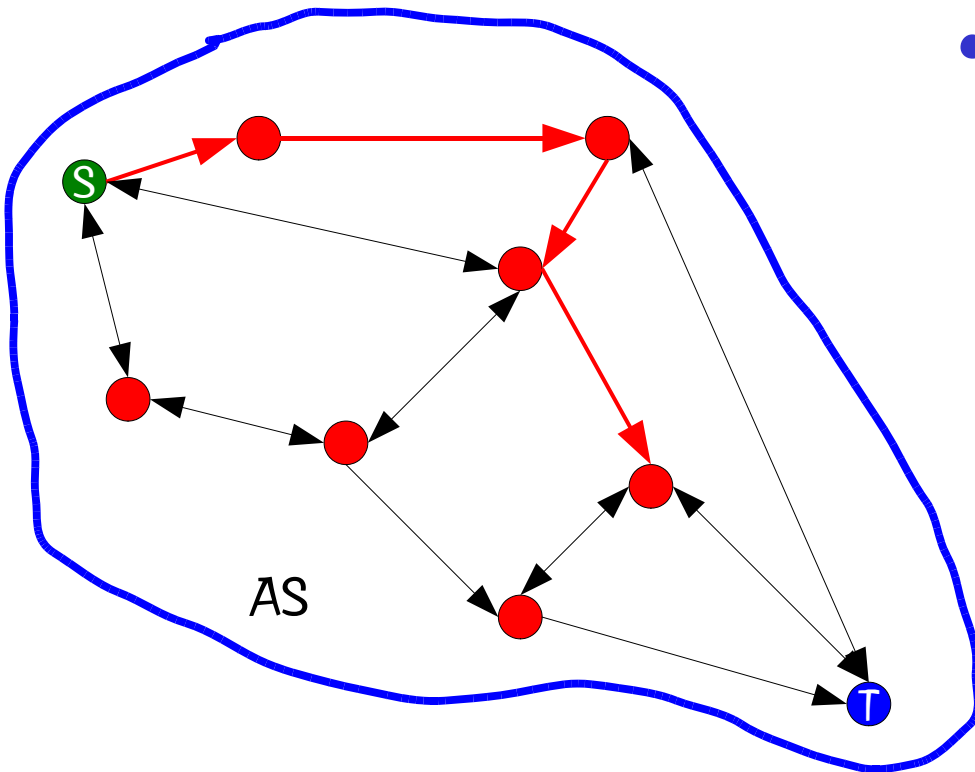
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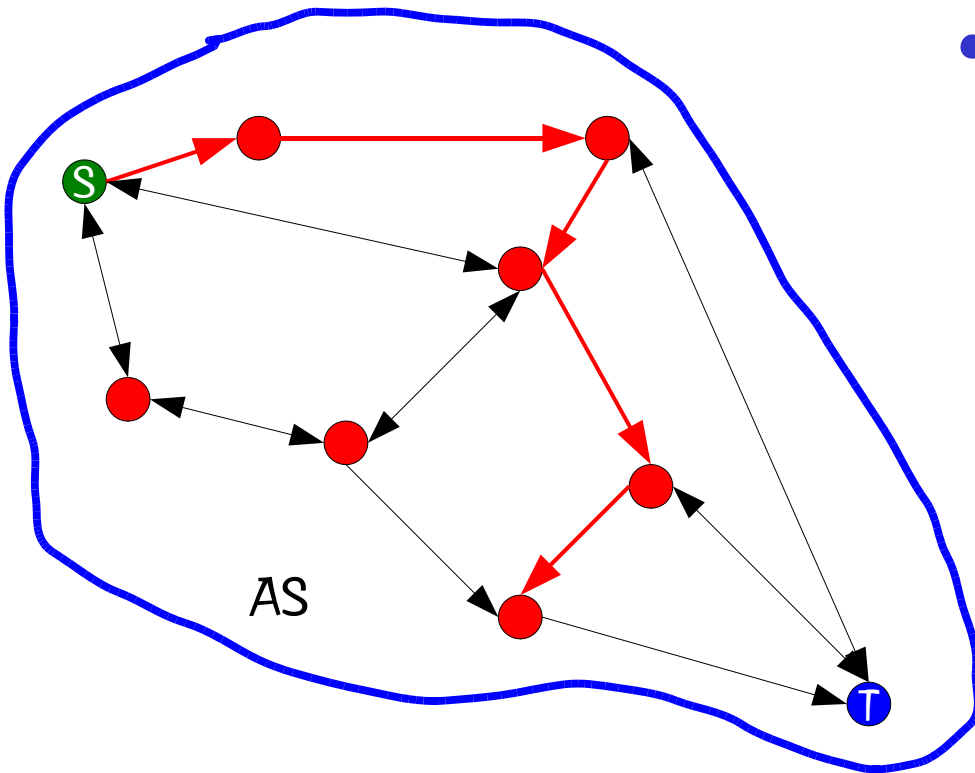
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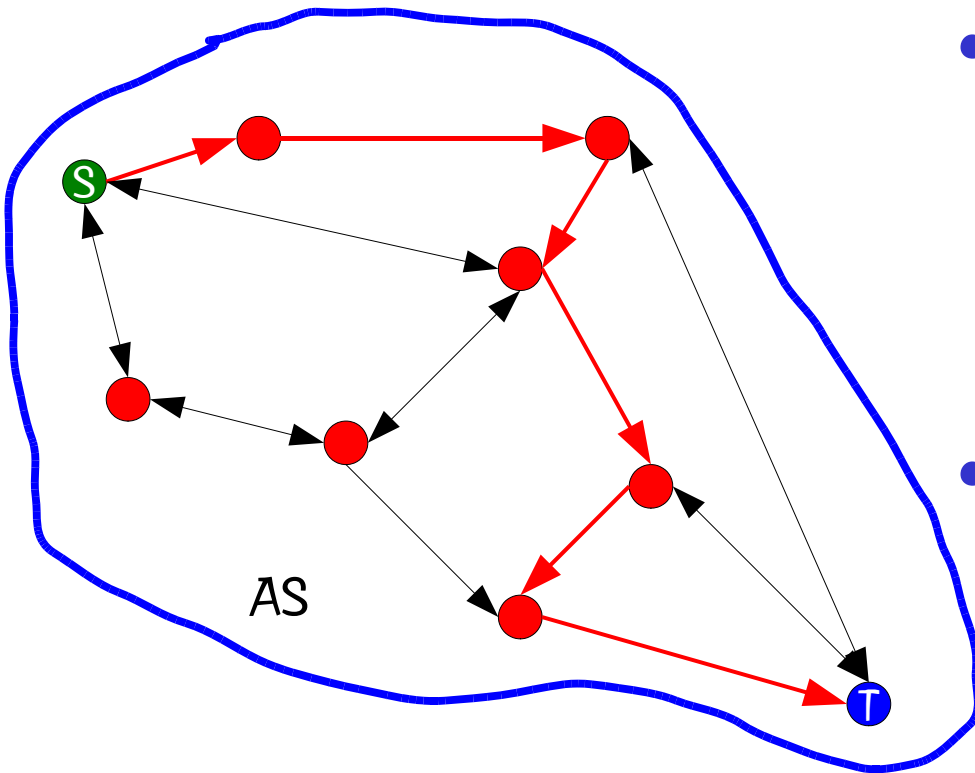


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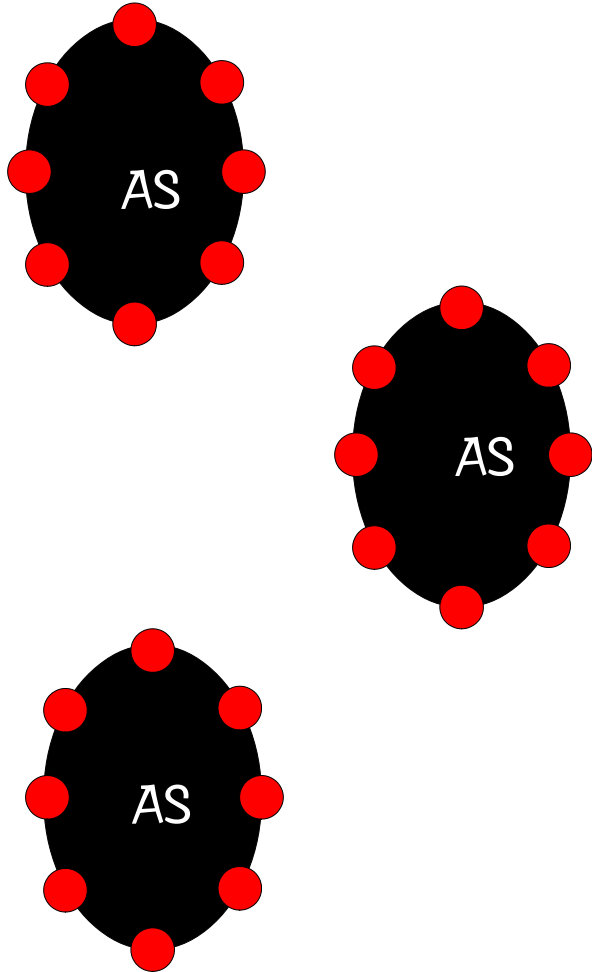
# IGP Routing



- IGP (interior gateway protocol) routing is concerned with routing within an AS.
- Routing decisions are made by AS operator.

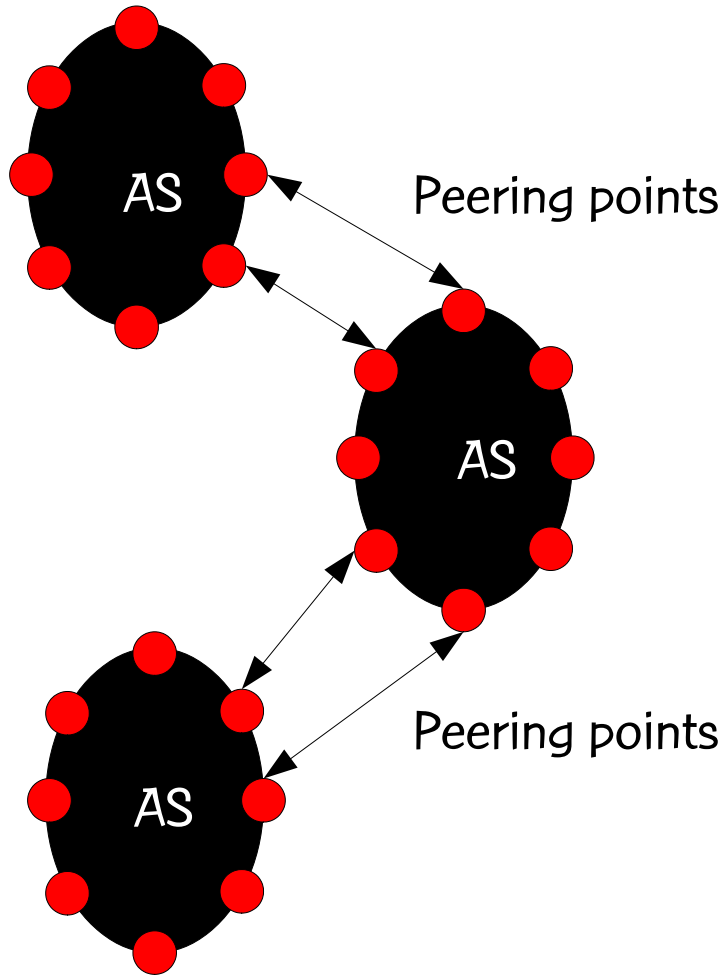


# BGP Routing



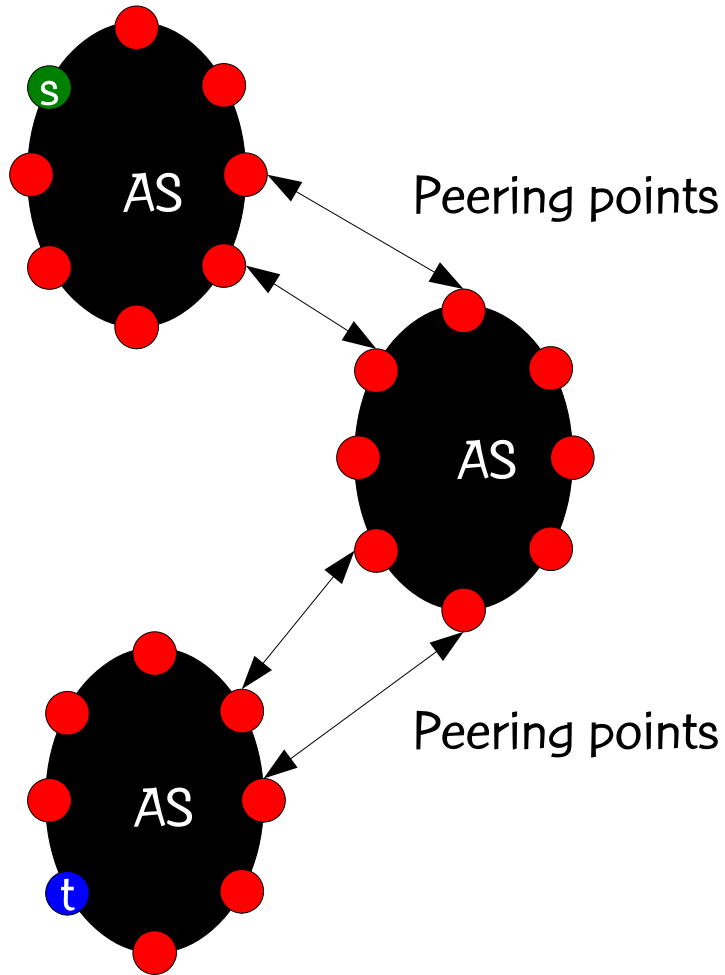
- BGP (border gateway protocol) routing deals with routing between different ASes.

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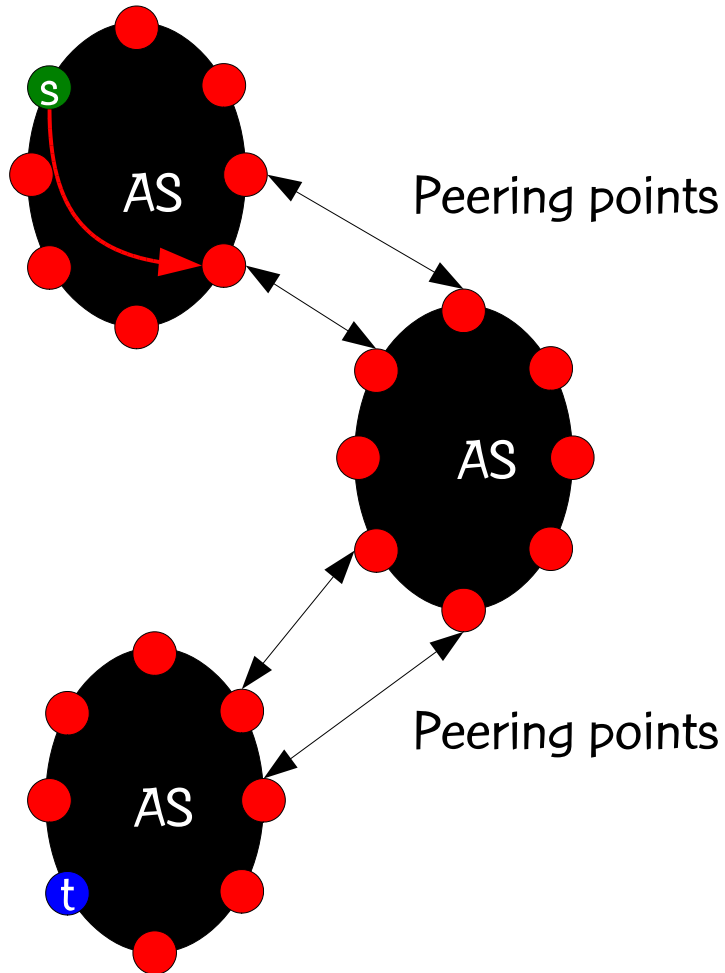
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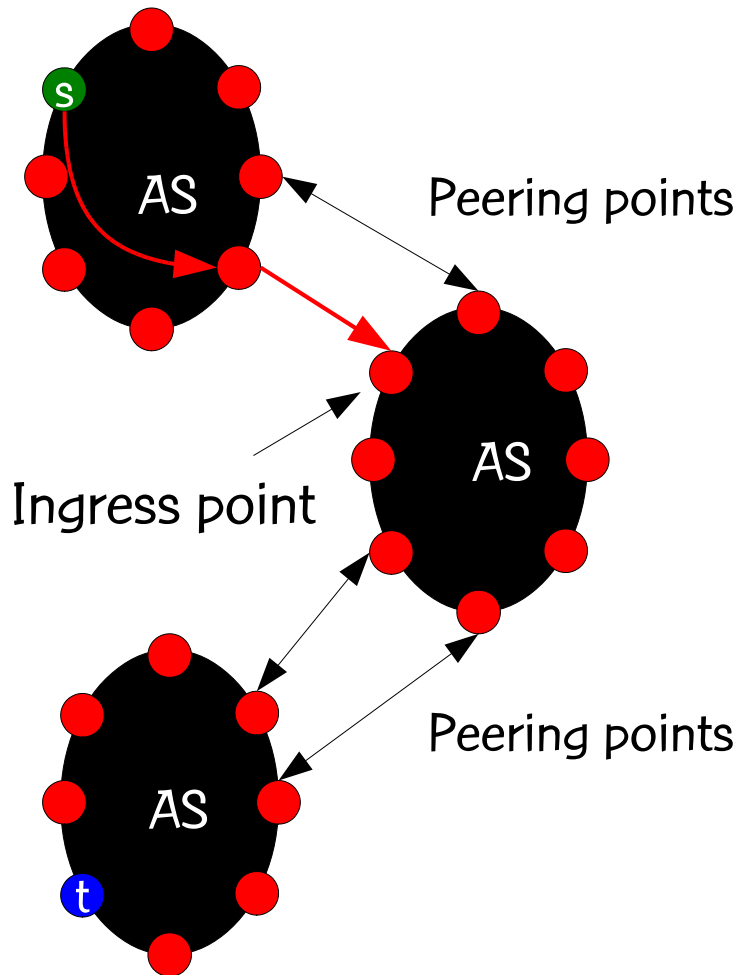
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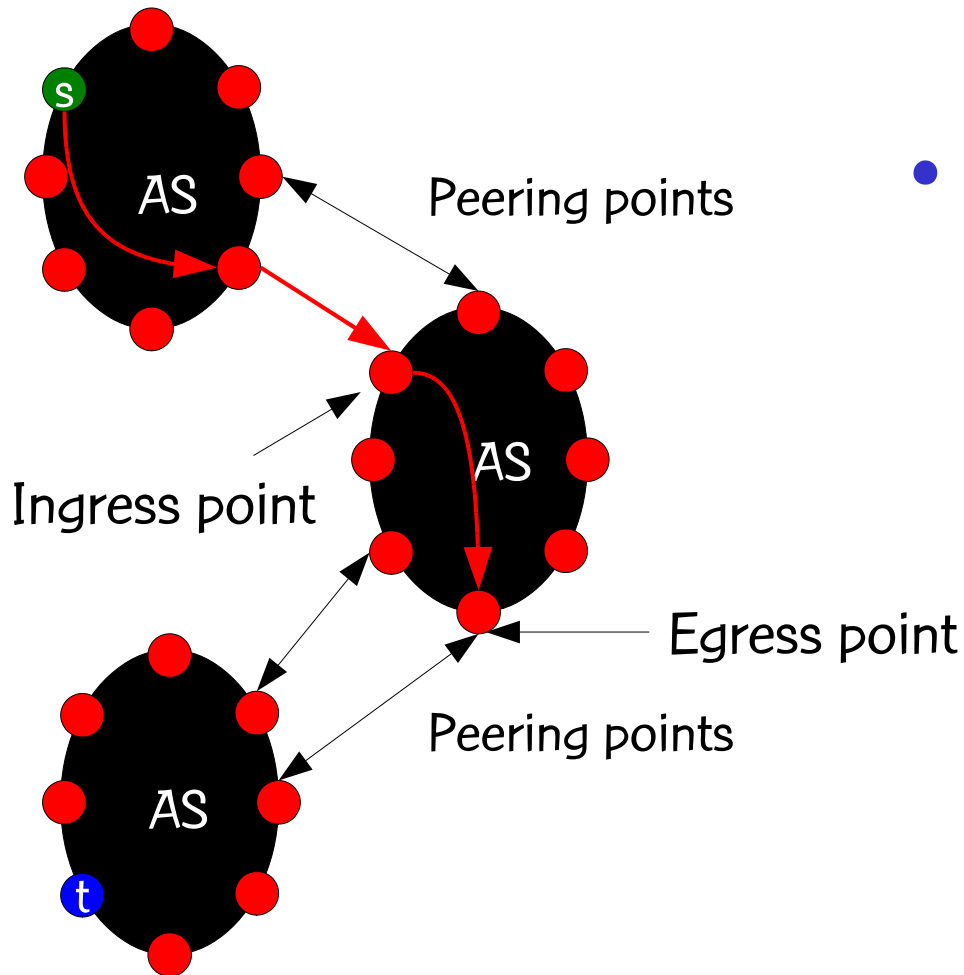
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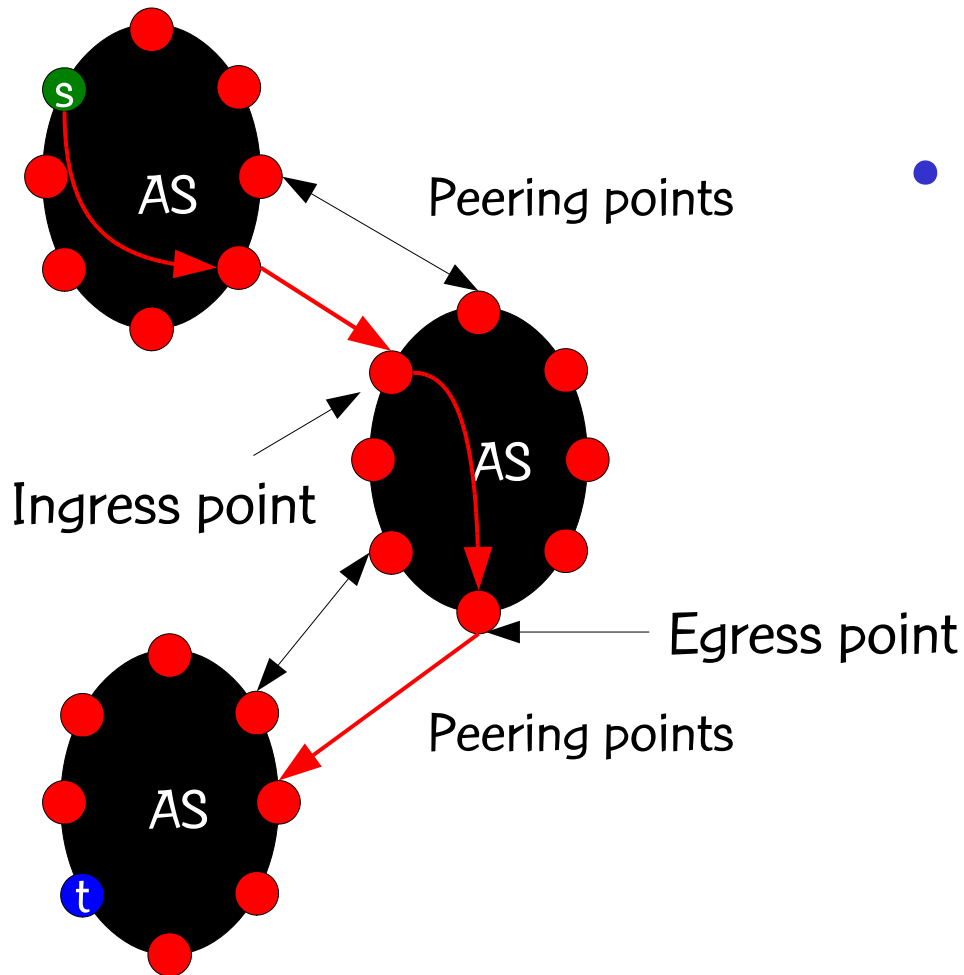
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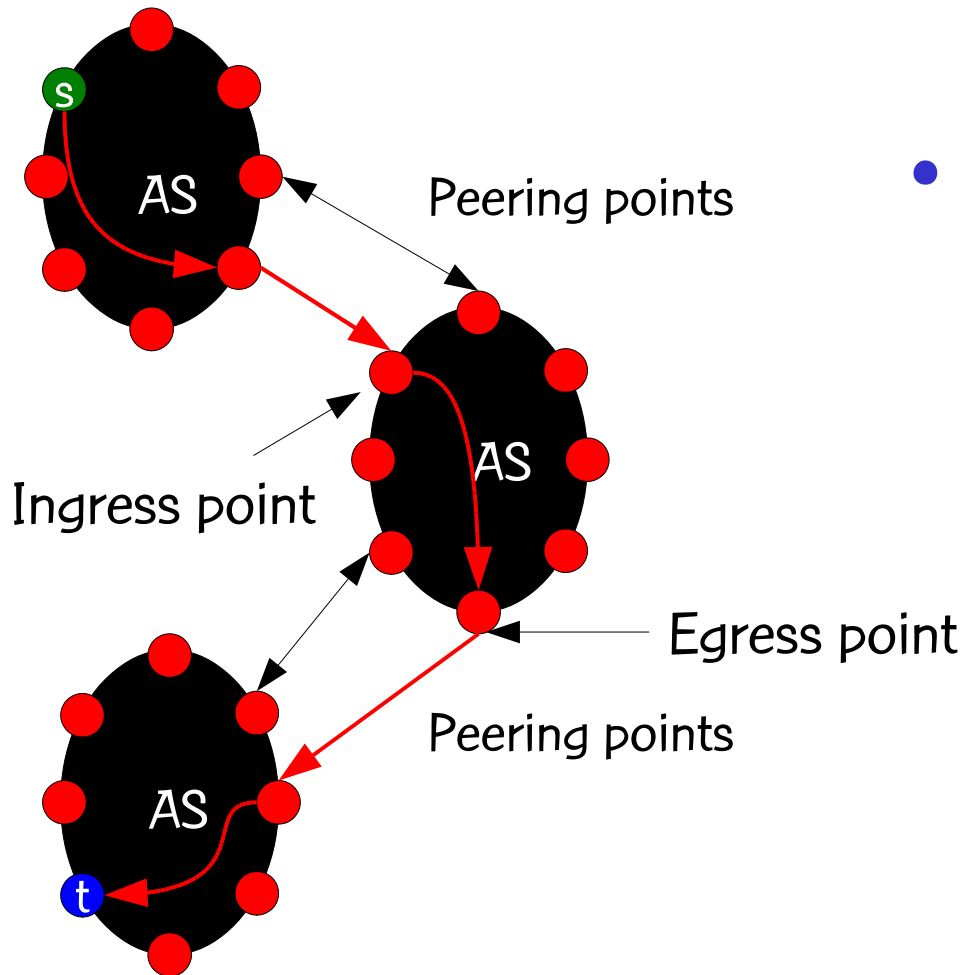
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# BGP Routing



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# IGP Routing

# OSPF routing

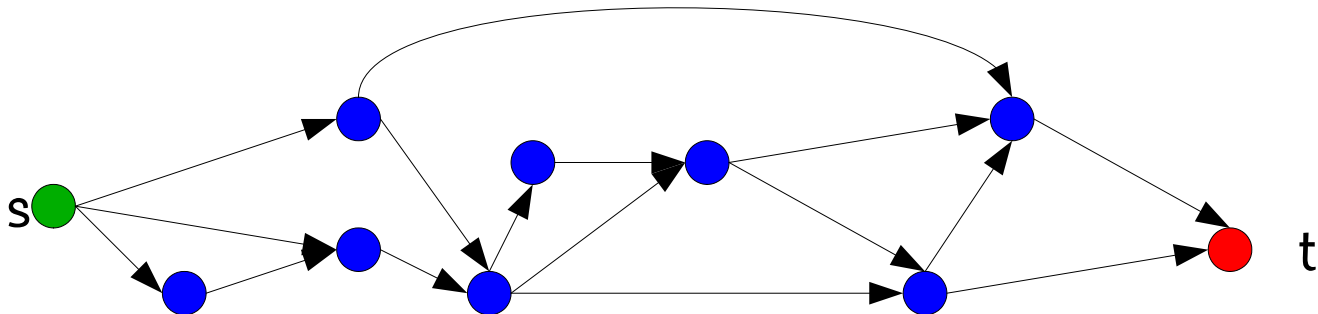
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# OSPF routing

- Given a network  $G = (N, A)$ , where  $N$  is the set of routers and  $A$  is the set of links.
- The OSPF (open shortest path first) routing protocol assumes each link  $a$  has a weight  $w(a)$  assigned to it so that a packet from a source router  $s$  to a destination router  $t$  is routed on a shortest weight path from  $s$  to  $t$ .

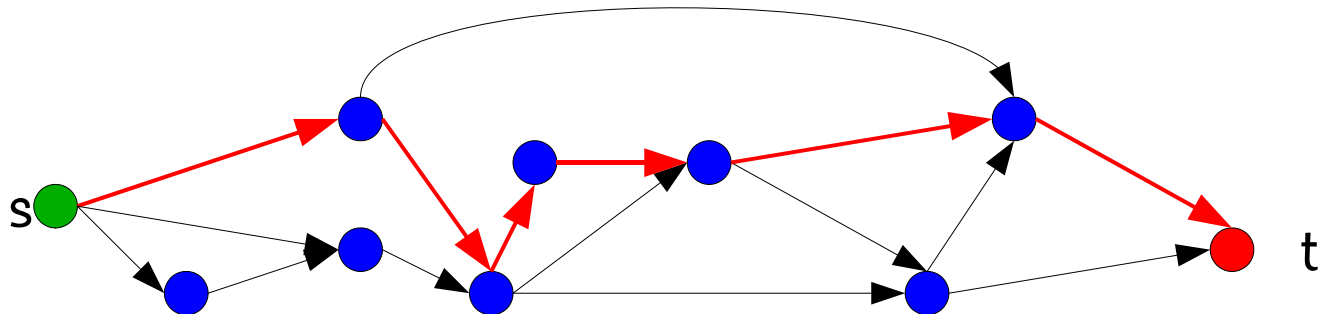
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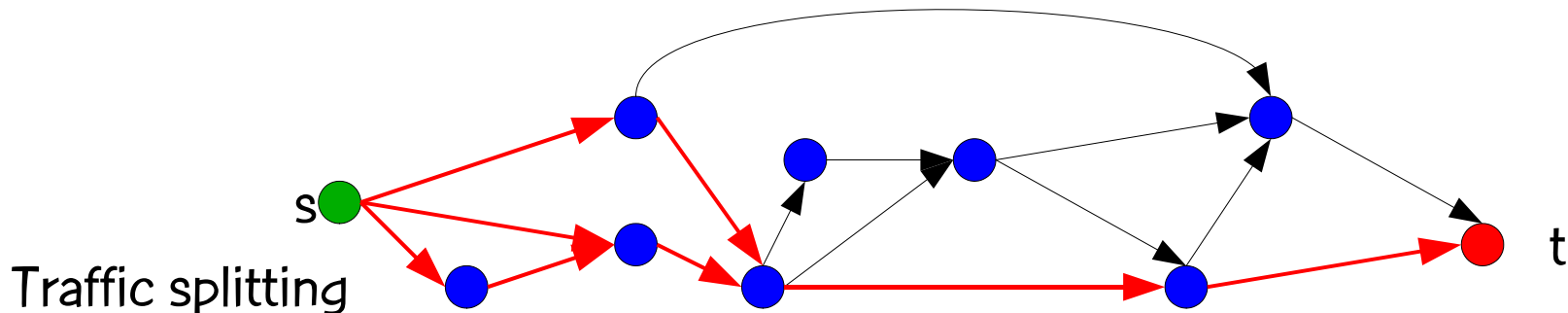
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# OSPF routing

- By setting OSPF weights appropriately, one can do traffic engineering, i.e. route traffic so as to optimize some objective (e.g. minimize congestion, maximize throughput, etc.).
- Some recent papers on this topic:
  - Fortz & Thorup (2000, 2004)
  - Ramakrishnan & Rodrigues (2001)
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  - Buriol, Resende, Ribeiro, & Thorup (2002, 2005)
  - Reis, Ritt, Buriol, & Resende (2011)

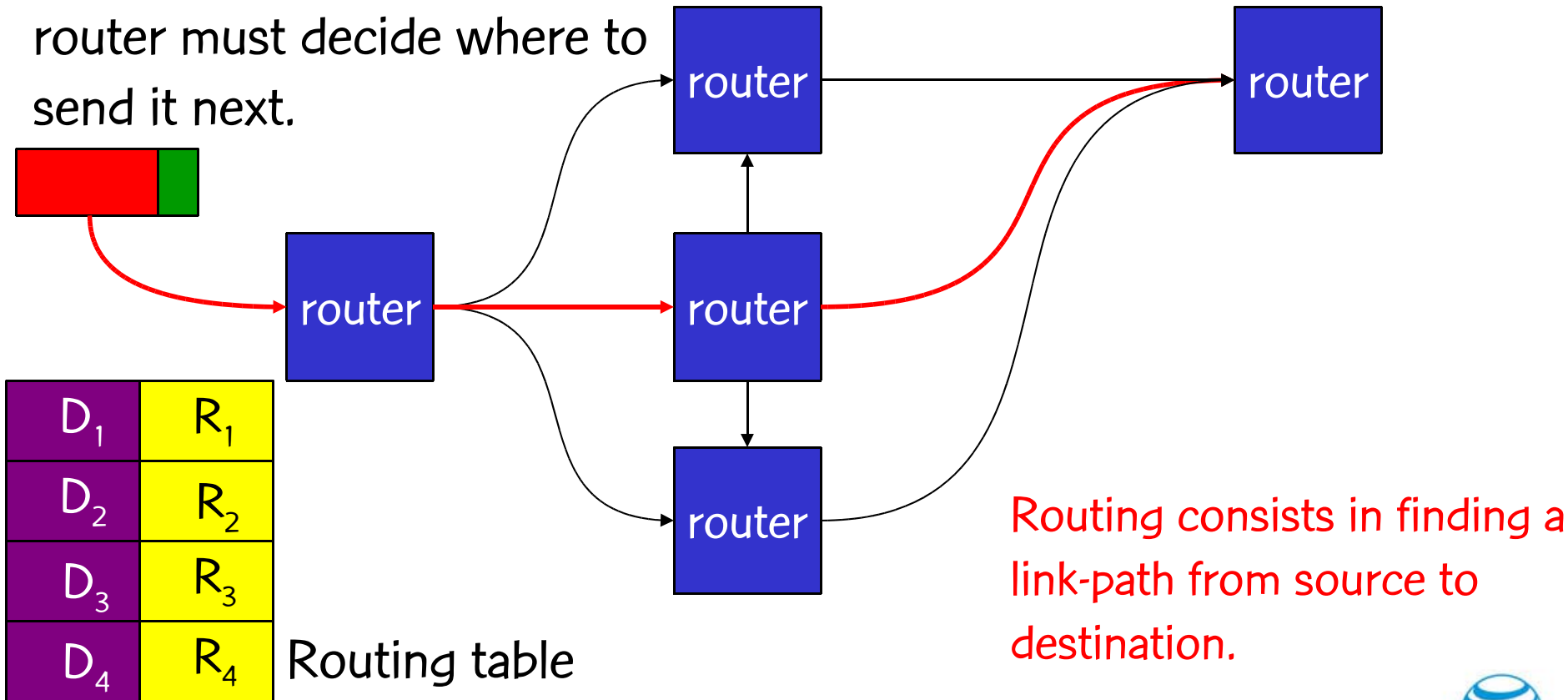
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# Packet routing

When packet arrives at router, router must decide where to send it next.



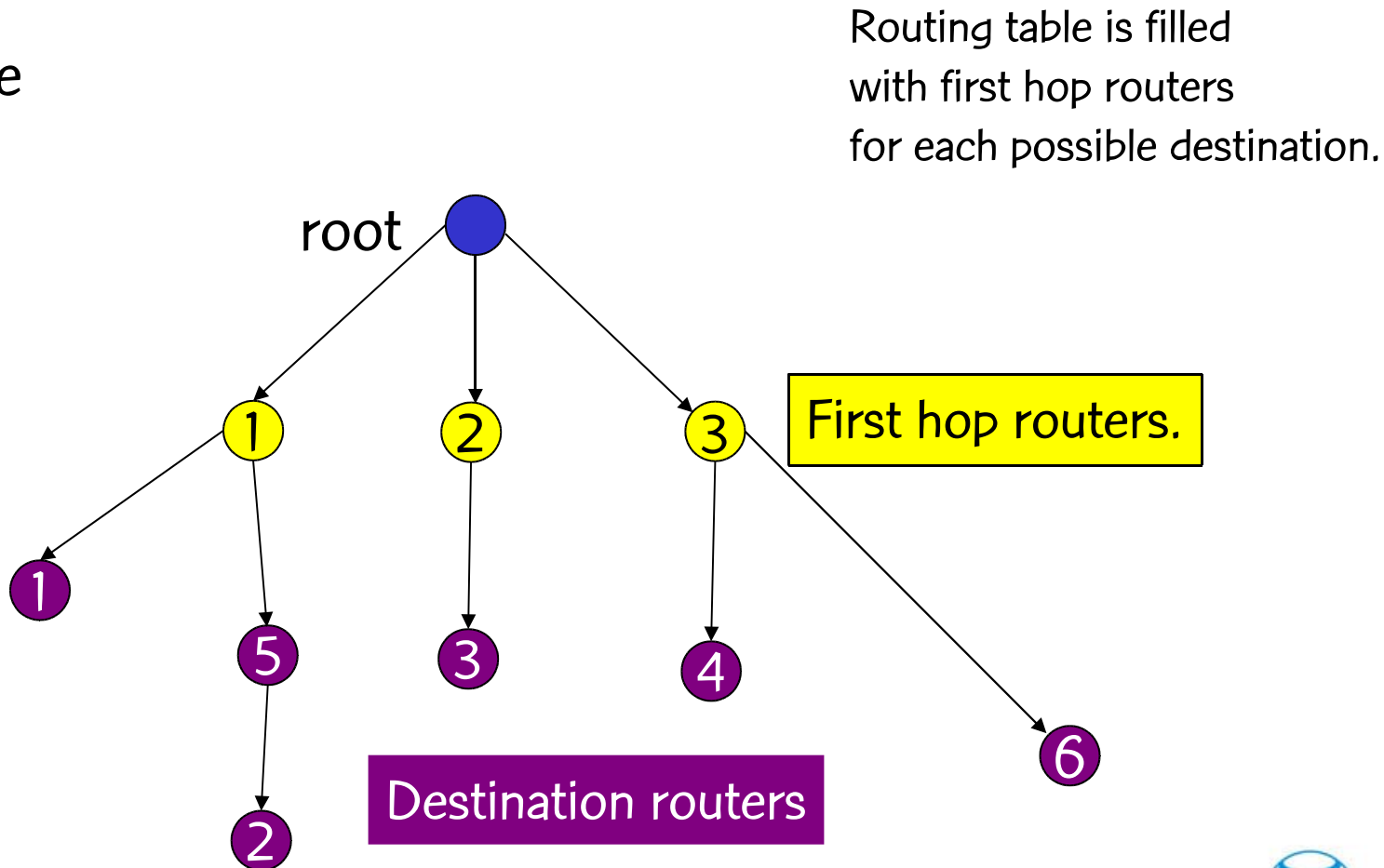
# OSPF routing

- Assign an integer weight  $\in [1, w_{max}]$  to each link in AS. In general,  $w_{max} = 65535 = 2^{16} - 1$ .
- Each router computes tree of shortest weight paths to all other routers in the AS, with itself as the root, using Dijkstra's algorithm.

# OSPF routing

Routing table

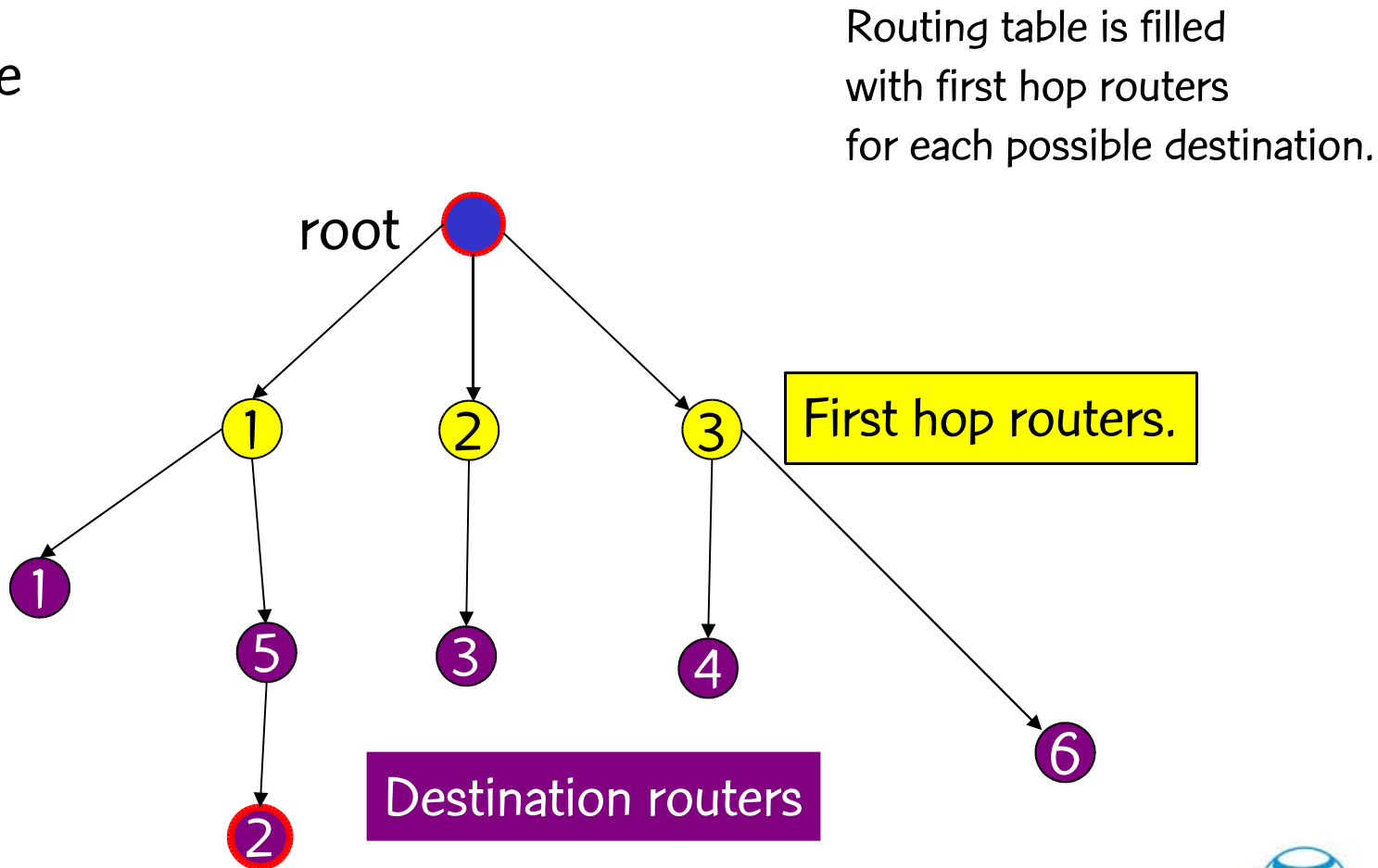
$D_1$	$R_1$
$D_2$	$R_1$
$D_3$	$R_2$
$D_4$	$R_3$
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$D_6$	$R_3$



# OSPF routing

Routing table

$D_1$	$R_1$
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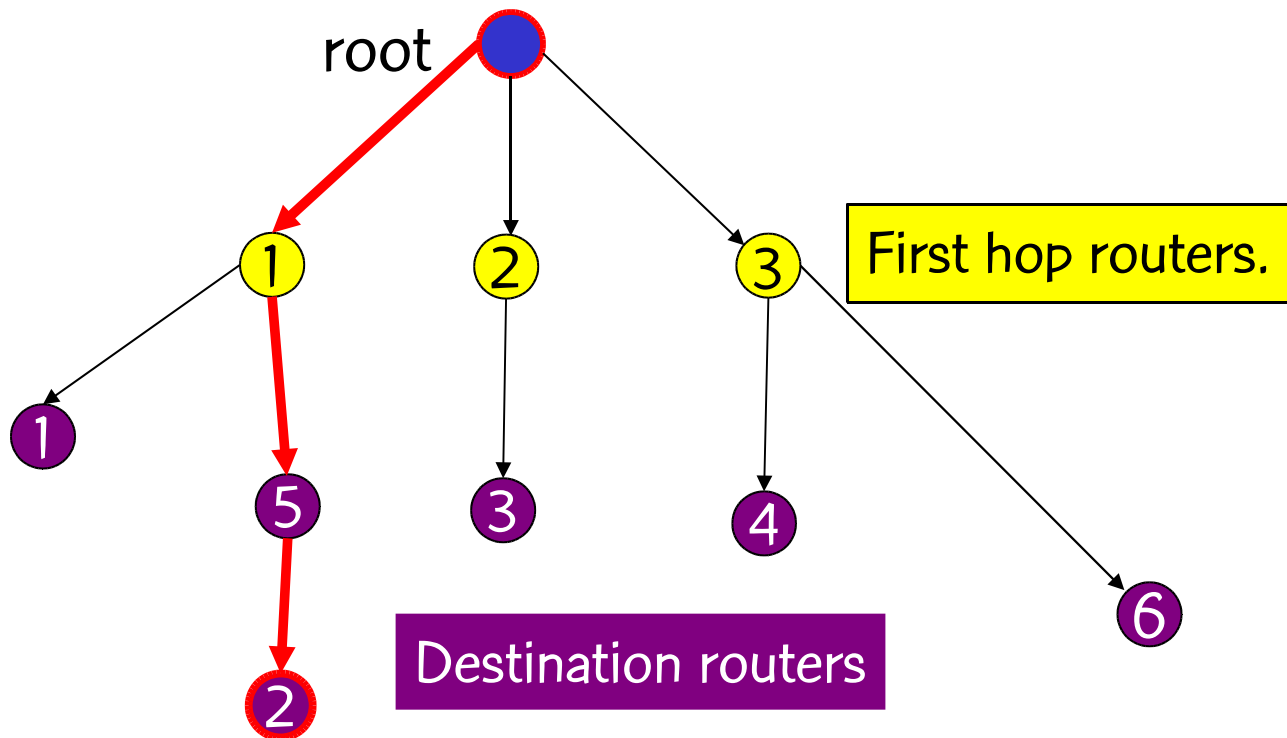


# OSPF routing

Routing table

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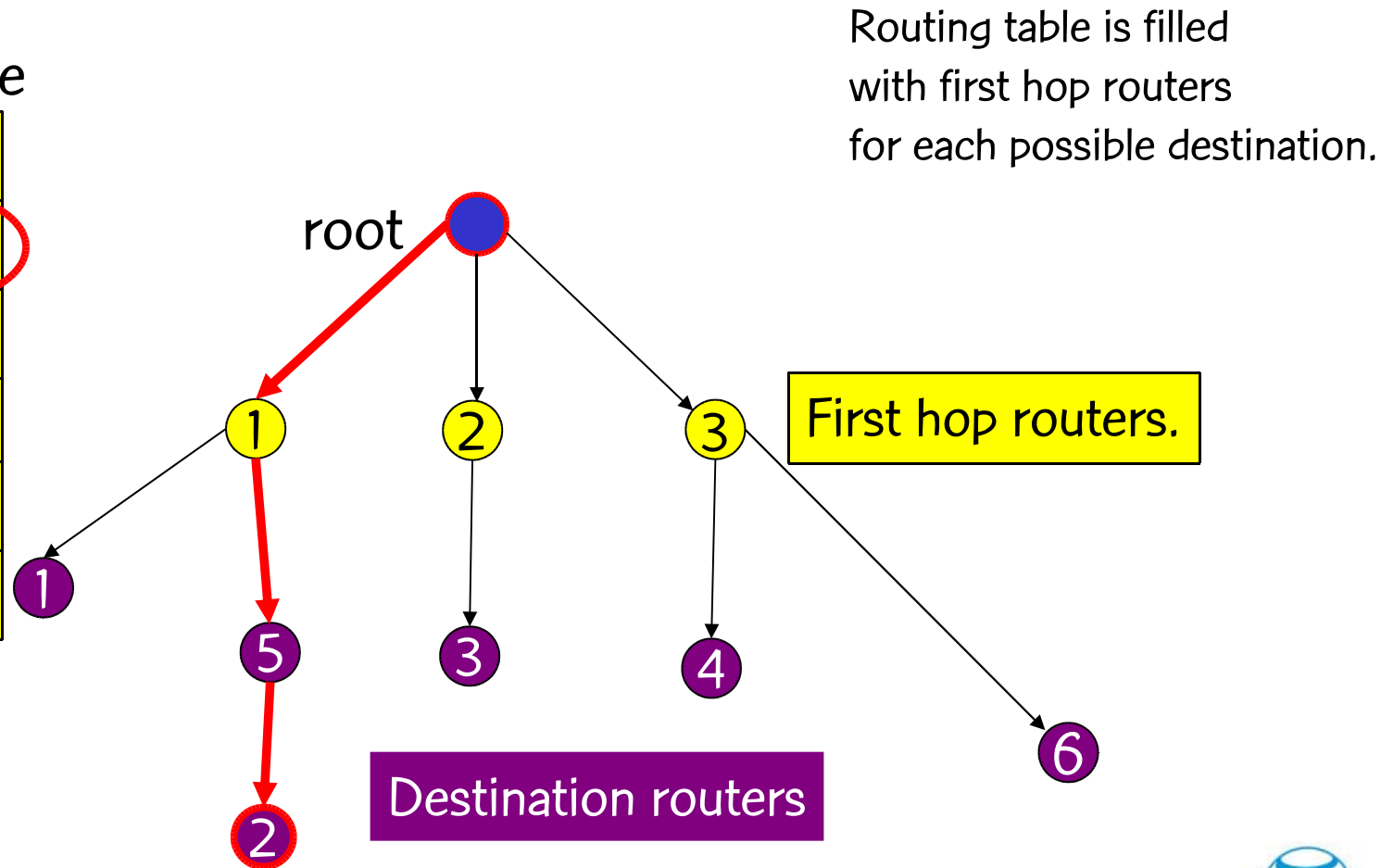
Routing table is filled with first hop routers for each possible destination.



# OSPF routing

Routing table

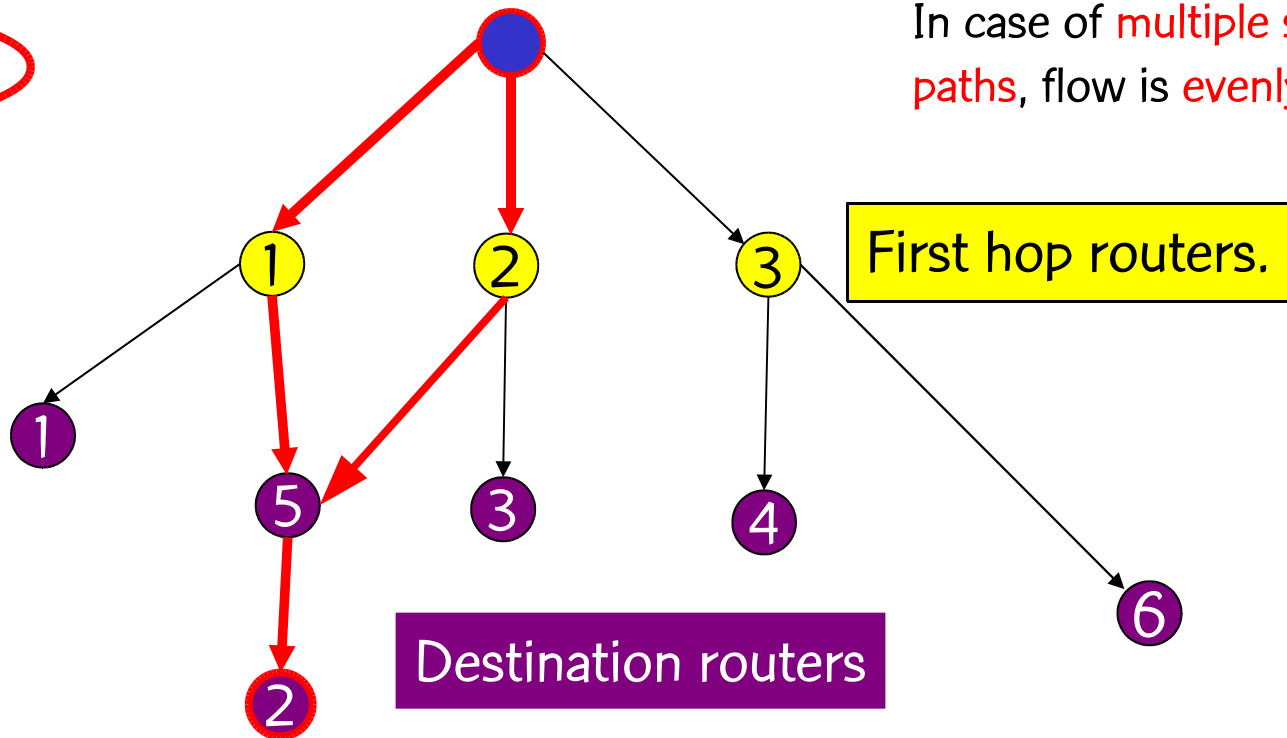
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# OSPF routing

Routing table

$D_1$	$R_1$
$D_2$	$R_1, R_2$
$D_3$	$R_2$
$D_4$	$R_3$
$D_5$	$R_1$
$D_6$	$R_3$



Routing table is filled with first hop routers for each possible destination. In case of **multiple shortest paths**, flow is **evenly split**.

# OSPF weight setting

- OSPF weights are assigned by network operator.
  - CISCO assigns, by default, a weight proportional to the inverse of the link bandwidth (Inv Cap).
  - If all weights are unit, the weight of a path is the number of hops in the path.
- We propose two BRKGA to find good OSPF weights.



# Minimization of congestion

- Consider the directed capacitated network  $G = (N, A, c)$ , where  $N$  are routers,  $A$  are links, and  $c_a$  is the capacity of link  $a \in A$ .
- We use the measure of Fortz & Thorup (2000) to compute congestion:

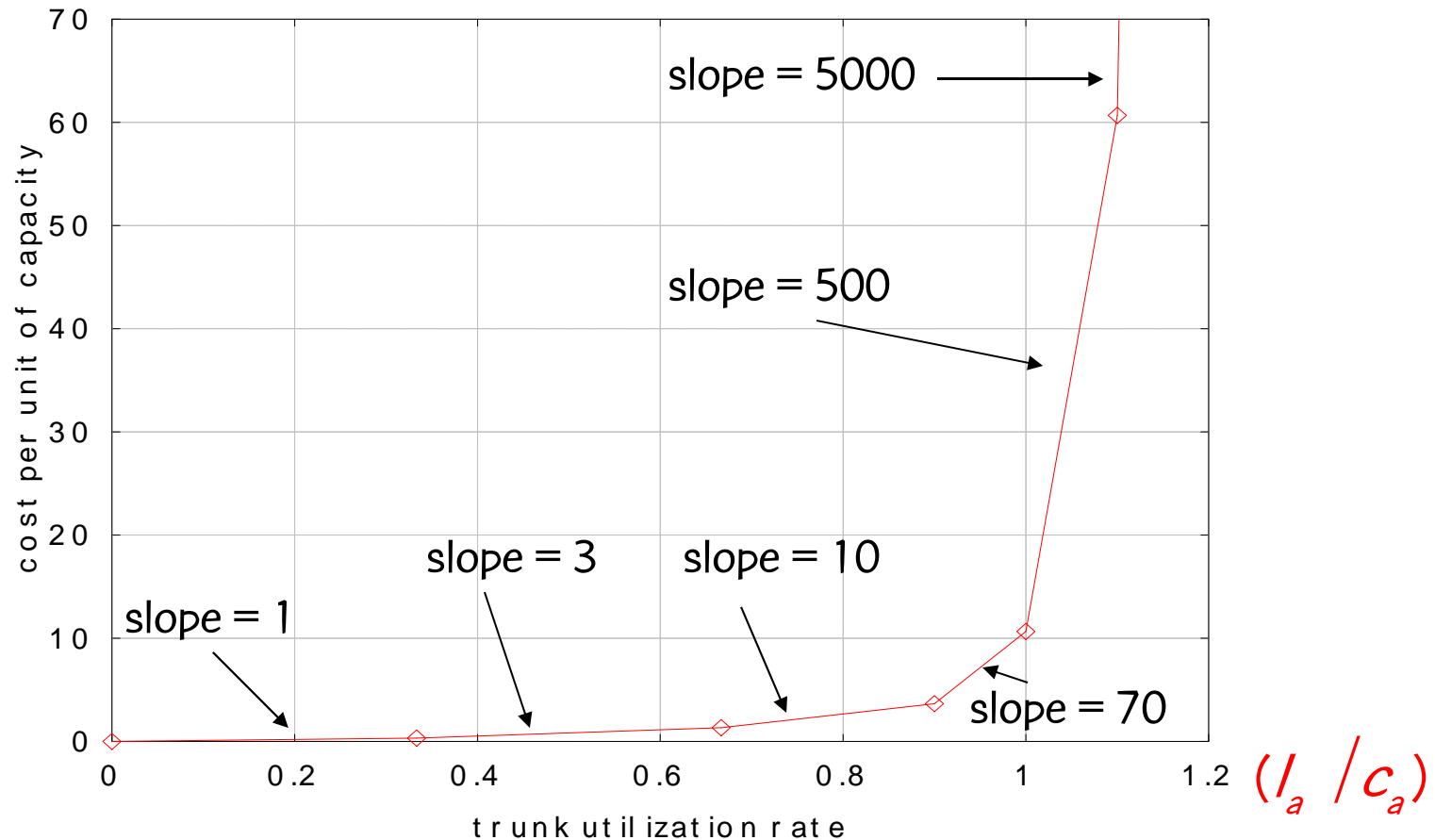
$$\Phi = \Phi_1(l_1) + \Phi_2(l_2) + \dots + \Phi_{|A|}(l_{|A|})$$

where  $l_a$  is the load on link  $a \in A$ ,

$\Phi_a(l_a)$  is piecewise linear and convex,

$\Phi_a(0) = 0$ , for all  $a \in A$ .

# Piecewise linear and convex $\Phi_a(I_a)$ link congestion measure



# OSPF weight setting problem

- Given a directed network  $G = (N, A)$  with link capacities  $c_a \in A$  and demand matrix  $D = (d_{s,t})$  specifying a demand to be sent from node  $s$  to node  $t$ :
  - Assign weights  $w_a \in [1, w_{max}]$  to each link  $a \in A$ , such that the objective function  $\Phi$  is minimized when demand is routed according to the OSPF protocol.

# BRKGA for OSPF routing in IP networks



M. Ericsson, M.G.C.R., & P.M. Pardalos, “A genetic algorithm for the weight setting problem in OSPF routing,” J. of Combinatorial Optimization, vol. 6, pp. 299–333, 2002.

Tech report version:

<http://www2.research.att.com/~mgcr/doc/gaospf.pdf>

# BRKGA for OSPF routing in IP networks

Ericsson, R., & Pardalos (J. Comb. Opt., 2002)

- Encoding:
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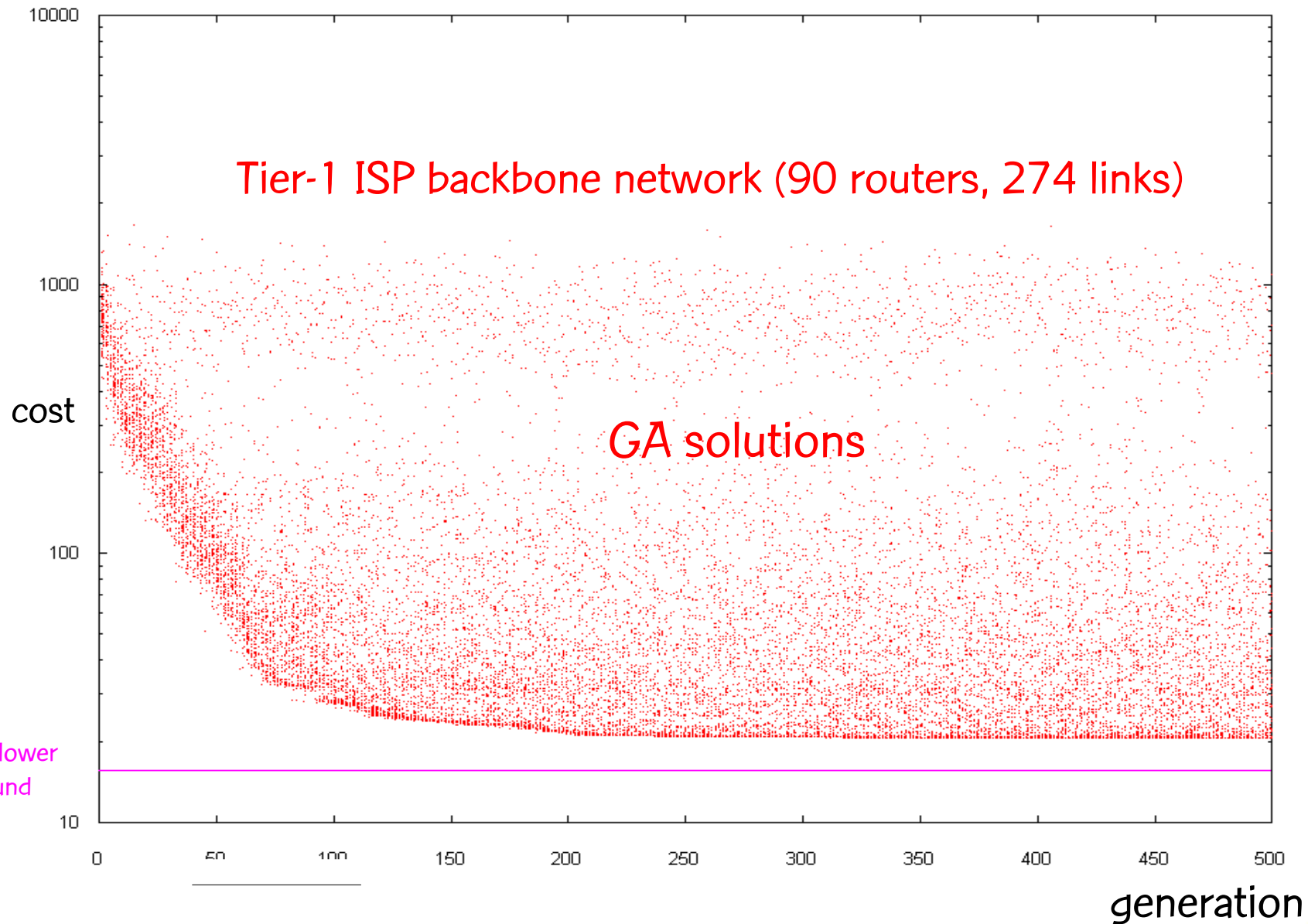
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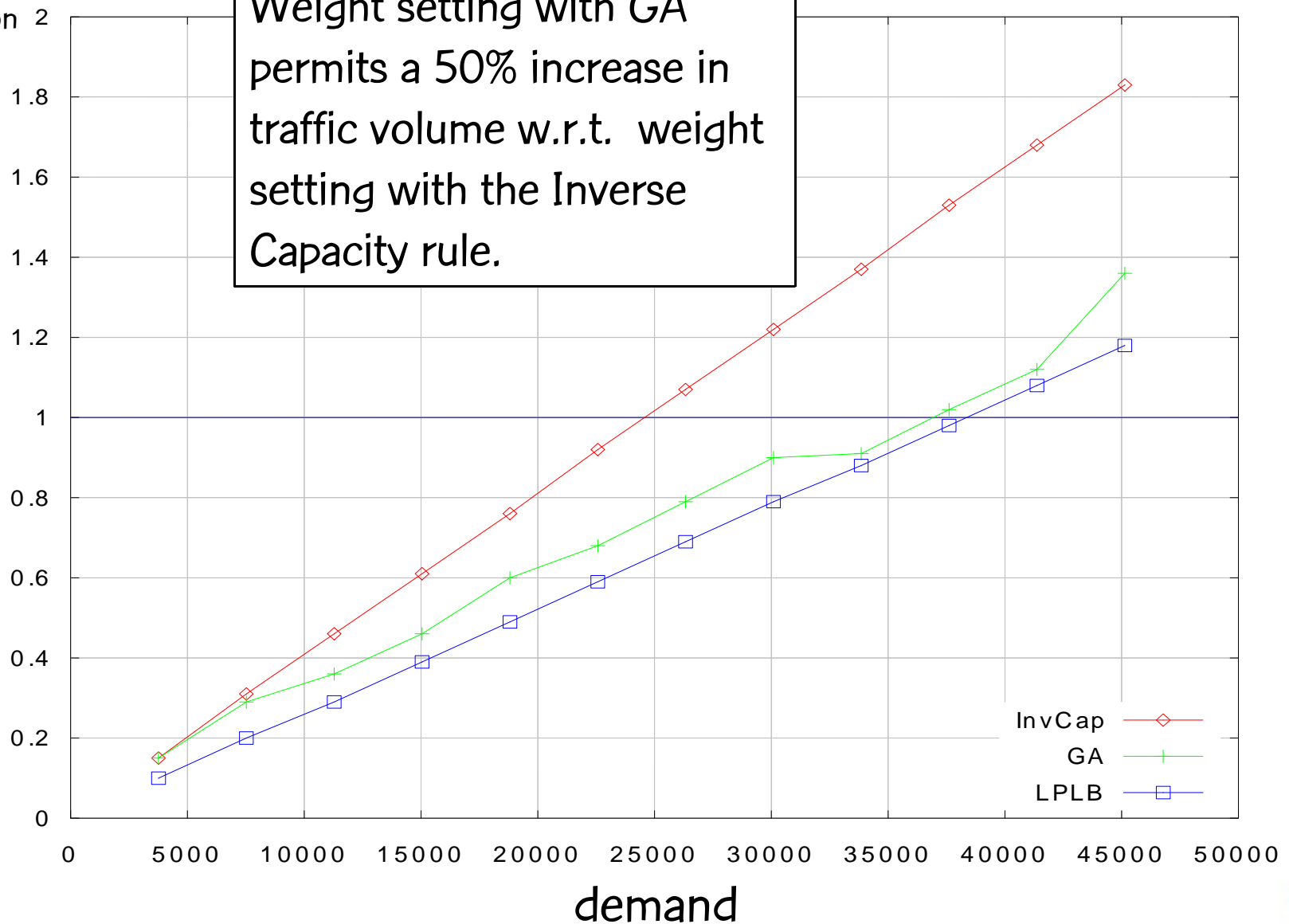


# Tier-1 ISP backbone network (90 routers, 274 links)

Max

utilization 2

Weight setting with GA  
permits a 50% increase in  
traffic volume w.r.t. weight  
setting with the Inverse  
Capacity rule.



# Improved BRKGA for OSPF routing in IP networks



L.S. Buriol, M.G.C.R., C.C. Ribeiro, and M. Thorup, “**A hybrid genetic algorithm for the weight setting problem in OSPF/IS-IS routing**,” Networks, vol. 46, pp. 36–56, 2005.

Tech report version:

<http://www2.research.att.com/~mgcr/doc/hgaospf.pdf>

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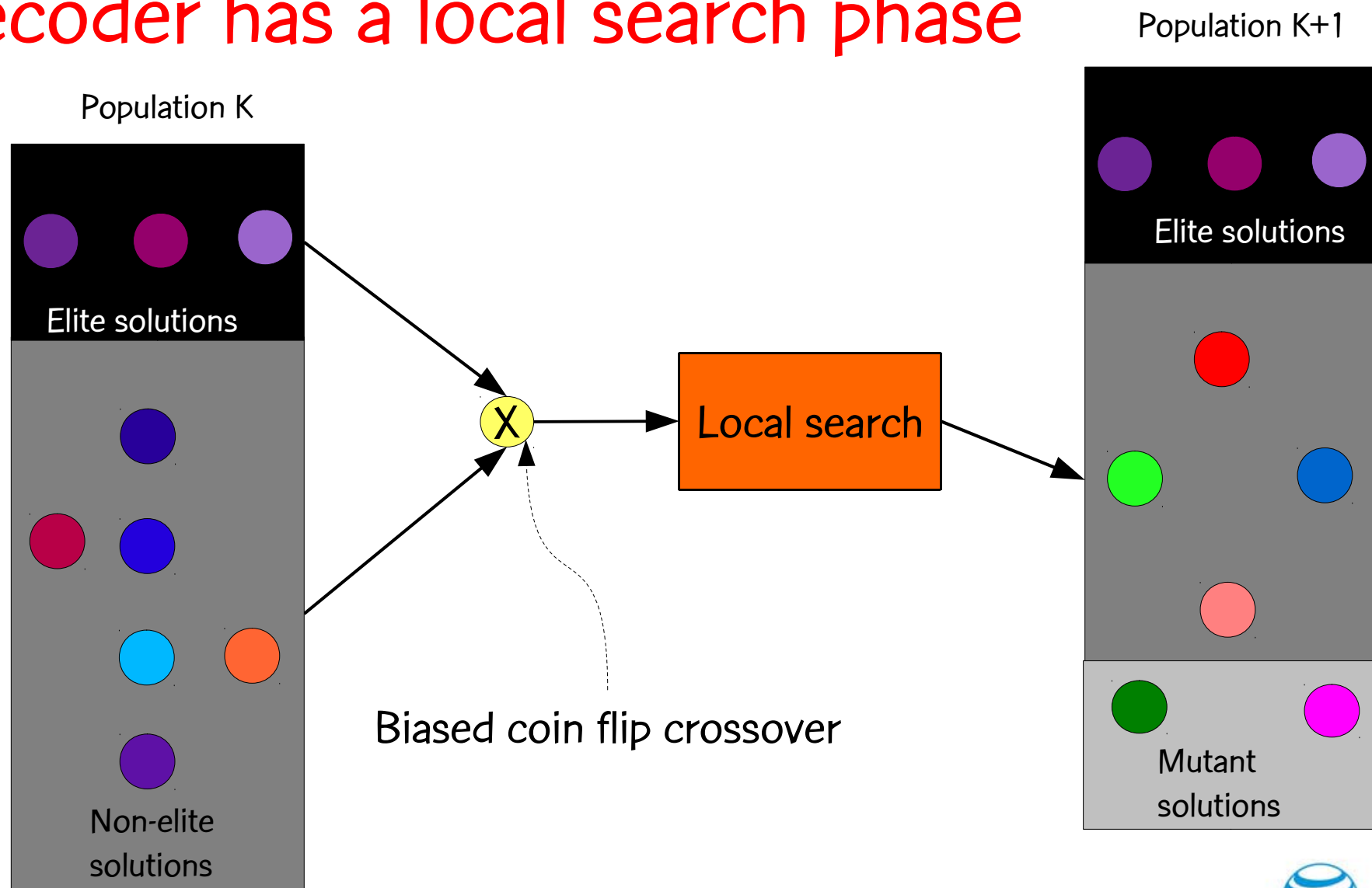
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  - Apply fast local search to improve weights.

# Decoder has a local search phase





# Fast local search

- Let  $A^*$  be the set of five arcs  $a \in A$  having largest  $\Phi_a$  values.

# Fast local search

- Let  $\bar{A}^*$  be the set of five arcs  $a \in \bar{A}$  having largest  $\Phi_a$  values.
- Scan arcs  $a \in \bar{A}^*$  from largest to smallest  $\Phi_a$ :

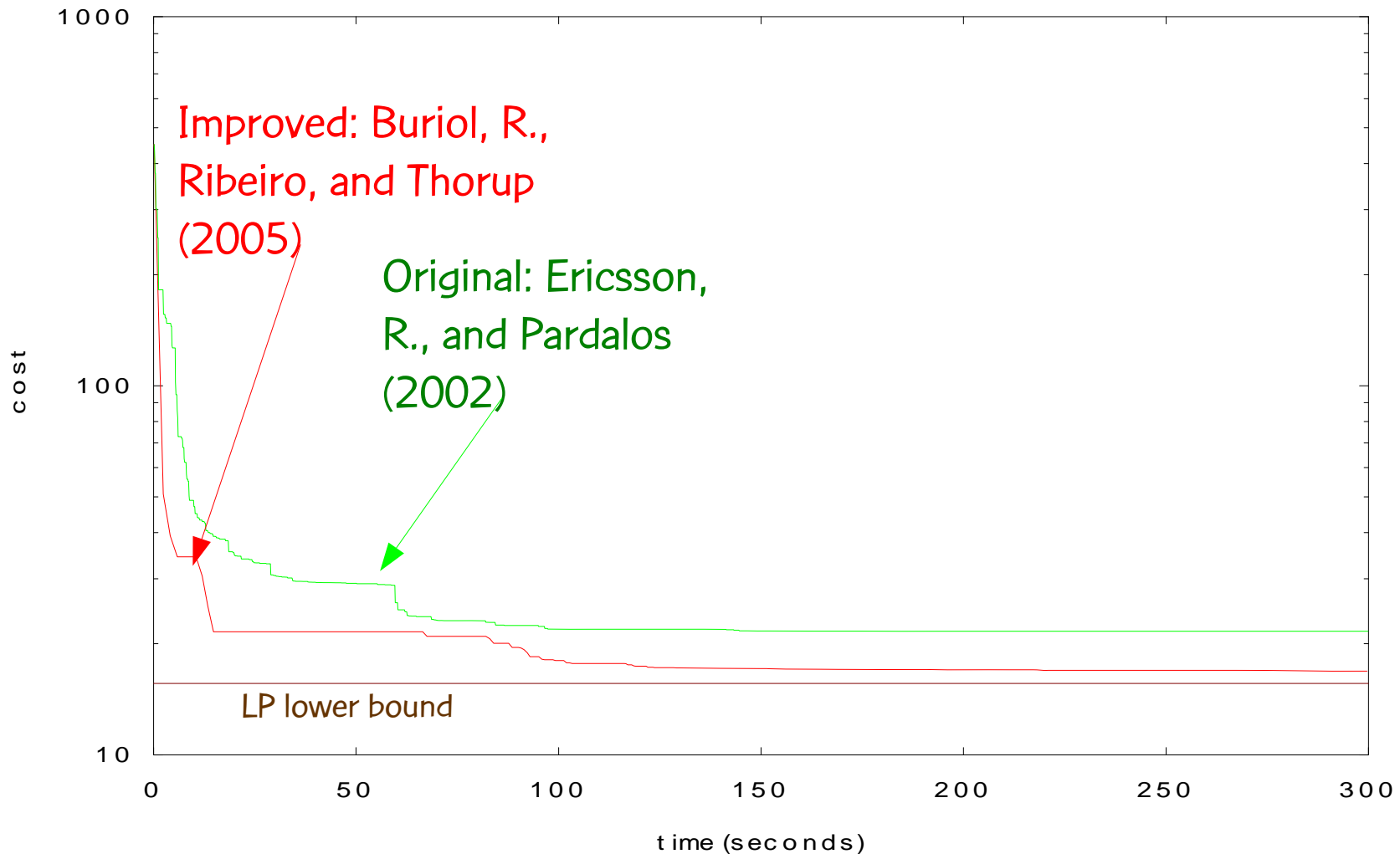
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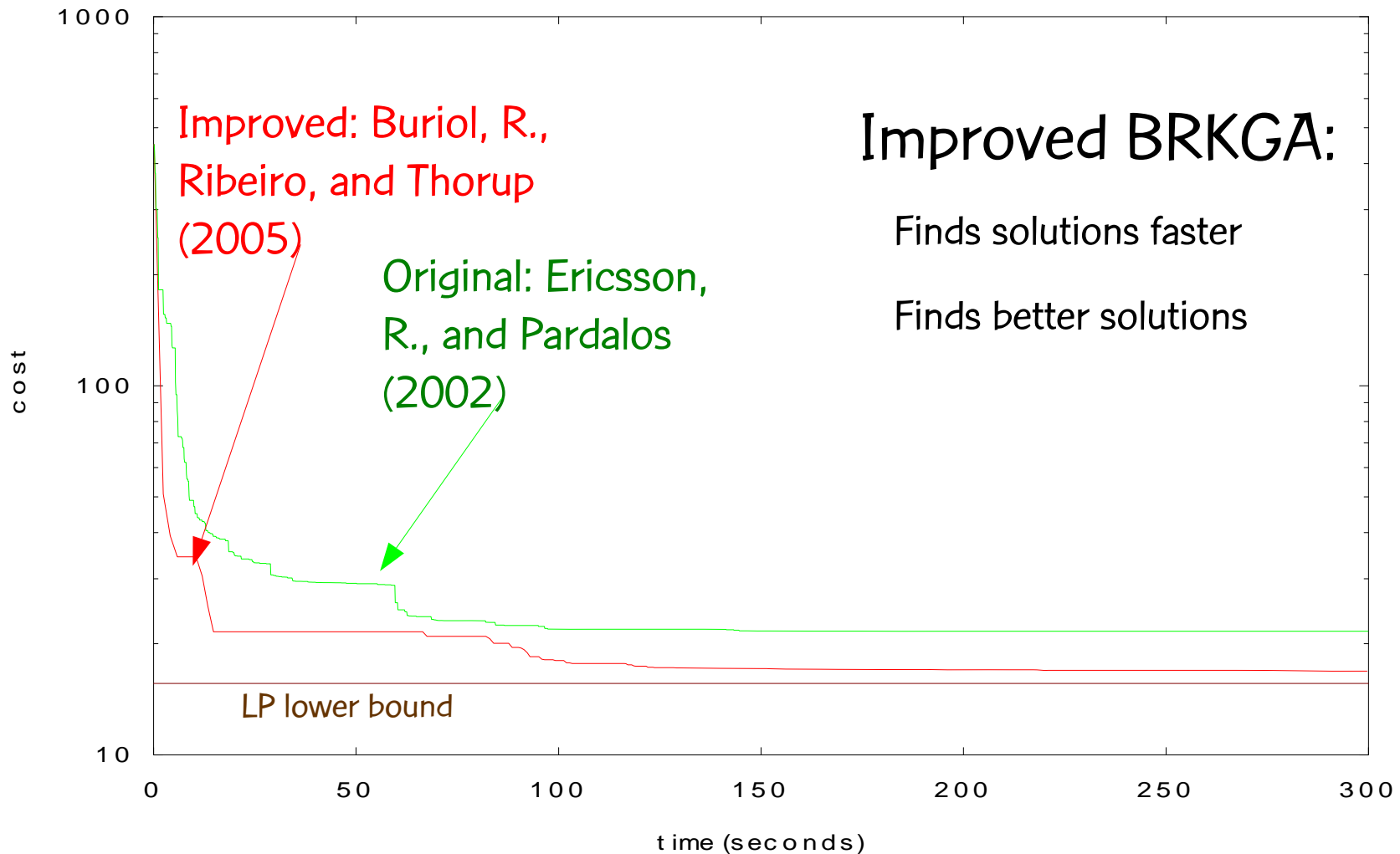
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  - If total cost  $\Phi$  is reduced, restart local search.

# Effect of decoder with fast local search



# Effect of decoder with fast local search



# Survivable IP network design

# Survivable IP network design



L.S. Buriol, M.G.C.R., and M. Thorup, “Survivable IP network design with OSPF routing,” *Networks*, vol. 49, pp. 51–64, 2007.

Tech report version:

<http://www2.research.att.com/~mgcr/doc/gamult.pdf>



# Survivable IP network design

Buriol, R., & Thorup (Networks, 2007)

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- such that all the demand can be routed on the network even when **any single arc fails**.
- Min total **design cost**  $= \sum_{a \in A} M(a) \times K(a)$ .

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  - For each failure mode: route demand according to OSPF and for each arc  $a \in A$  determine the load on arc  $a$ .

# Survivable IP network design

- Encoding:

- A vector  $X$  of  $N$  random keys, where  $N$  is the number of links. The  $i$ -th random key corresponds to the  $i$ -th link weight.

- Decoder:

- For  $i = 1, \dots, N$ : set  $w(i) = \text{ceil} ( X(i) \times w_{\max} )$
- For each failure mode: route demand according to OSPF and for each arc  $a \in A$  determine the load on arc  $a$ .
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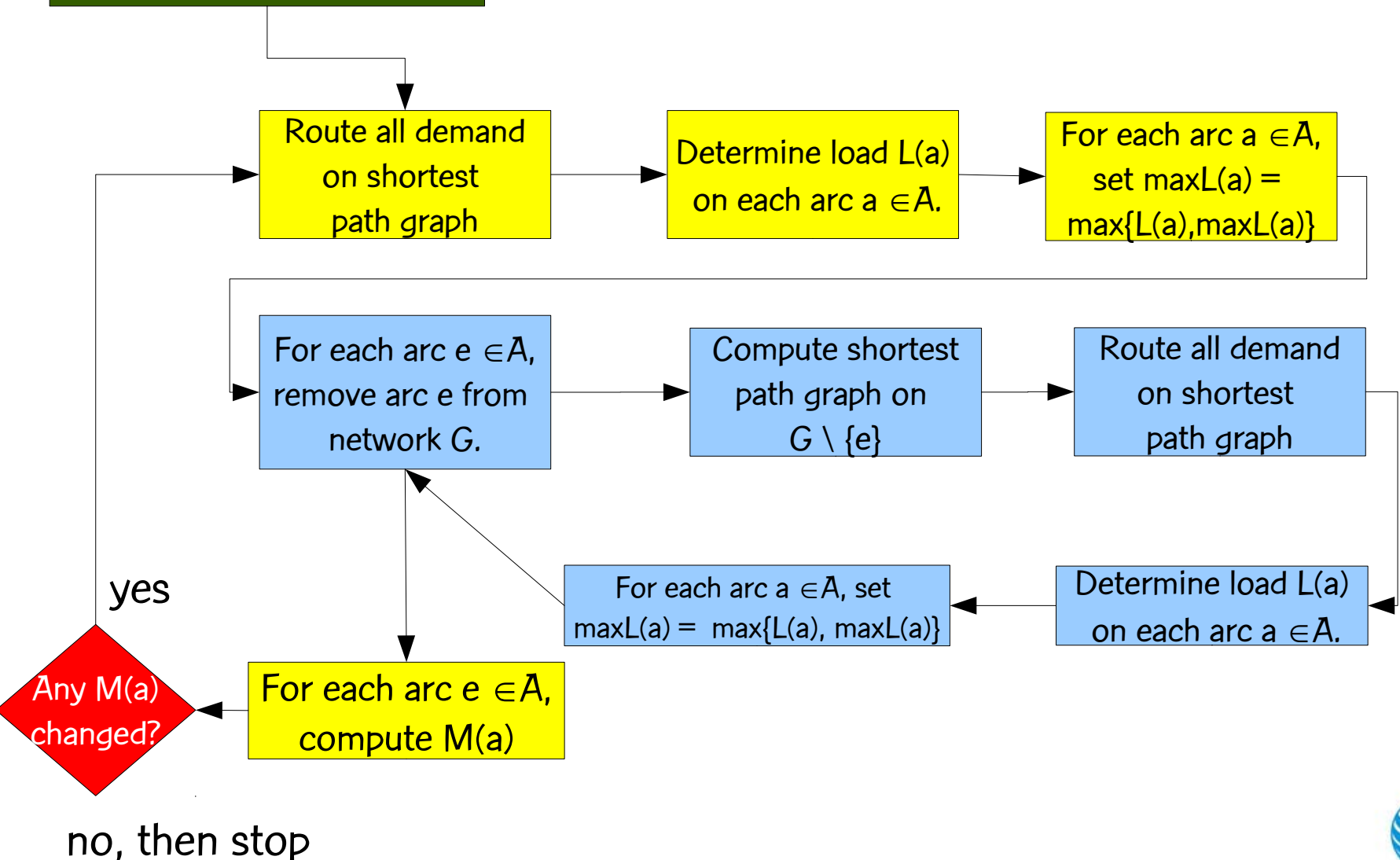
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- For each arc  $a \in A$ , determine the multiplicity  $M(a)$  using the maximum load for that arc over all failure modes.
- Network design  $\text{cost} = \sum_{a \in A} M(a) \times K(a)$



## Computing the "fitness" of a solution (single link failure case)

For each arc  $a \in \bar{A}$ , set  
 $M(a) = 1$ ;  $\max L(a) = -\infty$



# Composite-link design

- In Buriol, R., and Thorup (2006)
  - links were all of the same type,
  - only the link multiplicity had to be determined.
- Now consider composite links. Given a load  $L(a)$  on arc  $a$ , we can compose several different link types that sum up to the needed capacity  $c(a) \geq L(a)$ :
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# Composite-link design

D.V. Andrade, L.S. Buriol, M.G.C.R., and M. Thorup,  
“Survivable composite-link IP network design with OSPF  
routing,” The Eighth INFORMS Telecommunications  
Conference, Dallas, Texas, April 2006.

Tech report:

<http://www2.research.att.com/~mgcr/doc/composite.pdf>

# Composite-link design

- Link types =  $\{ 1, 2, \dots, T \}$
- Capacities =  $\{ c(1), c(2), \dots, c(T) \} : c(i) < c(i+1)$
- Prices / unit length =  $\{ p(1), p(2), \dots, p(T) \} : p(i) < p(i+1)$
- Assumptions:
  - $[p(T)/c(T)] < [p(T-1)/c(T-1)] < \dots < [p(1)/c(1)]$ , i.e. price per unit of capacity is smaller for links with greater capacity
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  - $[p(T)/c(T)] < [p(T-1)/c(T-1)] < \dots < [p(1)/c(1)]$ : economies of scale
  - $c(i) = \alpha \times c(i-1)$ , for  $\alpha \in \mathbb{N}$ ,  $\alpha > 1$ , e.g.  
 $c(\text{OC192}) = 4 \times c(\text{OC48})$ ;  $c(\text{OC48}) = 4 \times c(\text{OC12})$ ;  
 $c(\text{OC12}) = 4 \times c(\text{OC3})$ ;

OC3	OC12	OC48	OC192	
155 Mb/s	622 Mb/s	2.5 Gb/s	10 Gb/s	$\alpha = 4$

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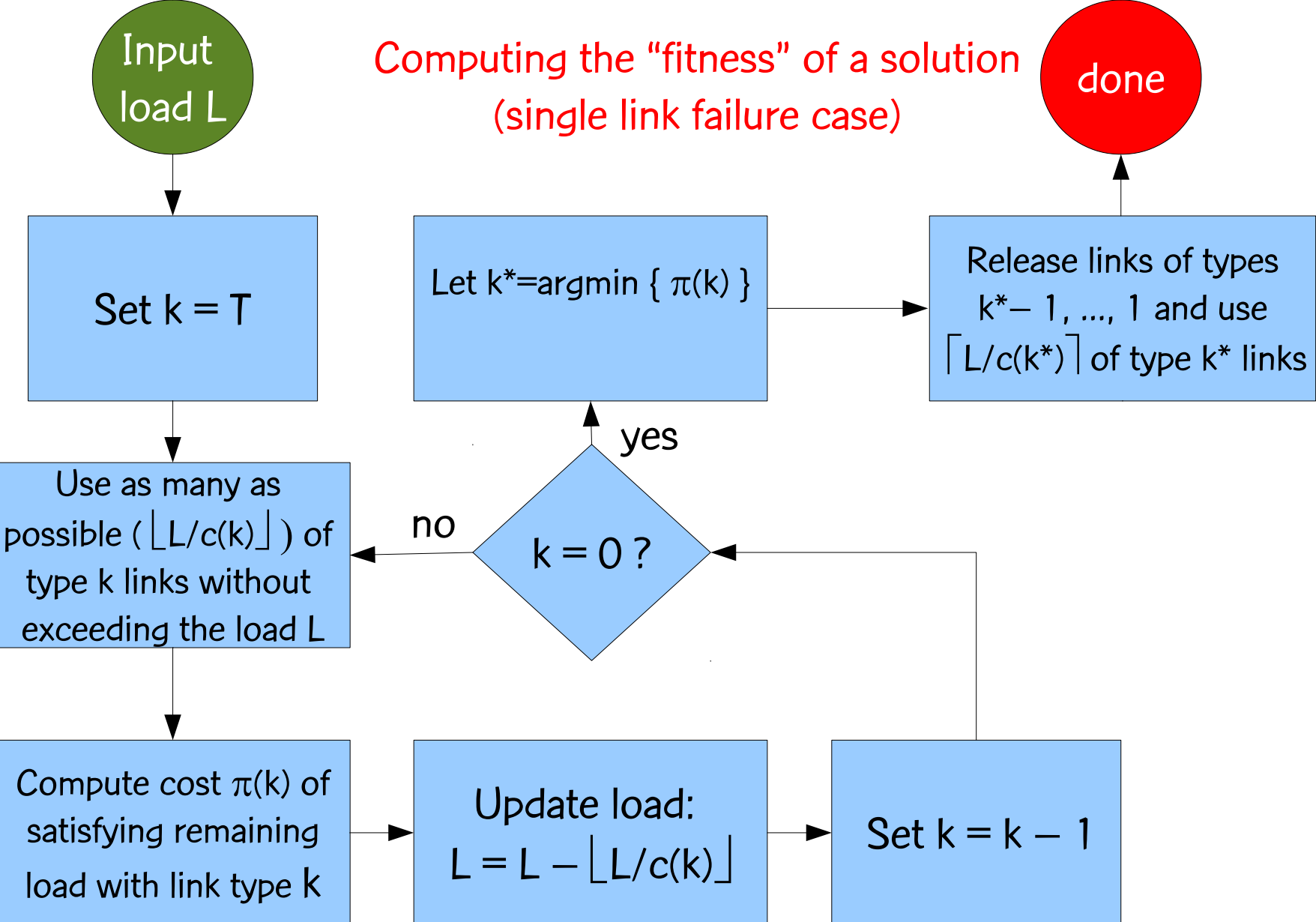
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- Network design cost =  $\sum_{i \in A} \sum_{t \text{ used in arc } i} M(t,i) \times p(t)$

Computing the “fitness” of a solution  
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# Redundant content distribution

# Reference:

## ALENEX11

Workshop on  
Algorithm Engineering & Experiments

January 22, 2011

Holiday Inn San Francisco Golden Gateway  
San Francisco, California USA

L. Breslau, I. Diakonikolas, N. Duffield,  
Y. Gu, M. Hajiaghayi, D.S. Johnson,  
H. Karloff, M.G.C.R., and S. Sen, “Disjoint-  
path facility location: Theory and practice,”  
Proceedings of the Thirteenth Workshop on  
Algorithm Engineering and Experiments  
(ALENEX11), SIAM, San Francisco,  
pp. 60–74, January 22, 2011

Tech report version:

<http://www2.research.att.com/~mgcr/doc/monitoring-alenex.pdf>



# Redundant content distribution (RCD)

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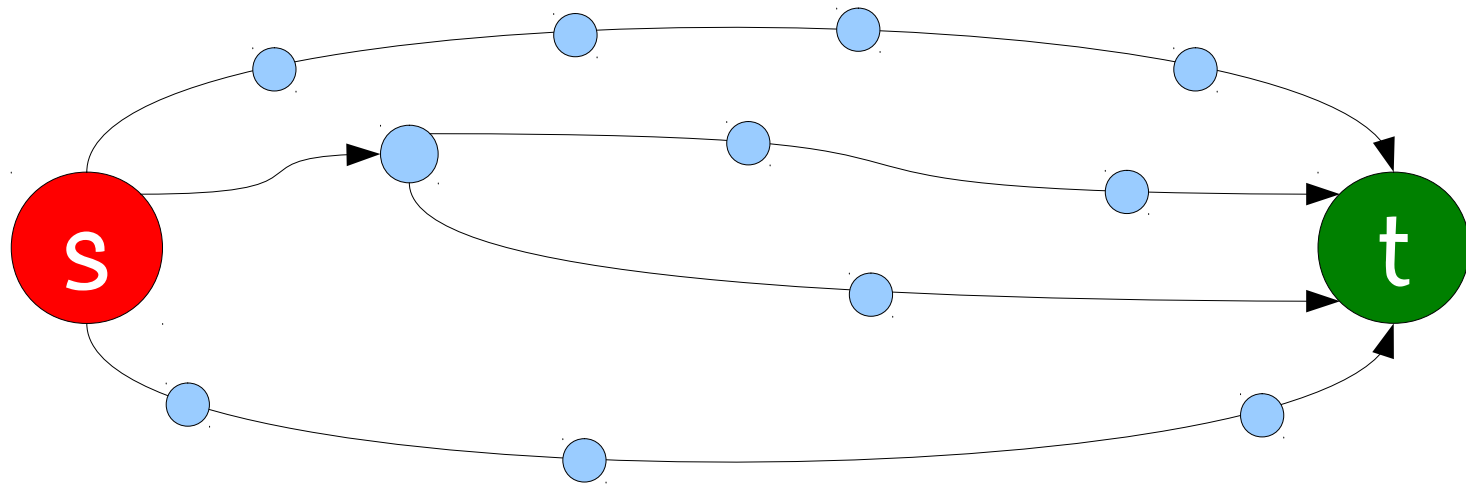
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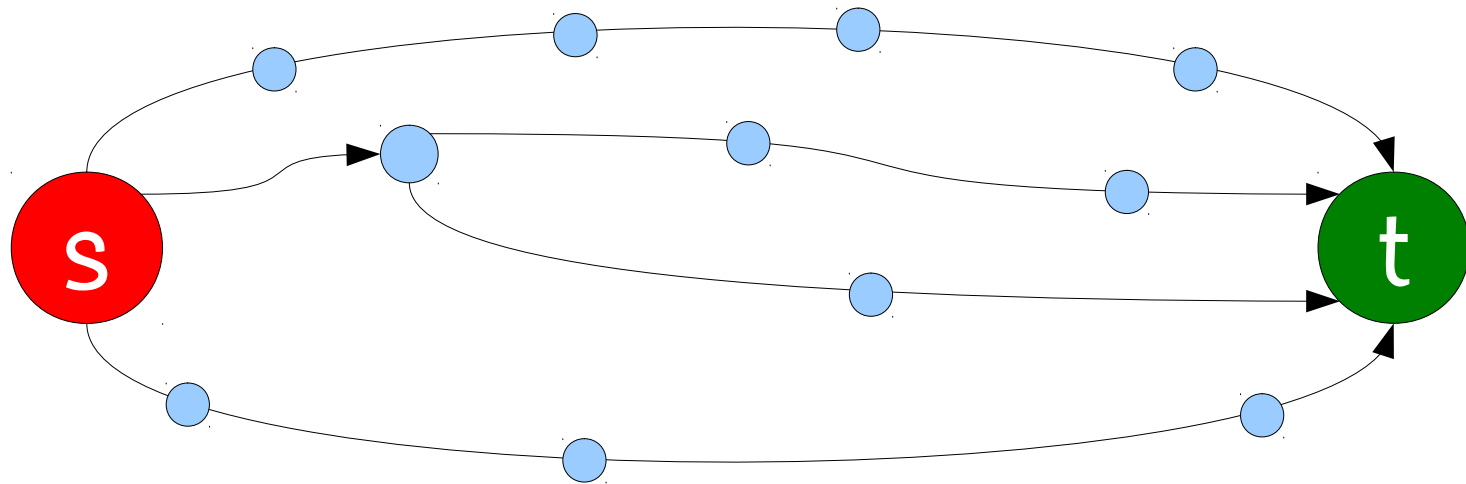
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- Copies of content are stored throughout the network in data warehouses.
- Content is sent from data warehouse to user on routes determined by OSPF.
- Problem: Locate minimum number of warehouses in network such all users get their content even in presence of edge failures.

# Redundant content distribution



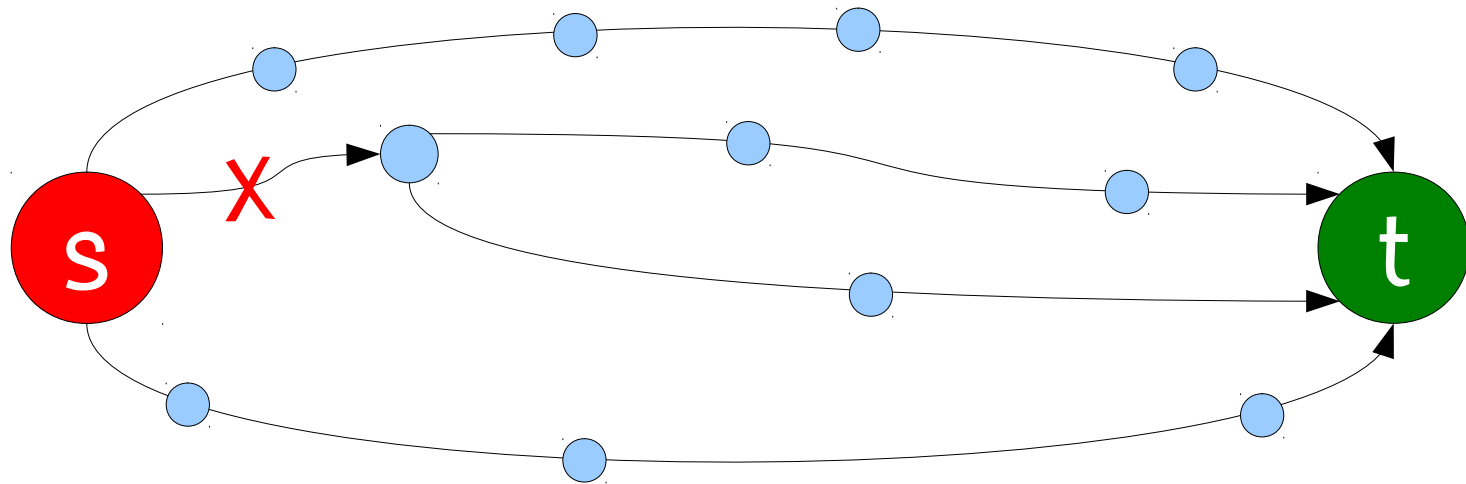
Traffic from node **s** to node **t** flows on paths defined by OSPF.

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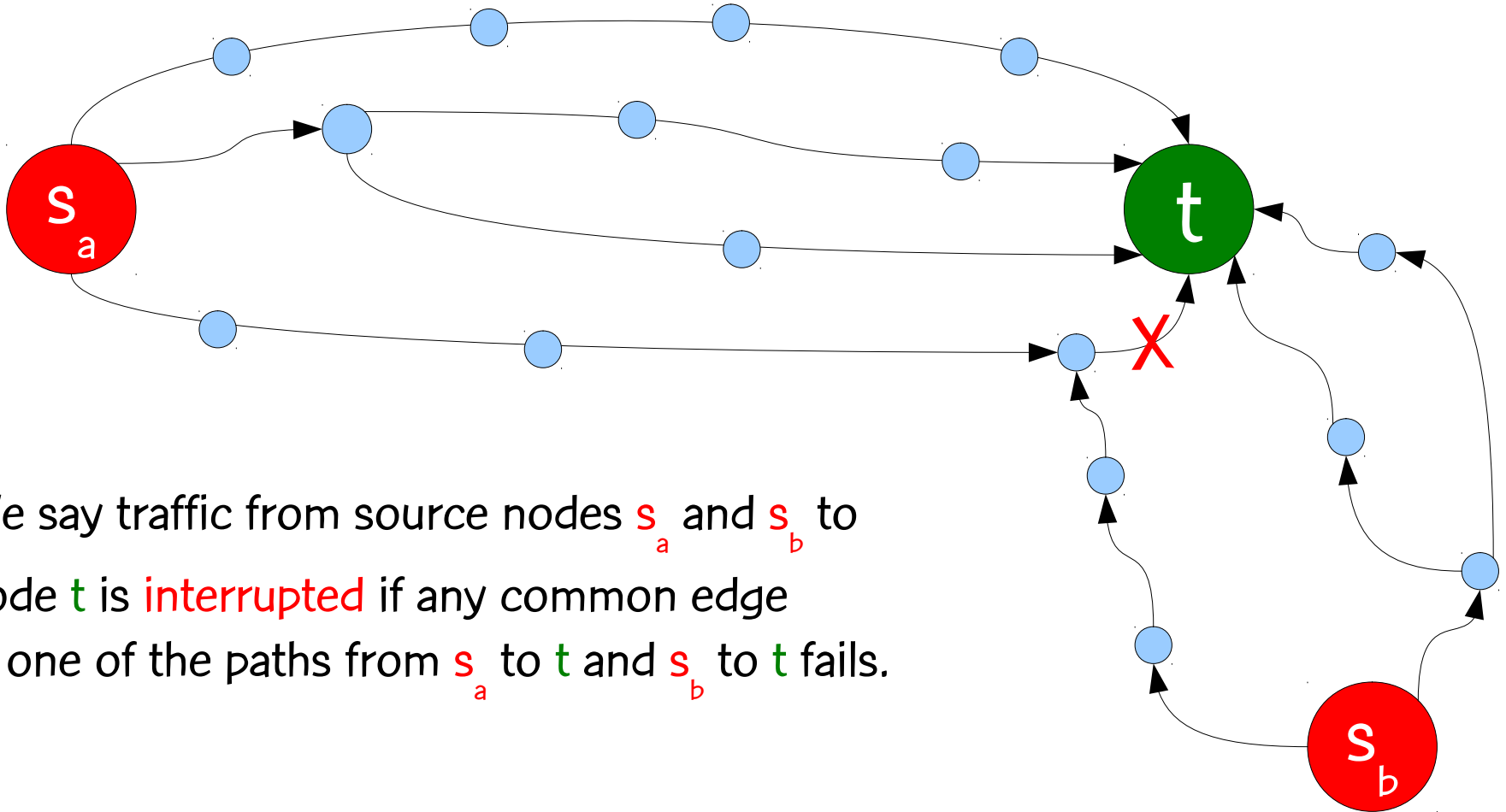


We don't know on which path a particular packet will flow.

# Redundant content distribution

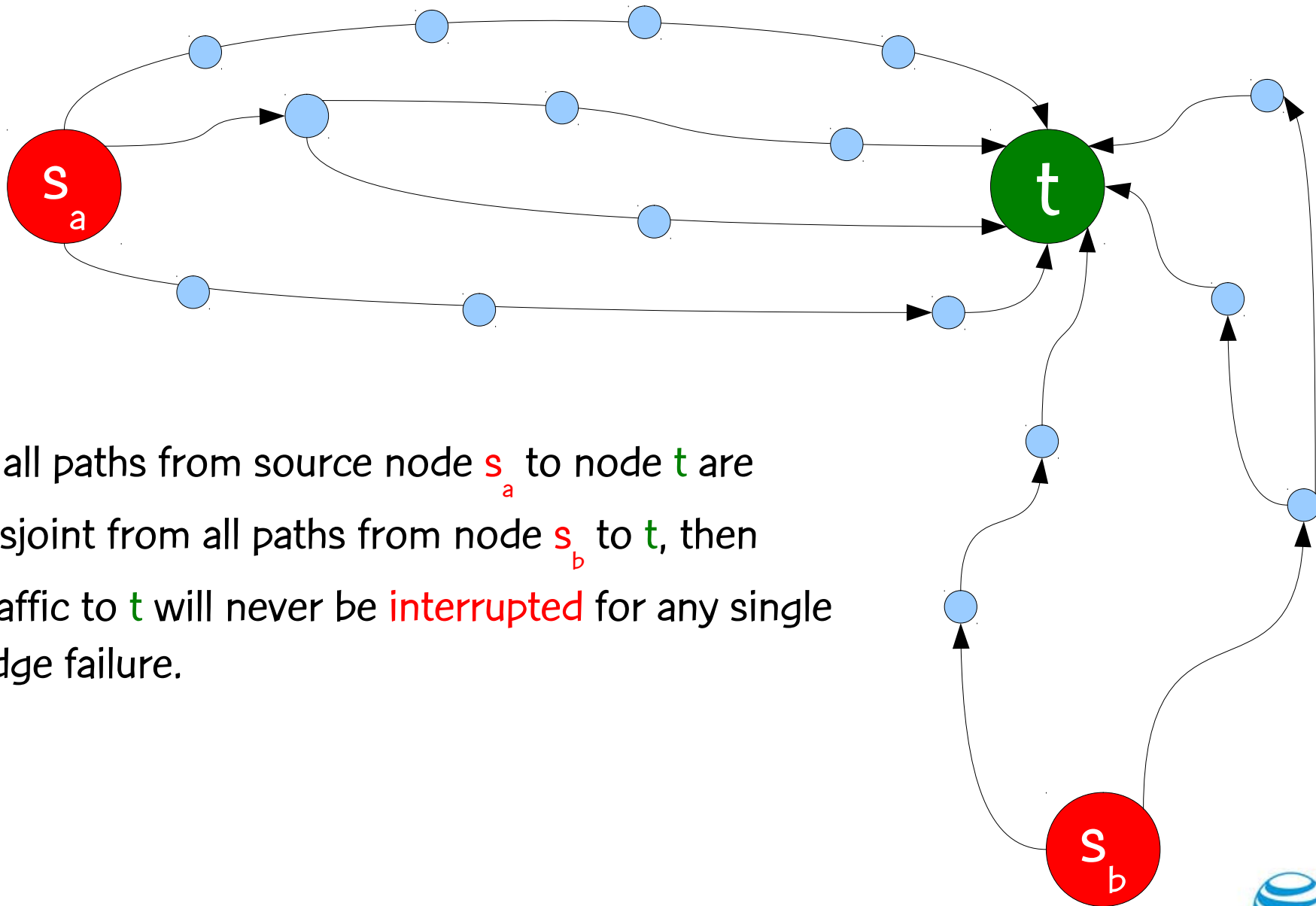


We say traffic from node **s** to node **t** is **interrupted** if any edge in one of the paths from **s** to **t** fails.



We say traffic from source nodes  $s_a$  and  $s_b$  to node  $t$  is **interrupted** if any common edge in one of the paths from  $s_a$  to  $t$  and  $s_b$  to  $t$  fails.





If all paths from source node  $s_a$  to node  $t$  are disjoint from all paths from node  $s_b$  to  $t$ , then traffic to  $t$  will never be interrupted for any single edge failure.

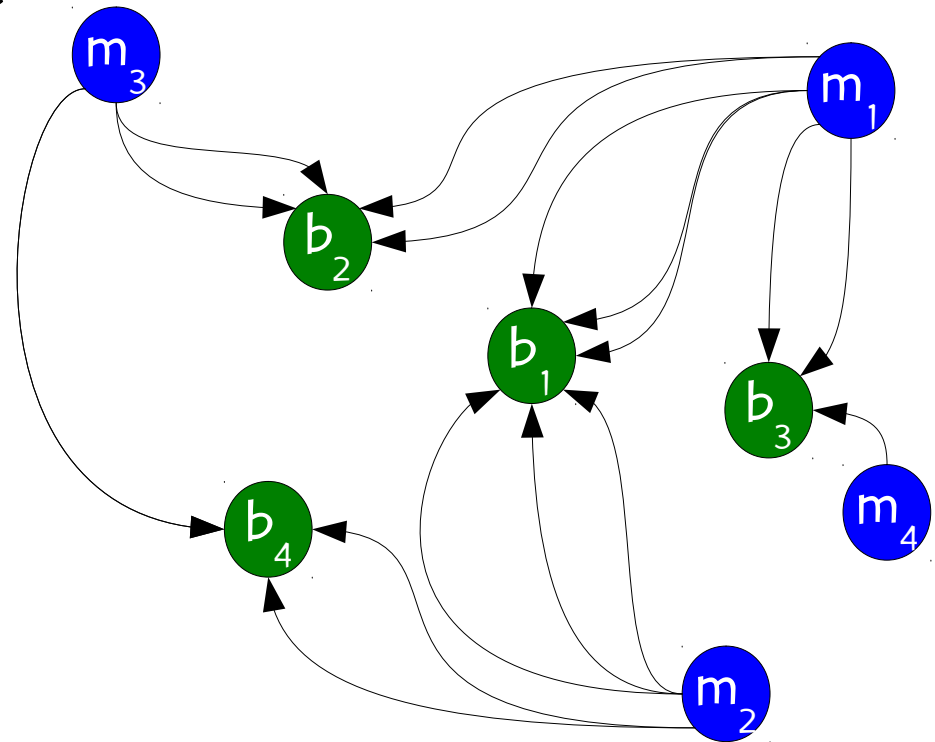
# Redundant content distribution

Suppose nodes  $b_1, b_2, \dots$  want some content (e.g. video).

We want the smallest set  $\mathbf{S}$  of servers such that:

for every  $b_i$  there exist  $m_1, m_2 \in \mathbf{S}$  both of which can provide content to  $b_i$

and all paths  $m_1 \rightarrow b$  are disjoint  
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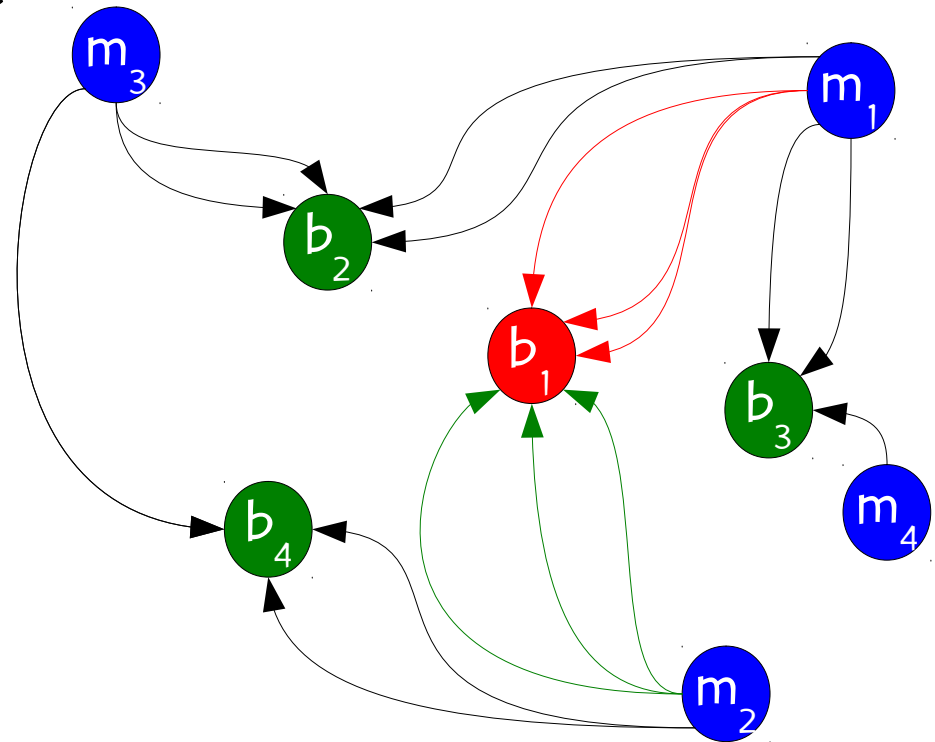
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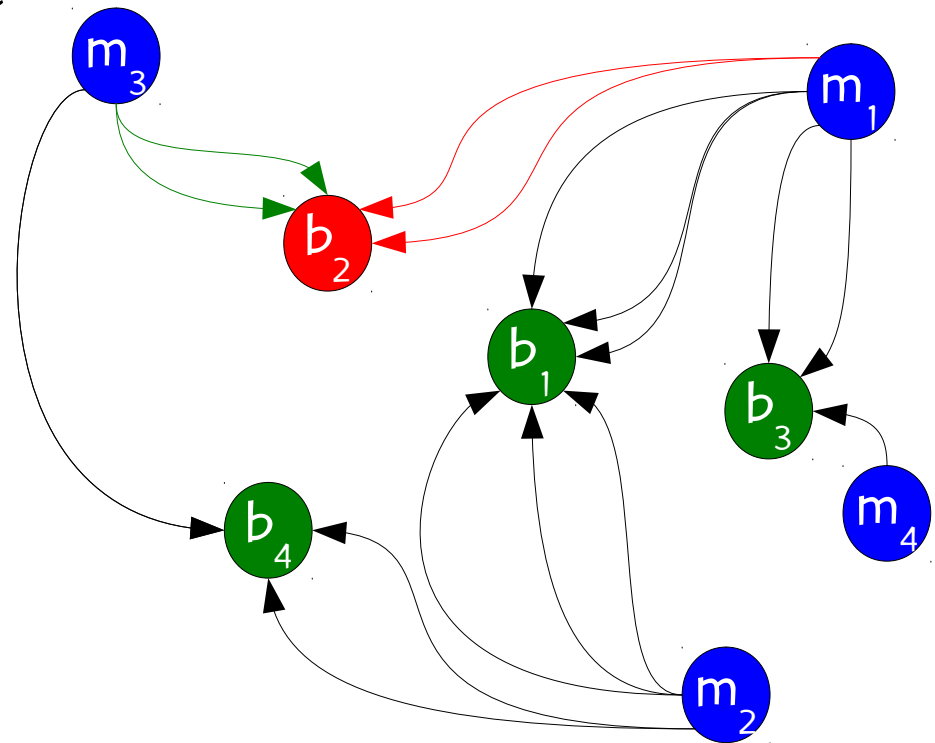
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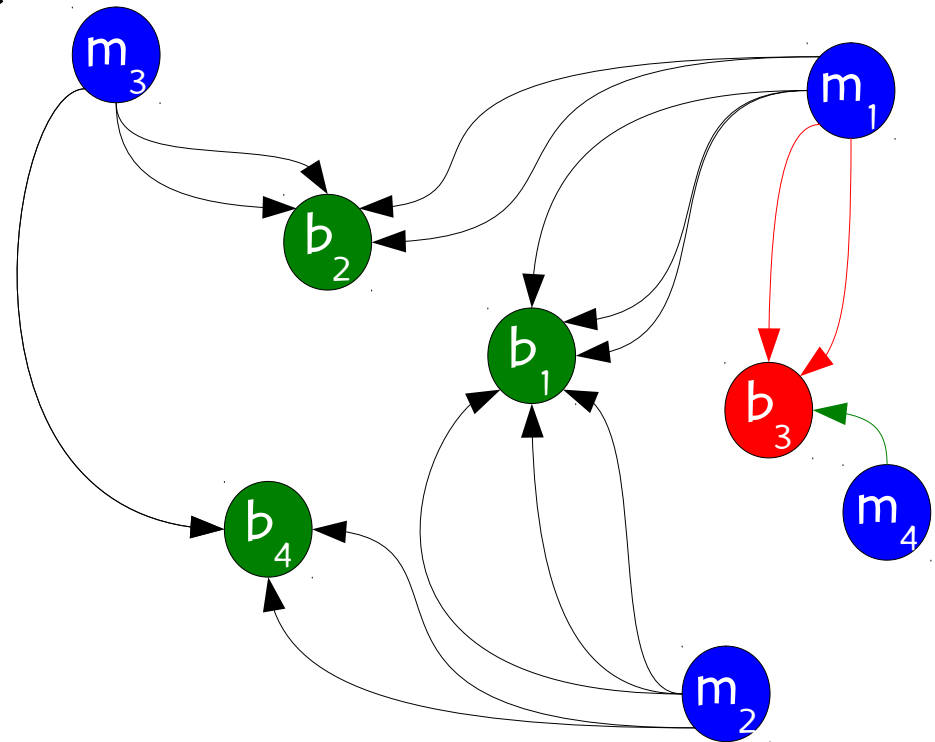
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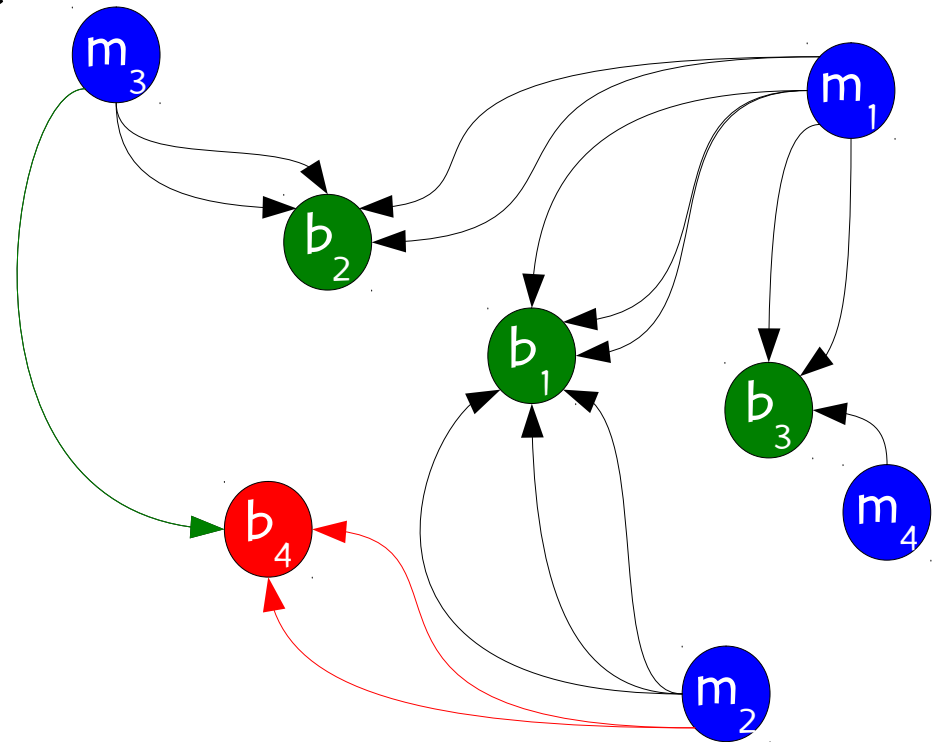
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# Redundant content distribution

- Given:
  - A directed network  $G = (V, E)$ ;
  - A set of nodes  $B \subseteq E$  where content-demanding users are located;
  - A set of nodes  $M \subseteq E$  where content warehouses can be located;
  - The set of all OSPF paths from  $m$  to  $b$ , for  $m \in M$  and  $b \in B$ .

# Redundant content distribution

- Compute:
  - The set of triples  $\{ m_1, m_2, b \}^i, i = 1, 2, \dots, T$ , such that all paths from  $m_1$  to  $b$  and from  $m_2$  to  $b$  are disjoint, where  $m_1, m_2 \in M$  and  $b \in B$ .
  - Note that if  $B \cap M \neq \emptyset$ , then some triples will be of the type  $\{ b, b, b \}$ , where  $b \in B \cap M$ , i.e. a data warehouse that is co-located with a user can provide content to the user by itself.



# Redundant content distribution

- Solve the covering by pairs problem:
  - Find a smallest-cardinality set  $M^* \subseteq M$  such that for all  $b \in B$ , there exists a triple  $\{m_1, m_2, b\}$  in the set of triples such that  $m_1, m_2 \in M^*$ .

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  - if no pair exists, then the problem is infeasible

# BRKGA for redundant content distribution

# BRKGA for the RCD problem

- Encoding:
  - A vector  $X$  of  $N$  keys randomly generated in the real interval  $(0,1]$ , where  $N = |M|$  is the number of potential data warehouse nodes. The  $i$ -th random key corresponds to the  $i$ -th potential data warehouse node.



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- If solution is feasible, i.e. all users are covered: **STOP**
- Else, apply greedy algorithm to cover uncovered user nodes.

# BRKGA for the RCD problem

- Size of population:  $N$  (number of monitoring nodes)
- Size of elite set: 15% of  $N$
- Size of mutant set: 10% of  $N$
- Biased coin probability: 70%
- Stop after  $N$  generations without improvement of best found solution

# Another application: Host placement for end-to-end monitoring

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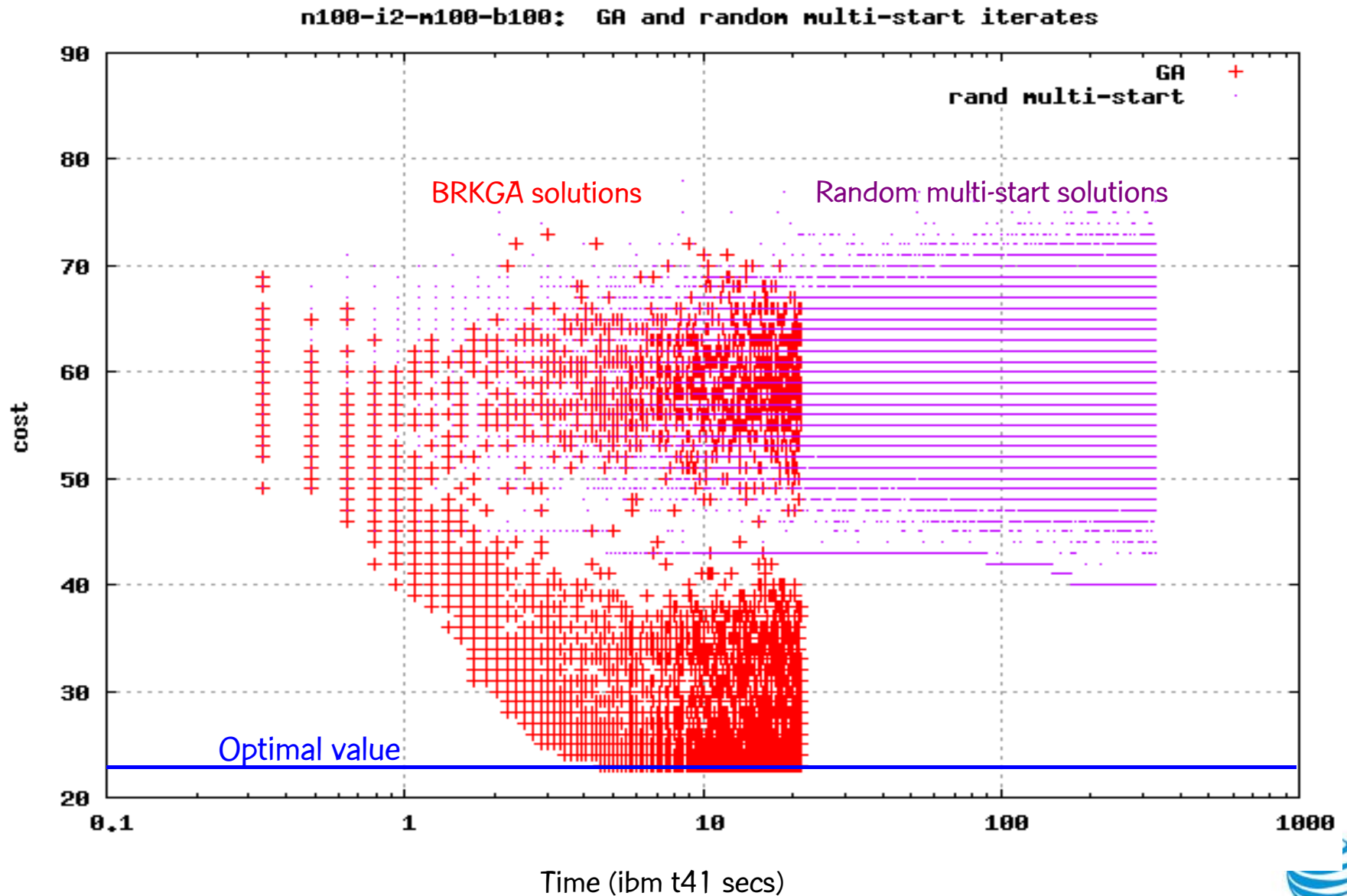
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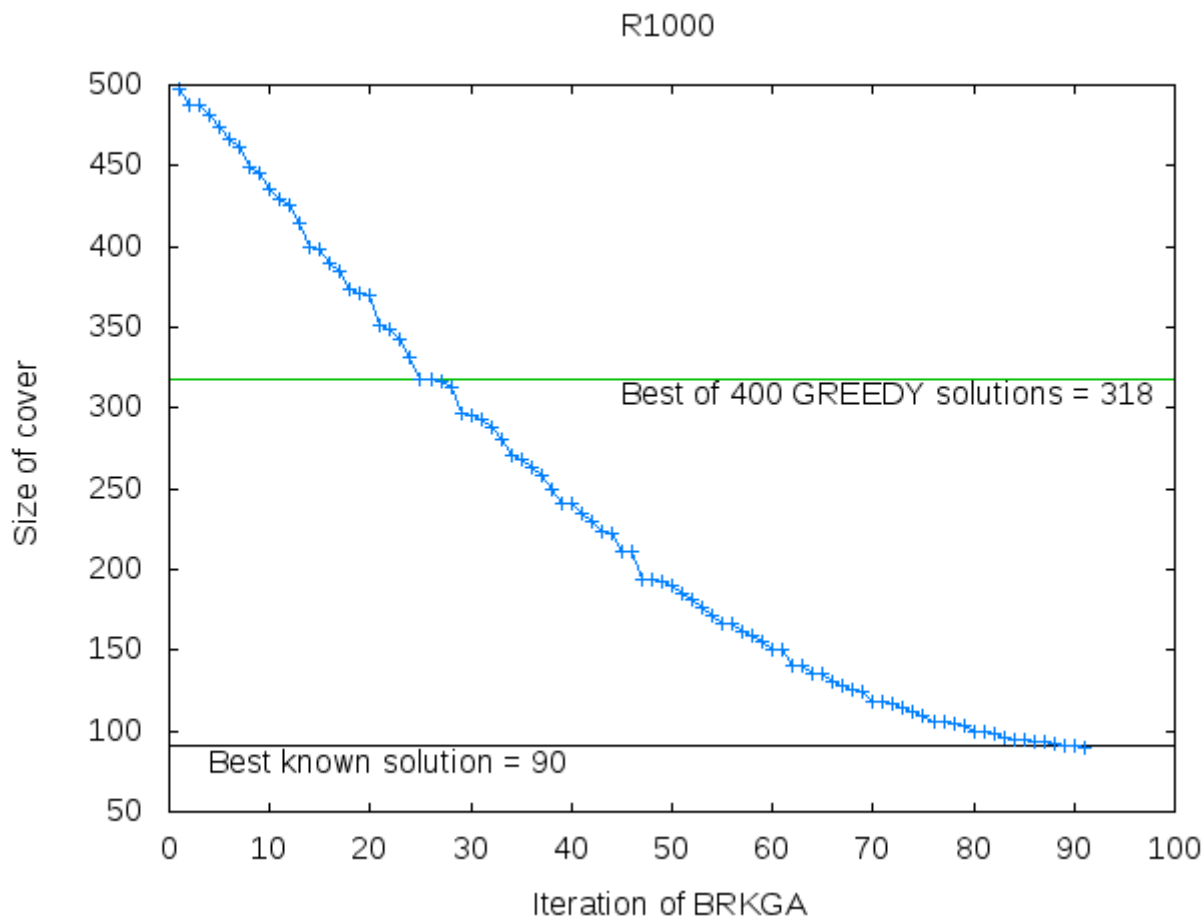


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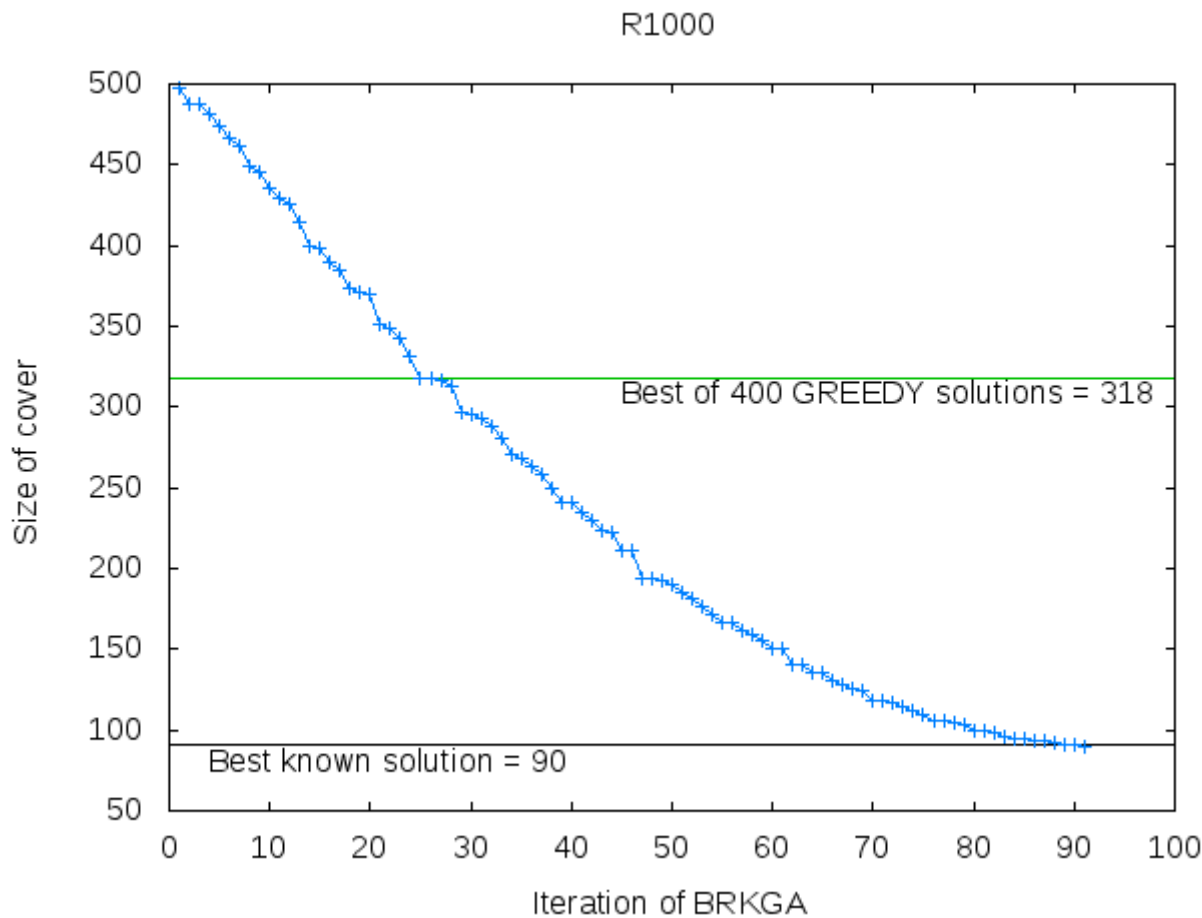
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- We want to minimize the number of monitoring equipment placed in the network to measure packet loss rate: This is a type of covering by pairs problem.

solution





Real-world instance derived from a proprietary Tier-1 Internet Service Provider (ISP) backbone network using OSPF for routing.



Size of network: about 1000 nodes, where almost all can store content and about 90% have content-demanding users. Over 45 million triples.

# Regenerator location problem

# Reference

A. Duarte, R. Martí, M.G.C.R., and R.M.A. Silva, "Randomized heuristics for the regenerator location problem," AT&T Labs Research Technical Report, Florham Park, NJ, July 13, 2010.

Tech report version:

<http://www.research.att.com/~mgcr/doc/gpr-regenloc.pdf>

# Signal regeneration

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- Telecommunication systems use optical signals to transmit information
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- Signal must be regenerated periodically to reach destination: Regenerators
- Regenerators are expensive: minimize the number of regenerators in the network

# Regenerator location problem (RLP)

- Given:
  - Graph  $G=(V,E)$ , where  $V$  are vertices,  $E$  are edges, where edge  $(i,j)$  has a real-valued length  $d(i,j) > 0$
  - $D$  is the maximum length that a signal can travel before it must be regenerated

# Regenerator location problem (RLP)

- Find:
  - Paths that connect all pairs of nodes in  $V \times V$
  - Nodes where it is necessary to locate single regenerators
- Minimize number of deployed regenerators

# Regenerator location problem (RLP)

- Path between  $\{s, t\} \in E$ 
  - $\{ (s, v[1]), (v[1], v[2]), \dots, (v[k], t) \}$  is formed by one or more path segments
- Path segment is sequence of consecutive edges
  - $\{ (v[i], v[i+1]), (v[i+1], v[i+2]), \dots, (v[q-1], v[q]) \}$  in the path satisfying the condition

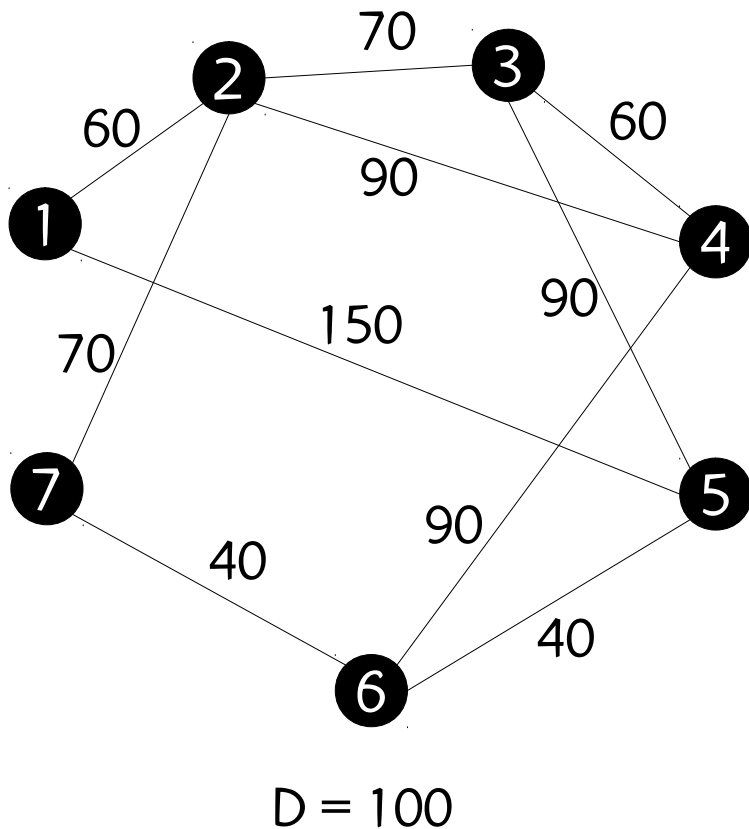
$$d(v[i], v[i+1]) + d(v[i+1], v[i+2]) + \dots + d(v[q-1], v[q]) \leq D$$

# Regenerator location problem (RLP)

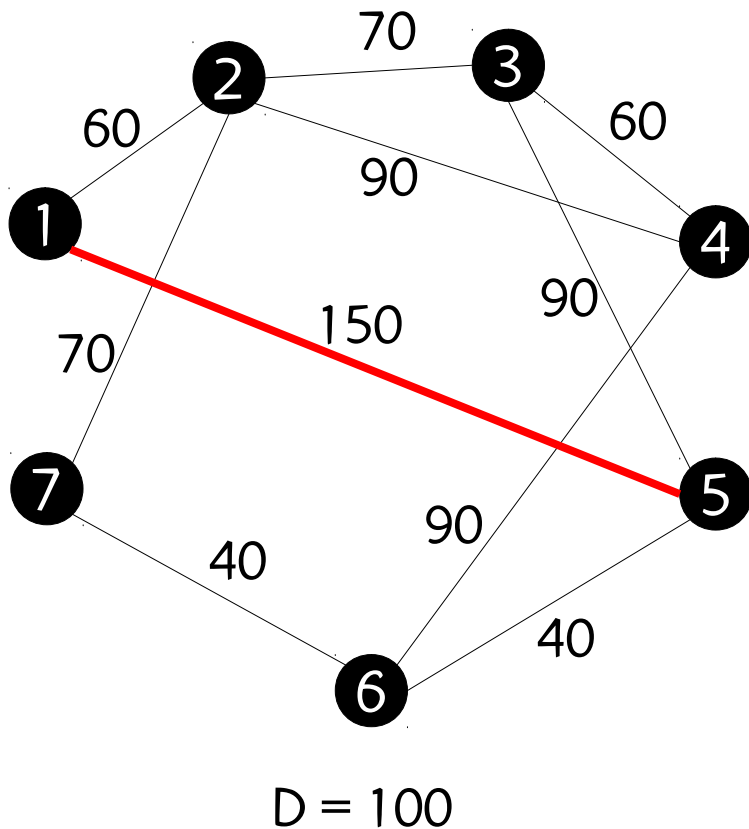
- If total length of path is no more than  $D$ , then path consists of a single path segment
- Otherwise, it consists of one or more segments
  - Regenerators will be located in the internal nodes of the path

# Regenerator location problem (RLP)

7-node graph with  $D = 100$



# Regenerator location problem (RLP)

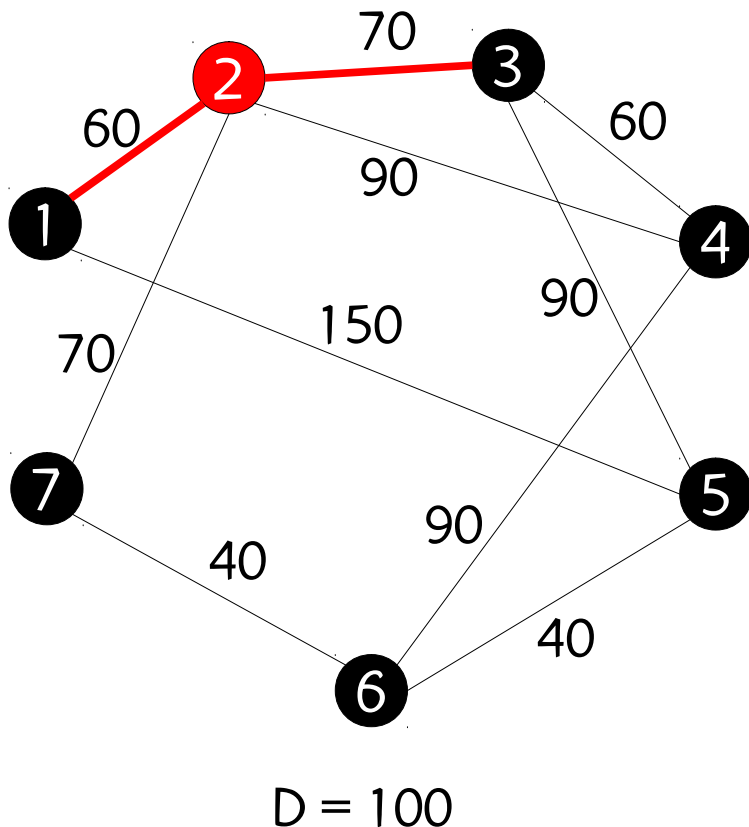


(1) Note that:

- $D(1,5) = 150 > 100 = D$
- Edge (1,5) cannot be part of any path



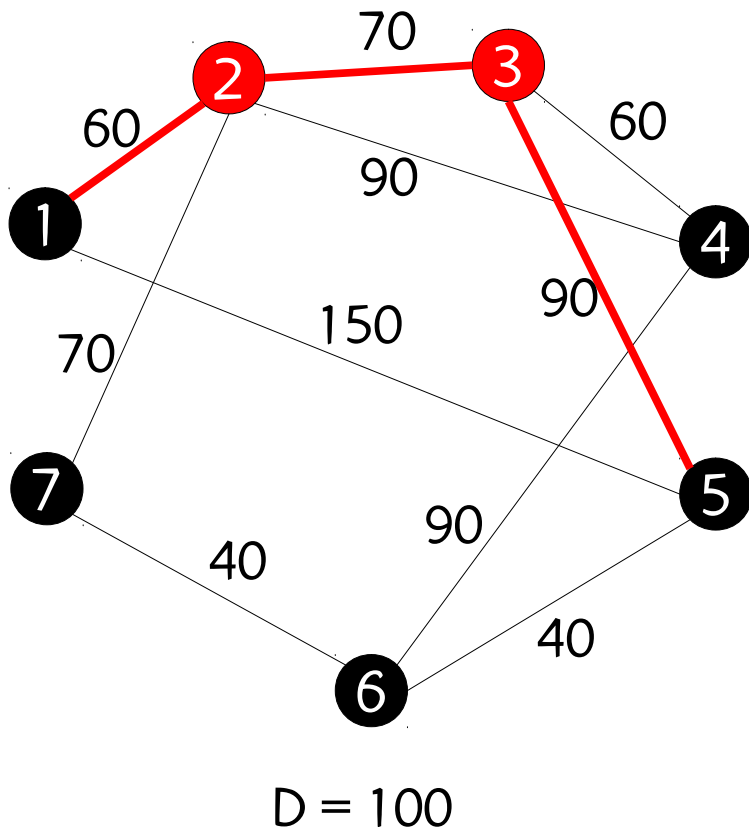
# Regenerator location problem (RLP)



(2) Note that:

- Shortest path from 1 to 3 is  $\{ (1,2), (2,3) \}$  with total length  
 $60 + 70 = 130 > 100 = D$
- Must be decomposed into two path segments  $\{ (1,2) \}$  and  $\{ (2,3) \}$  with a regenerator in node 2

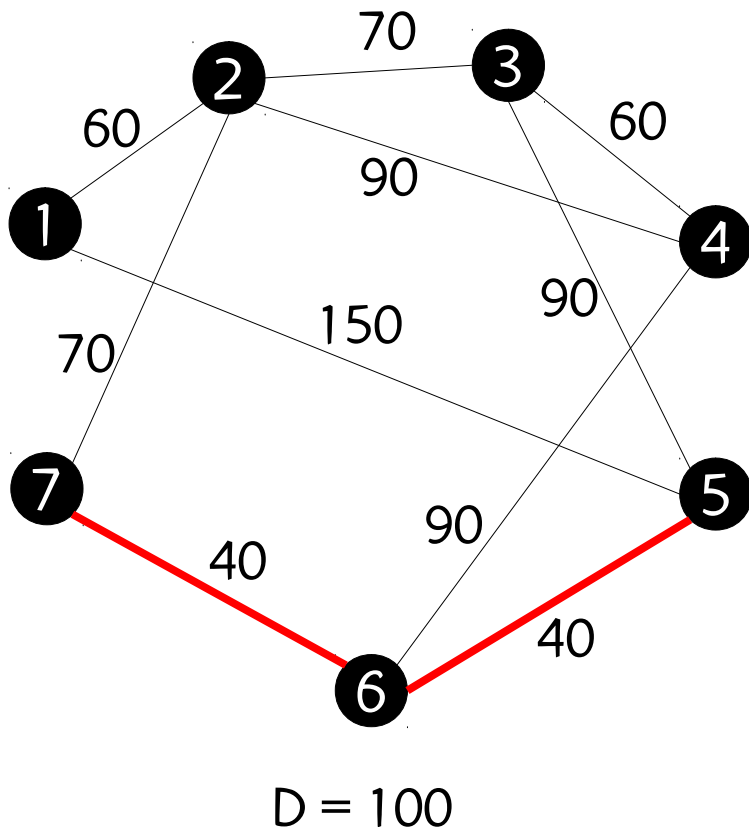
# Regenerator location problem (RLP)



(3) Note that:

- Shortest feasible path from 1 to 5 is  $\{ (1,2), (2,3), (3,5) \}$  with total length  $60 + 70 + 90 = 220 > 100 = D$
- Must be decomposed into three path segments  $\{ (1,2) \}$ ,  $\{ (2,3) \}$ , and  $\{ (3,5) \}$  with regenerators in nodes 2 and 3

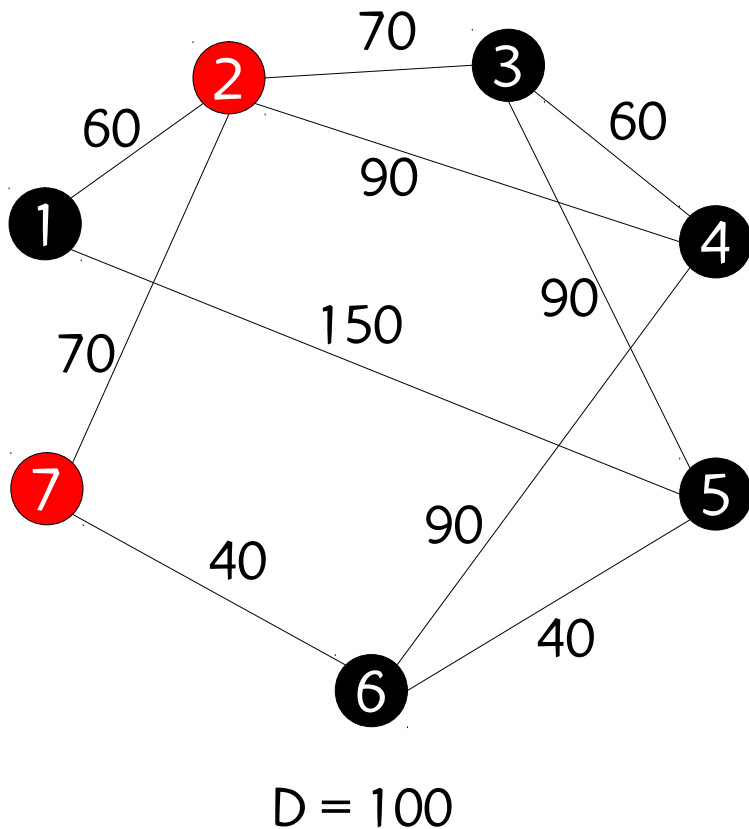
# Regenerator location problem (RLP)



(4) Note that:

- Shortest feasible path from 5 to 7 is  $\{ (5,6), (6,7) \}$  with total length  $40 + 40 = 80 \leq 100 = D$
- No regenerator is needed to connect nodes 5 and 7

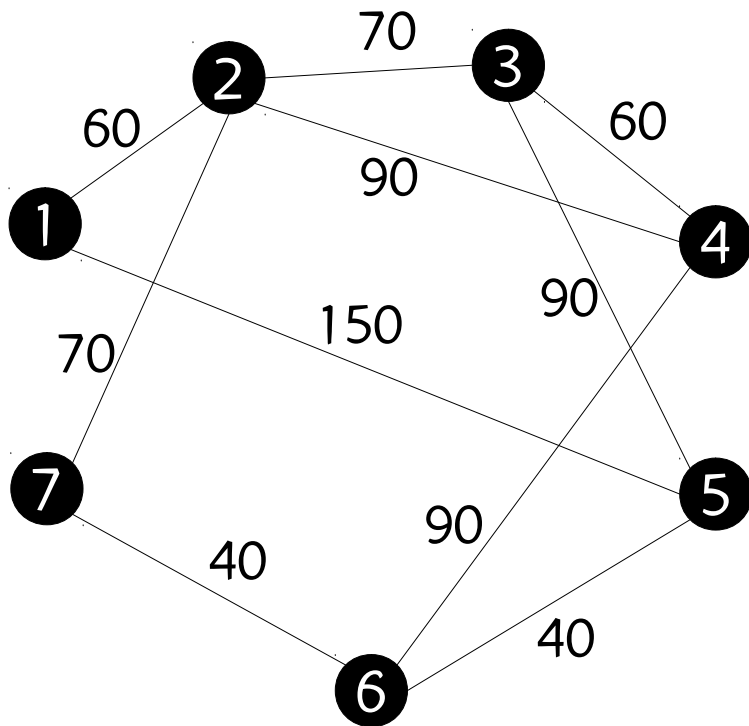
# Regenerator location problem (RLP)



(5) Note that:

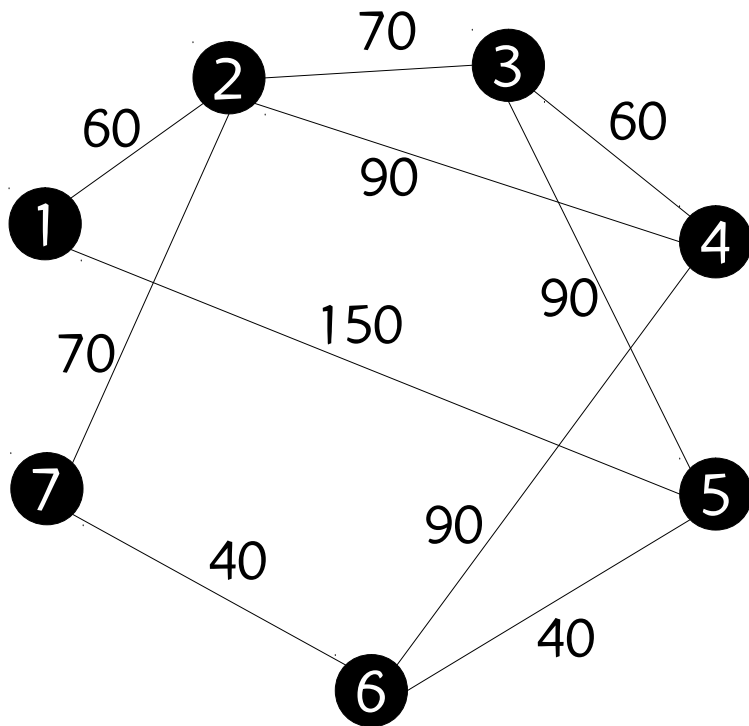
- Placing regenerator in nodes 2 and 7 allows for communication between all pairs of nodes in the graph

# Communication graph (Chen et al., 2010)

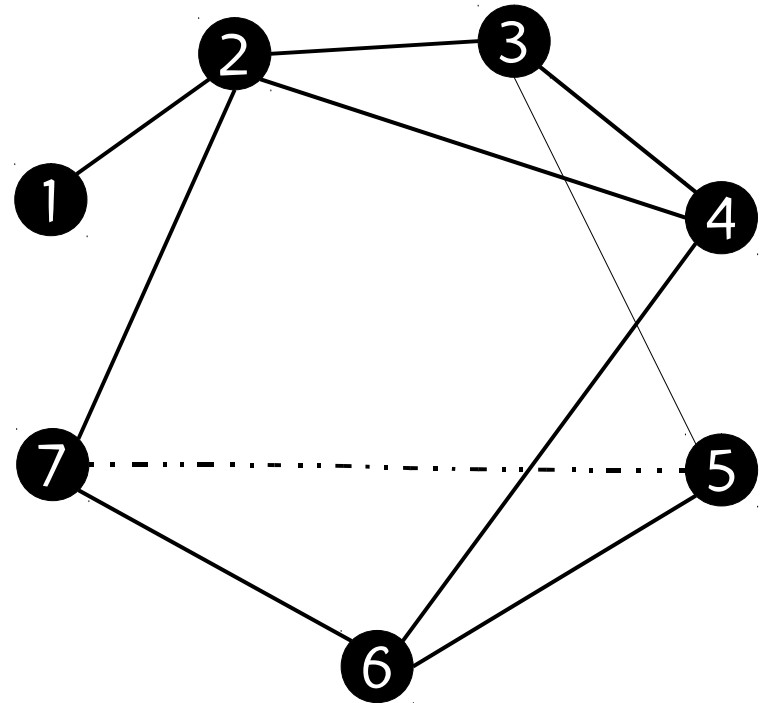


- Given weighted graph  $G$ 
  - Delete all edges having length greater than  $D$
  - For all non-adjacent nodes, add an edge between them of length equal to the corresponding shortest path in  $G$  if it is less than  $D$
  - Disregard all length info

# Communication graph (Chen et al., 2010)



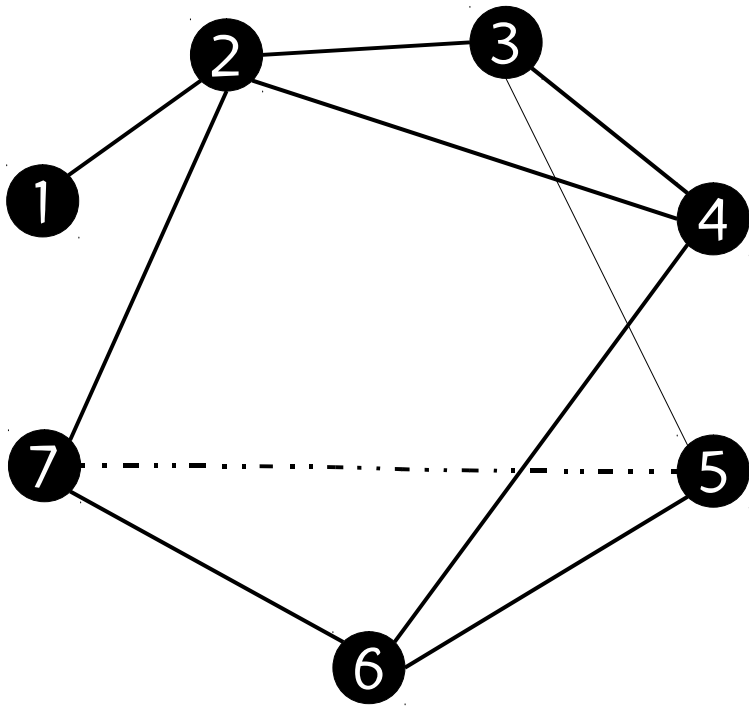
$G = (V, E)$



$M = (V, E')$

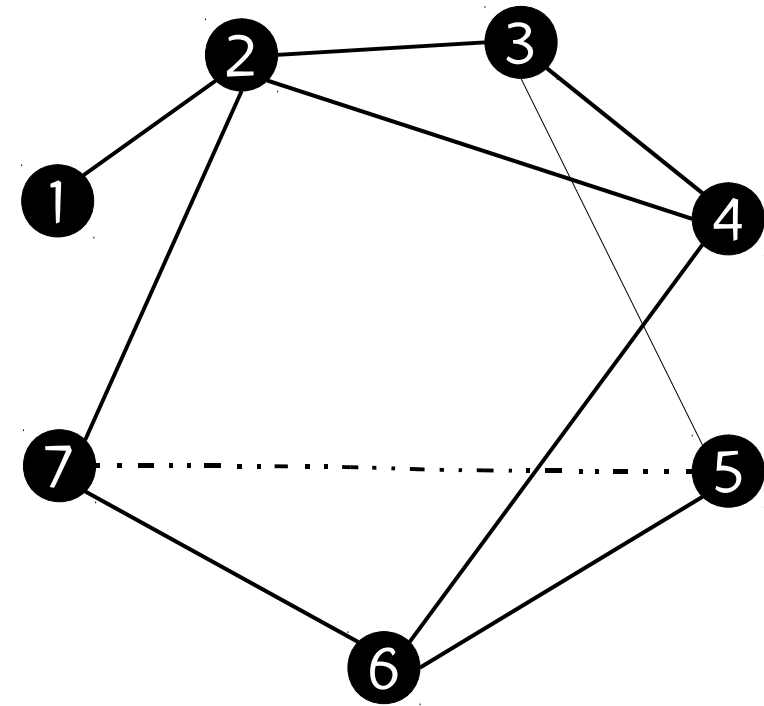
# Communication graph (Chen et al., 2010)

- If  $M$  is complete, then there is no need for regenerators



$$M = (V, E')$$

# Communication graph (Chen et al., 2010)

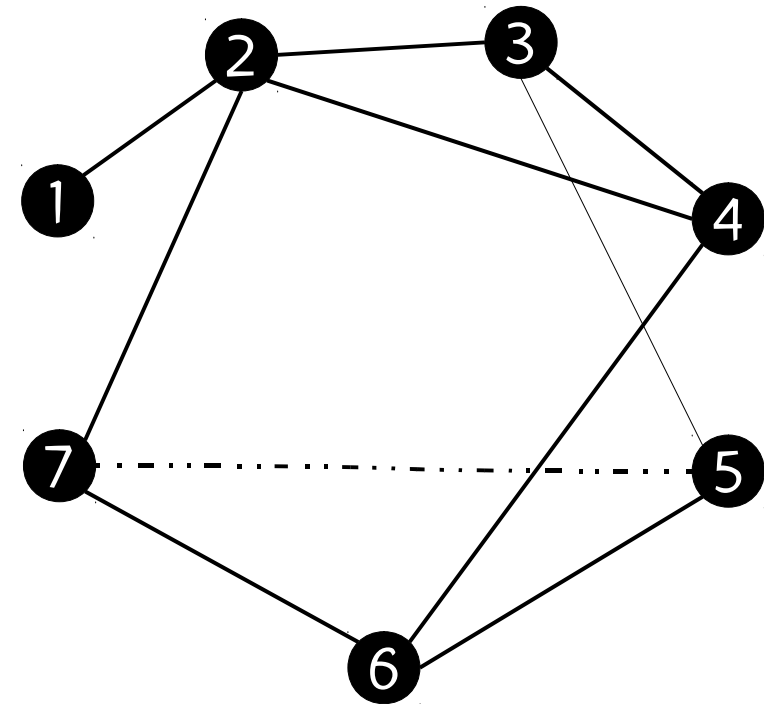


$M = (V, E')$

- If  $M$  is complete, then there is no need for regenerators
- If  $M$  is not connected, then the problem is infeasible



# Communication graph (Chen et al., 2010)

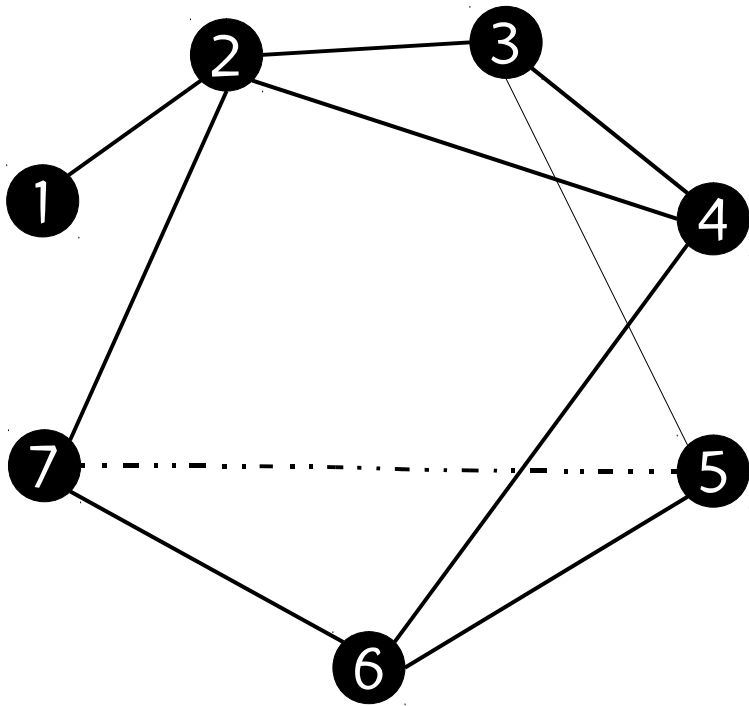


$M = (V, E')$

- If  $M$  is complete, then there is no need for regenerators
- If  $M$  is not connected, then the problem is infeasible
- Otherwise, one or more regenerators are needed

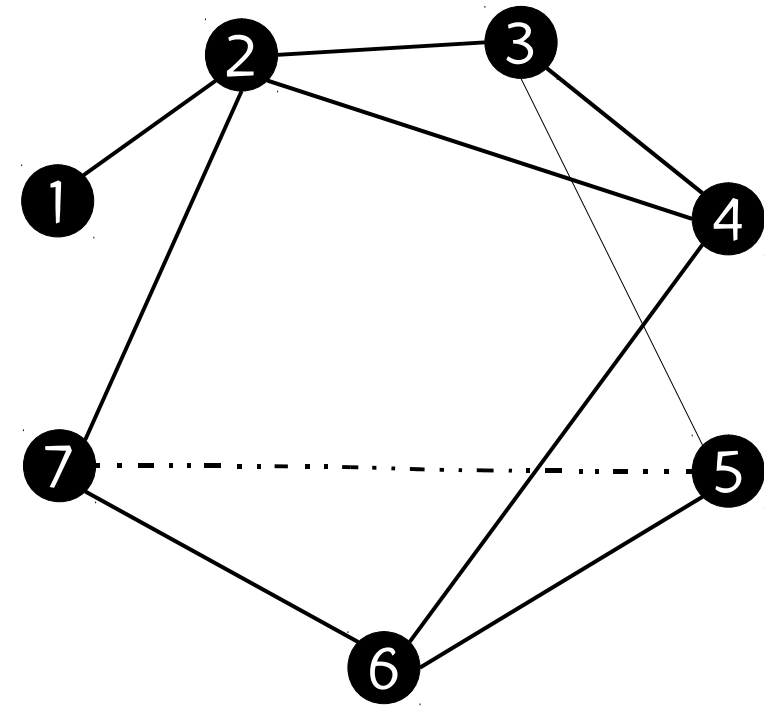
# Greedy algorithm (Chen et al., 2010)

- Works on communication graph  $M$



$$M = (V, E')$$

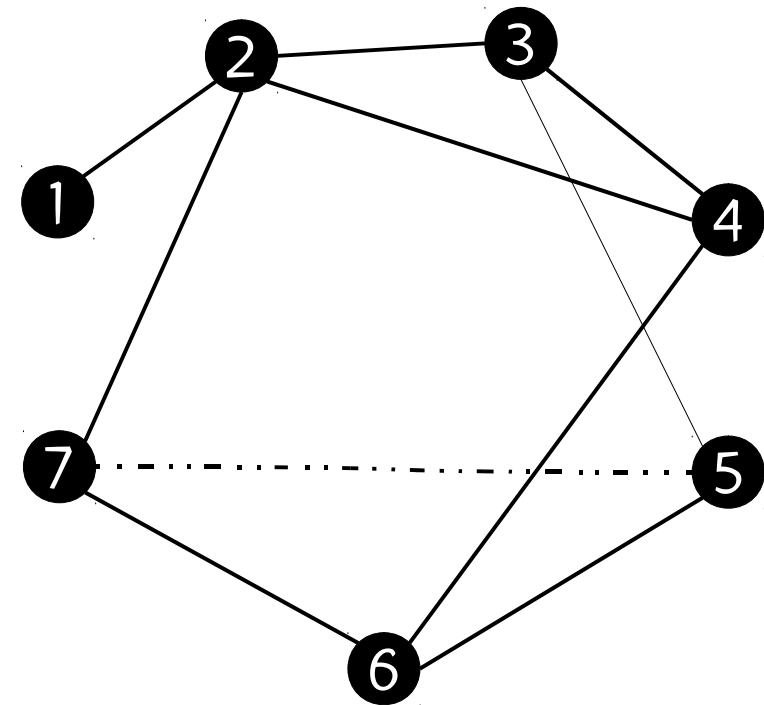
# Greedy algorithm (Chen et al., 2010)



$M = (V, E')$

- Works on communication graph  $M$
- Input: set of nodes not directly connected (NDC) in  $M$  and builds a set  $R$  of regenerator nodes

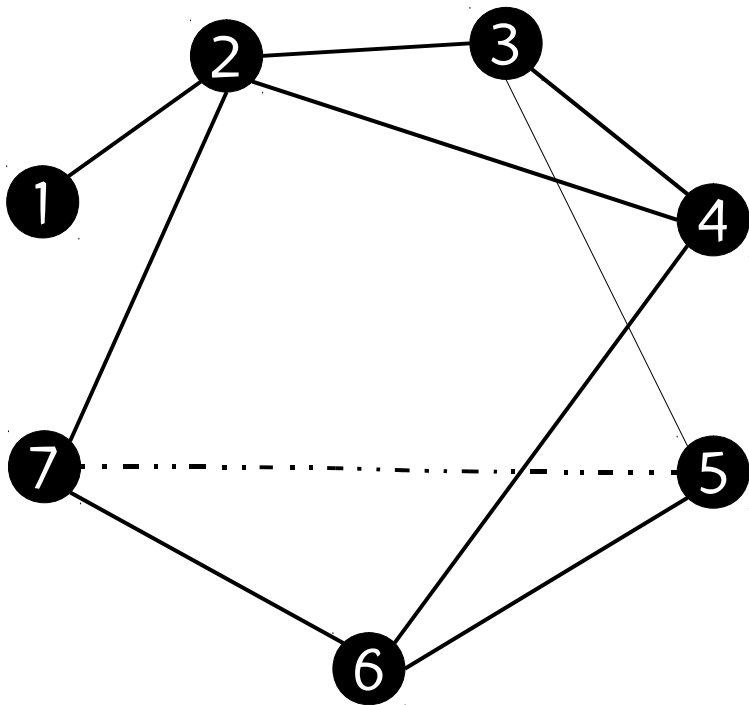
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$$M = (V, E')$$

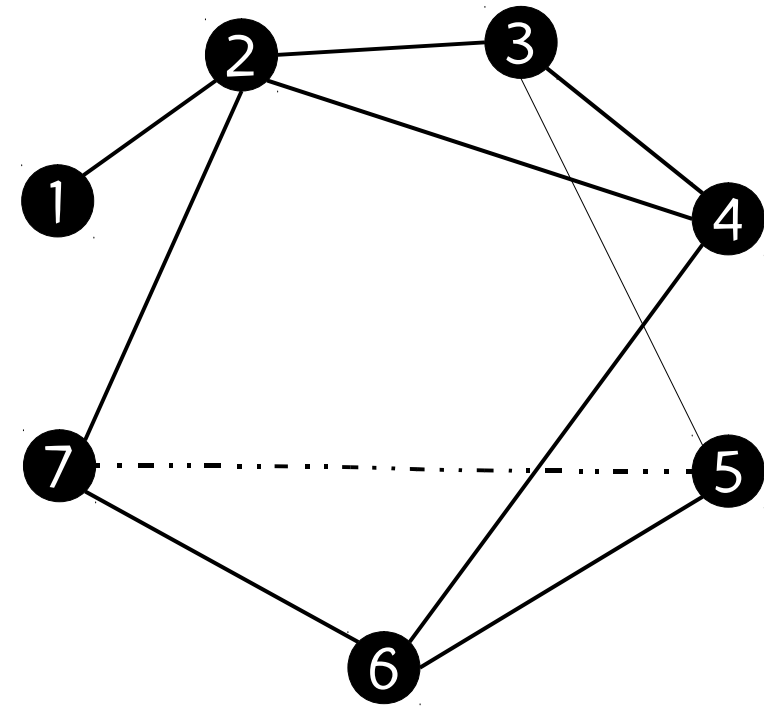
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- At each step the procedure determines a node  $u^*$  whose inclusion in  $R$  enables the connection of the largest number  $g(u^*)$  of yet unconnected pairs  $X(u^*)$  in  $M$

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- At each step the procedure determines a node  $u^*$  whose inclusion in  $R$  enables the connection of the largest number  $g(u^*)$  of yet unconnected pairs  $X(u^*)$  in  $M$
- Node  $u^*$  is added to  $R$  and  $M$  is updated

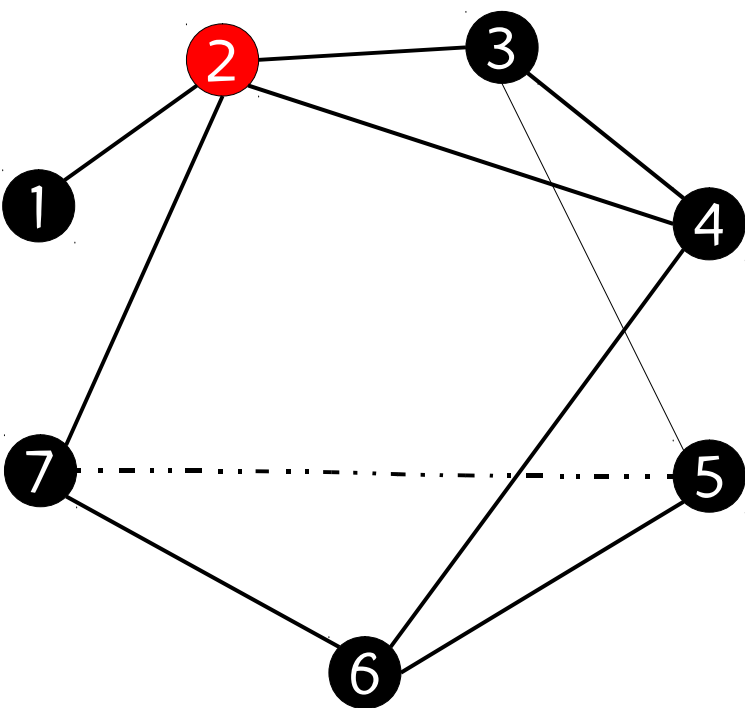
# Greedy algorithm (Chen et al., 2010)



$M = (V, E')$

u	$X(u)$	$g(u)$
1	$\emptyset$	0
2	$\{ (1,3), (1,4), (1,7), (3,7), (4,7) \}$	5
3	$\{ (4,5), (2,5) \}$	2
4	$\{ (2,6), (3,6) \}$	2
5	$\{ (3,7), (3,6) \}$	2
6	$\{ (4,7), (4,5) \}$	2
7	$\{ (2,6), (2,5) \}$	2

# Greedy algorithm (Chen et al., 2010)

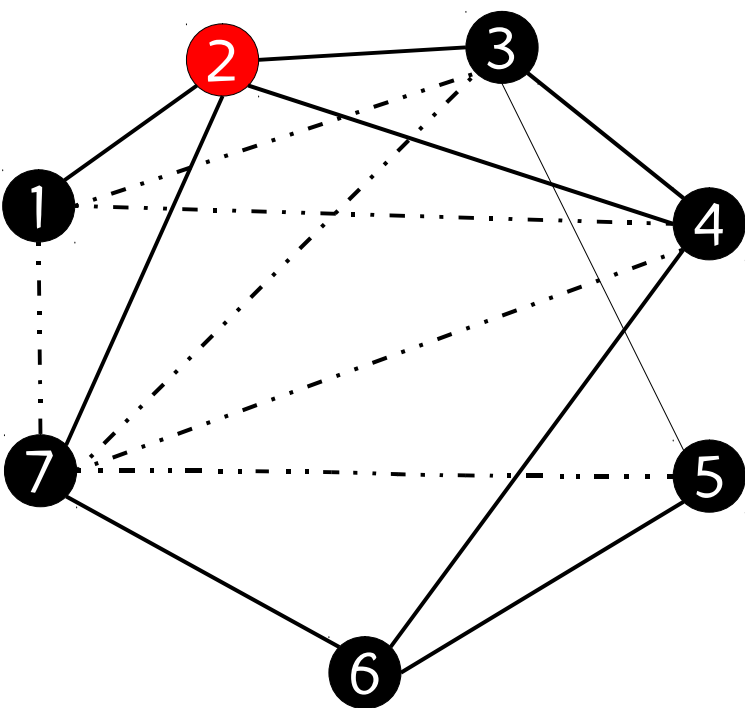


$M = (V, E')$

Add regenerator to node 2

u	$X(u)$	$g(u)$
1	$\emptyset$	0
2	$\{ (1,3),(1,4),(1,7),(3,7), (4,7) \}$	5
3	$\{ (4,5),(2,5) \}$	2
4	$\{ (2,6),(3,6) \}$	2
5	$\{ (3,7),(3,6) \}$	2
6	$\{ (4,7),(4,5) \}$	2
7	$\{ (2,6),(2,5) \}$	2

# Greedy algorithm (Chen et al., 2010)



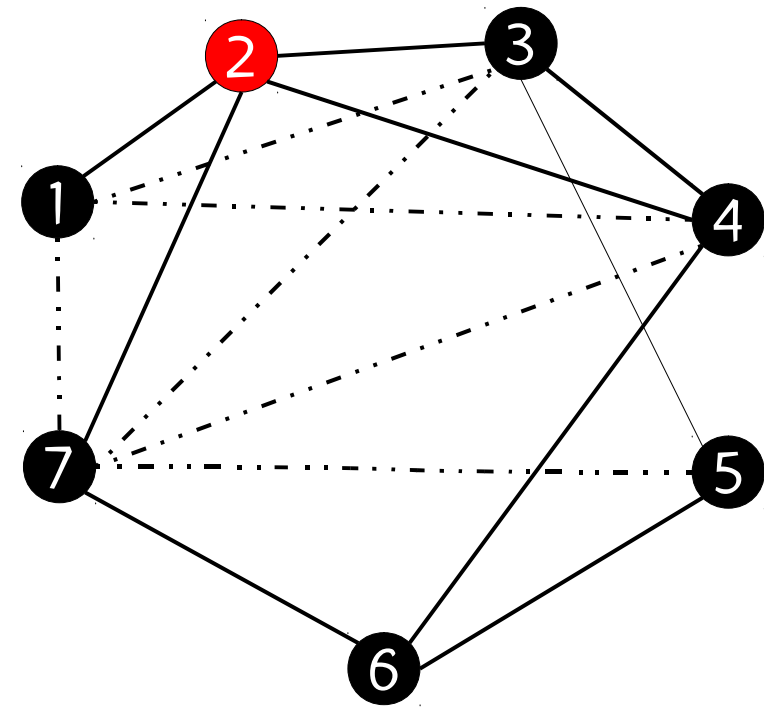
$M = (V, E')$

Update M to account for regenerator in node 2

u	X(u)	g(u)
1	$\emptyset$	0
2	$\{ (1,3),(1,4),(1,7),(3,7), (4,7) \}$	5
3	$\{ (4,5),(2,5) \}$	2
4	$\{ (2,6),(3,6) \}$	2
5	$\{ (3,7),(3,6) \}$	2
6	$\{ (4,7),(4,5) \}$	2
7	$\{ (2,6),(2,5) \}$	2



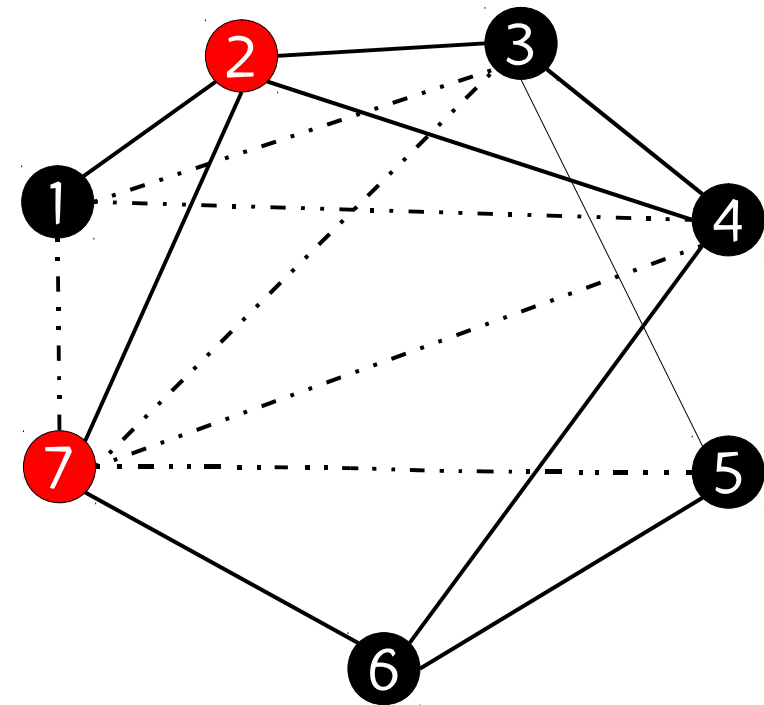
# Greedy algorithm (Chen et al., 2010)



$M = (V, E')$

u	$X(u)$	$g(u)$
1	$\emptyset$	0
-	-	-
3	$\{ (1,5), (2,5), (4,5) \}$	3
4	$\{ (1,6), (2,6), (3,6) \}$	3
5	$\{ (3,6) \}$	1
6	$\{ (4,5) \}$	1
7	$\{ (1,5), (1,6), (2,5), (2,6), (3,6), (4,5) \}$	6

# Greedy algorithm (Chen et al., 2010)

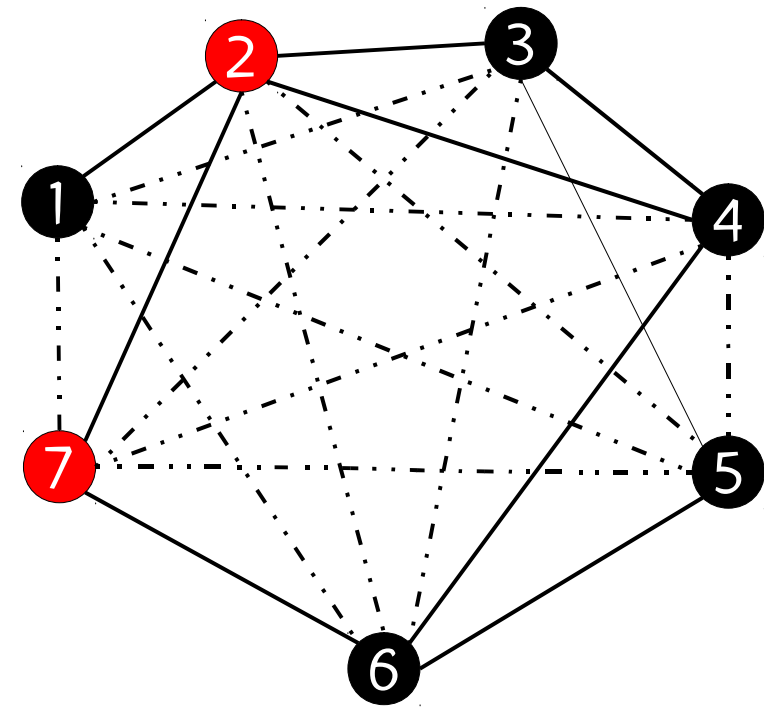


$M = (V, E')$

u	$X(u)$	$g(u)$
1	$\emptyset$	0
-	-	-
3	$\{ (1,5), (2,5), (4,5) \}$	3
4	$\{ (1,6), (2,6), (3,6) \}$	3
5	$\{ (3,6) \}$	1
6	$\{ (4,5) \}$	1
<b>7</b>	<b><math>\{ (1,5), (1,6), (2,5), (2,6), (3,6), (4,5) \}</math></b>	<b>6</b>

Add regenerator to node 7

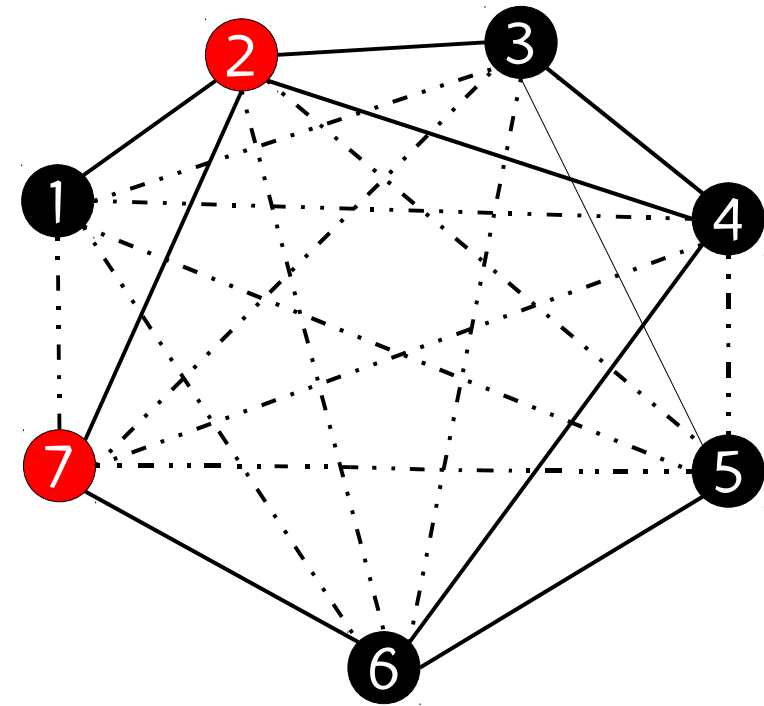
# Greedy algorithm (Chen et al., 2010)



$M = (V, E')$

u	$X(u)$	$g(u)$
1	$\emptyset$	0
-	-	-
3	$\{ (1,5), (2,5), (4,5) \}$	3
4	$\{ (1,6), (2,6), (3,6) \}$	3
5	$\{ (3,6) \}$	1
6	$\{ (4,5) \}$	1
<b>7</b>	<b><math>\{ (1,5), (1,6), (2,5), (2,6), (3,6), (4,5) \}</math></b>	<b>6</b>

# Greedy algorithm (Chen et al., 2010)



$$M = (V, E')$$

Since  $M$  is complete, all pairs can communicate and solution  $R = \{2, 7\}$

# BRKGA for the regenerator location problem

# Encoding

Solutions are encoded as vectors  $Y$  of  $n = |V|$  random keys, each in the real interval  $[0,1)$

Random key  $Y[i]$  corresponds to node  $i \in V$

# Decoding

Takes as input a communication graph  $M = (V, E')$   
and a vector of random keys  $Y$

Outputs a set of regenerator nodes  $R \subseteq V$

# Decoding

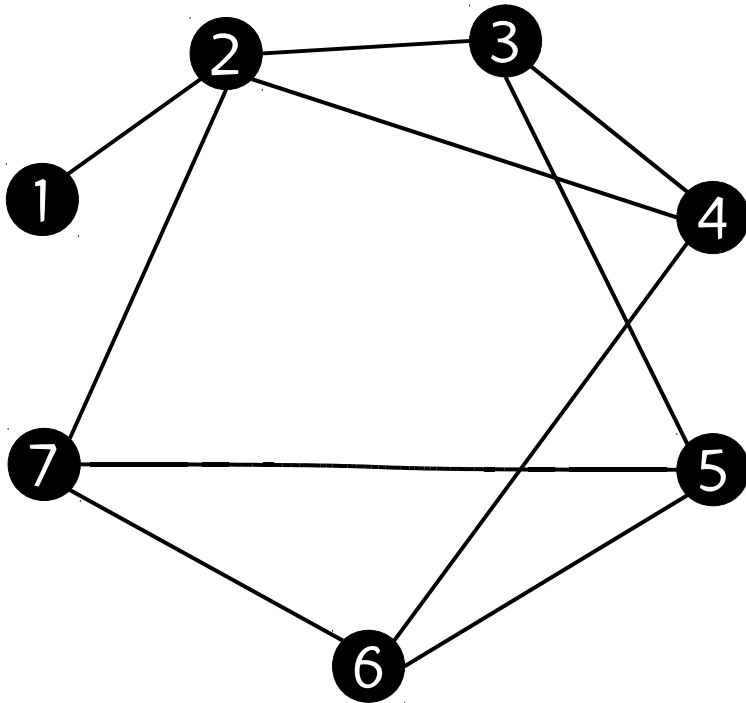
Takes as input a communication graph  $M = (V, E')$   
and a vector of random keys  $Y$

Outputs a set of regenerator nodes  $R \subseteq V$

Sorting  $Y$  implies an ordering of  $V$



# Decoding



$M = (V, E')$

Scan  $V$  in order implied by  $Y$

while some pair in  $V \times V$  cannot communicate ( $M = (V, E')$  is not complete):

Add next vertex  $v$  in order into  $R$

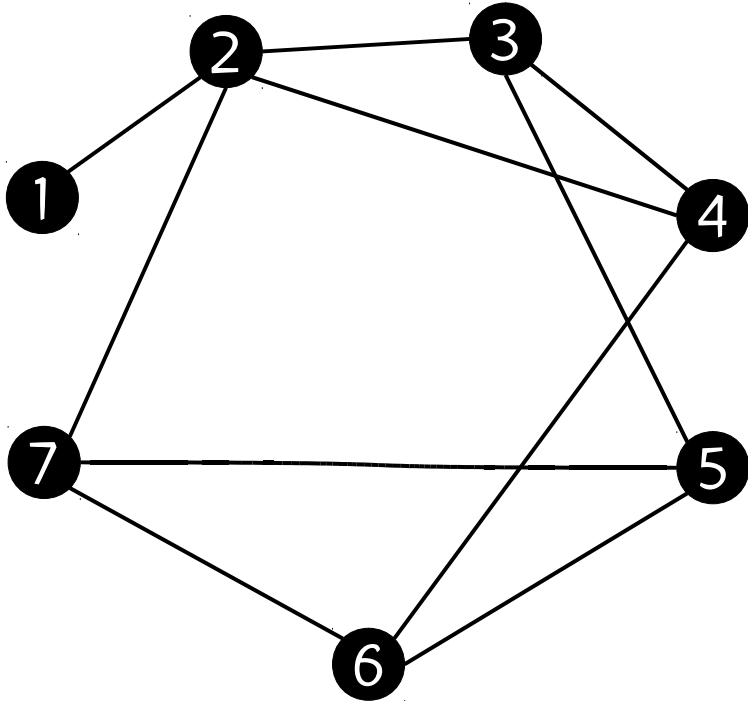
Compute set  $X$  of pairs that do not communicate that would if  $v$  becomes a regenerator

Add  $X$  to  $E'$

end while

return  $R$

# Decoding



$X = (0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7)$

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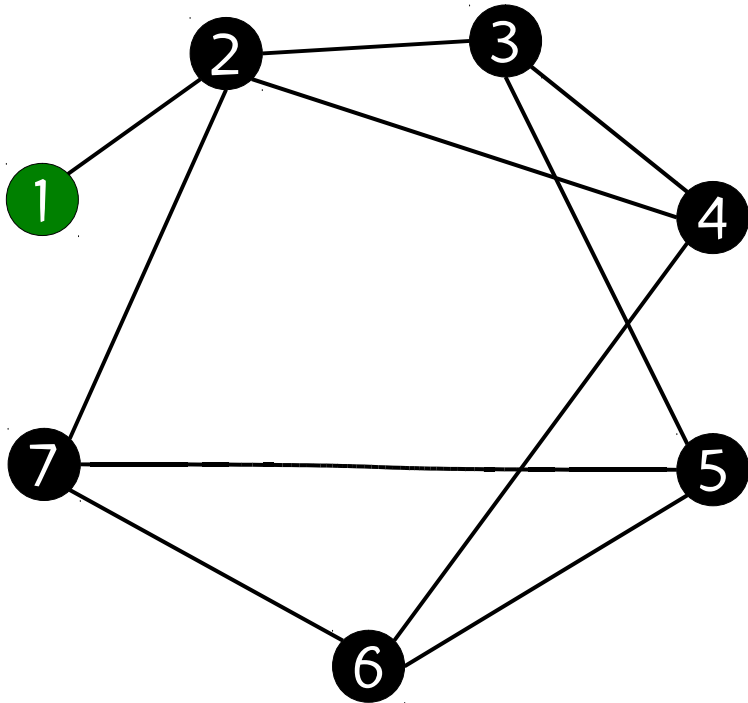
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Add  $X$  to  $E'$

end while

return  $R$

# Decoding



$X = (0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7)$



$i = 1$

Scan  $V$  in order implied by  $Y$

while some pair in  $V \times V$  cannot  
communicate ( $M = (V, E')$  is not  
complete):

Add next vertex  $v$  in order into  $R$

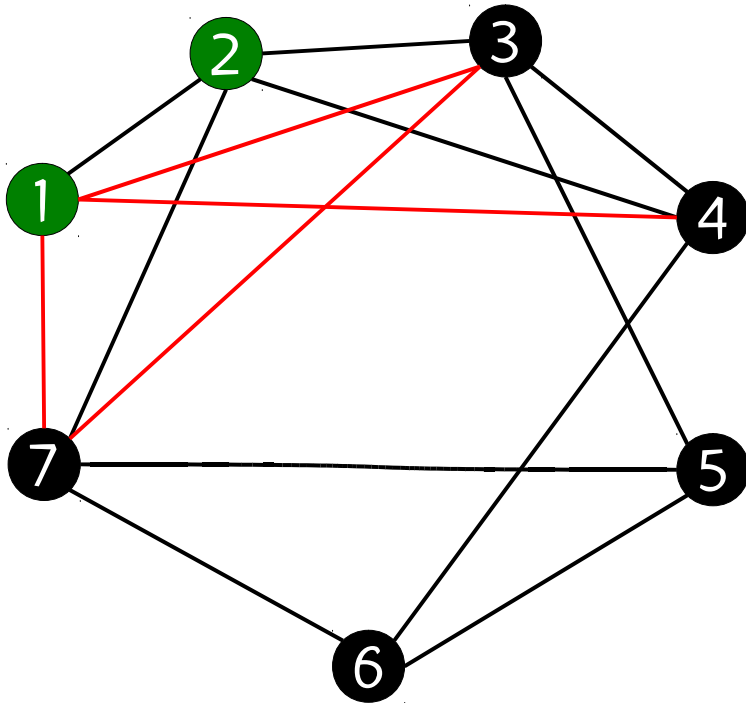
Compute set  $X$  of pairs that do  
not communicate that would if  
 $v$  becomes a regenerator

Add  $X$  to  $E'$

end while

return  $R$

# Decoding



$X = (0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7)$



$i = 2$

Scan  $V$  in order implied by  $Y$

while some pair in  $V \times V$  cannot  
communicate ( $M = (V, E')$  is not  
complete):

Add next vertex  $v$  in order into  $R$

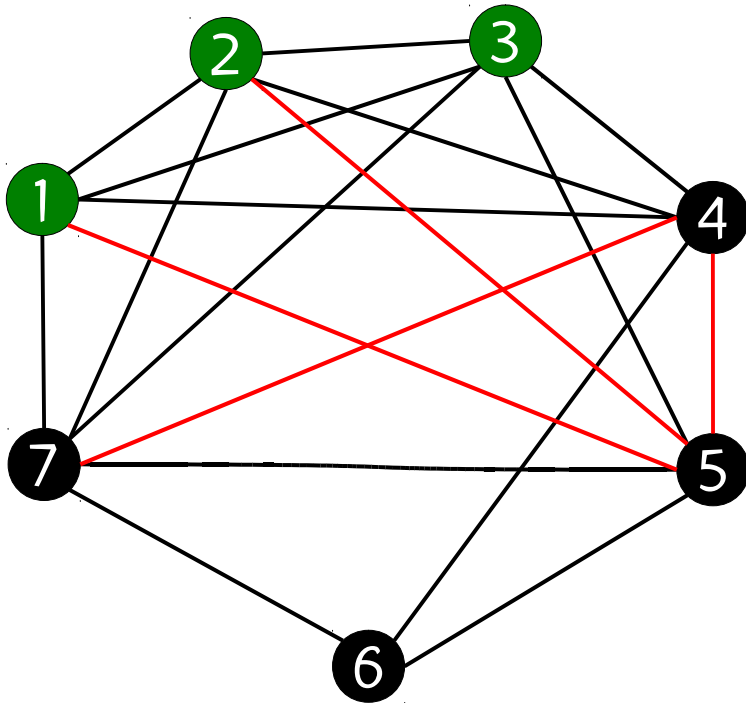
Compute set  $X$  of pairs that do  
not communicate that would if  
 $v$  becomes a regenerator

Add  $X$  to  $E'$

end while

return  $R$

# Decoding



$X = (0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7)$



$i = 3$

Scan  $V$  in order implied by  $Y$

while some pair in  $V \times V$  cannot communicate ( $M = (V, E')$  is not complete):

Add next vertex  $v$  in order into  $R$

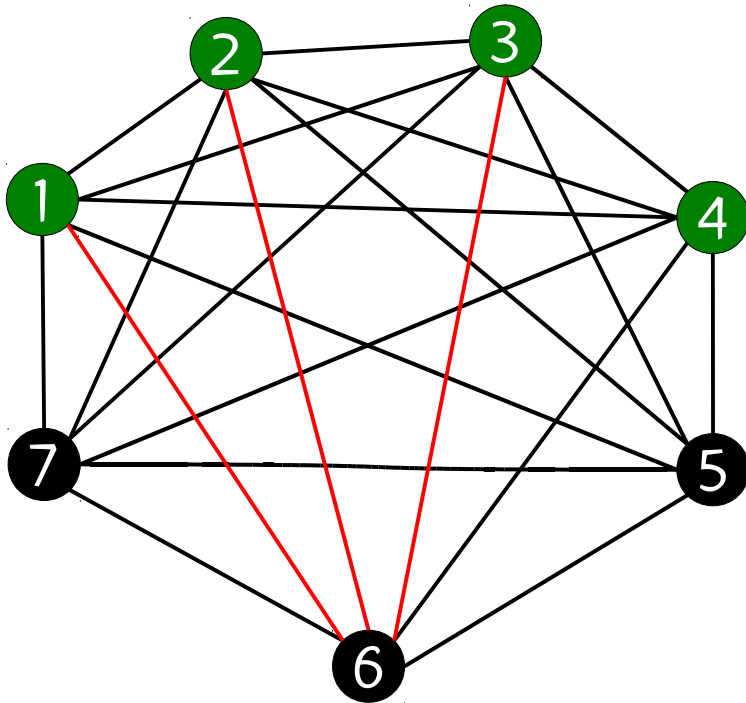
Compute set  $X$  of pairs that do not communicate that would if  $v$  becomes a regenerator

Add  $X$  to  $E'$

end while

return  $R$

# Decoding



$X = (0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7)$



$i = 4$

Scan  $V$  in order implied by  $Y$

while some pair in  $V \times V$  cannot  
communicate ( $M = (V, E')$  is not  
complete):

Add next vertex  $v$  in order into  $R$

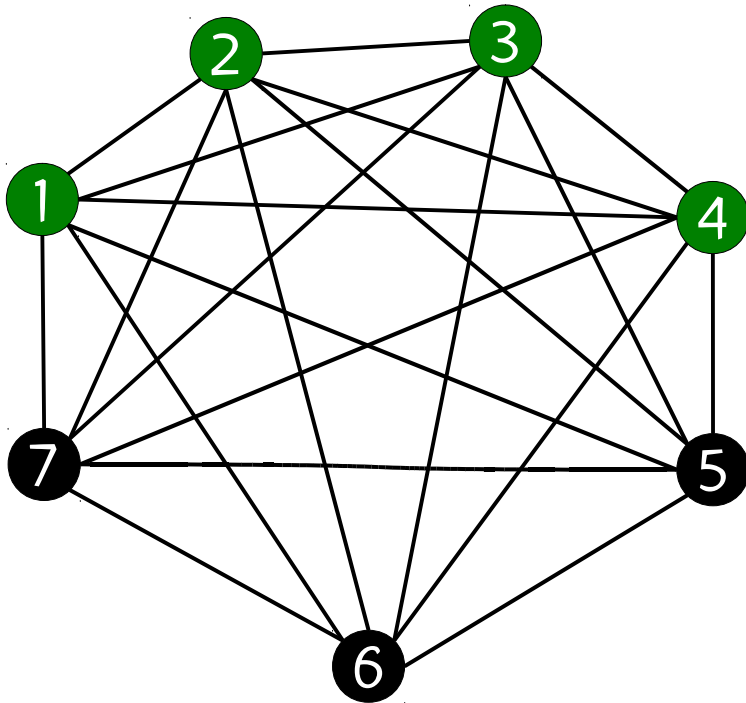
Compute set  $X$  of pairs that do  
not communicate that would if  
 $v$  becomes a regenerator

Add  $X$  to  $E'$

end while

return  $R$

# Decoding



M is complete!

$R = \{ 1, 2, 3, 4 \}$

Scan  $V$  in order implied by  $Y$

while some pair in  $V \times V$  cannot communicate ( $M = (V, E')$  is not complete):

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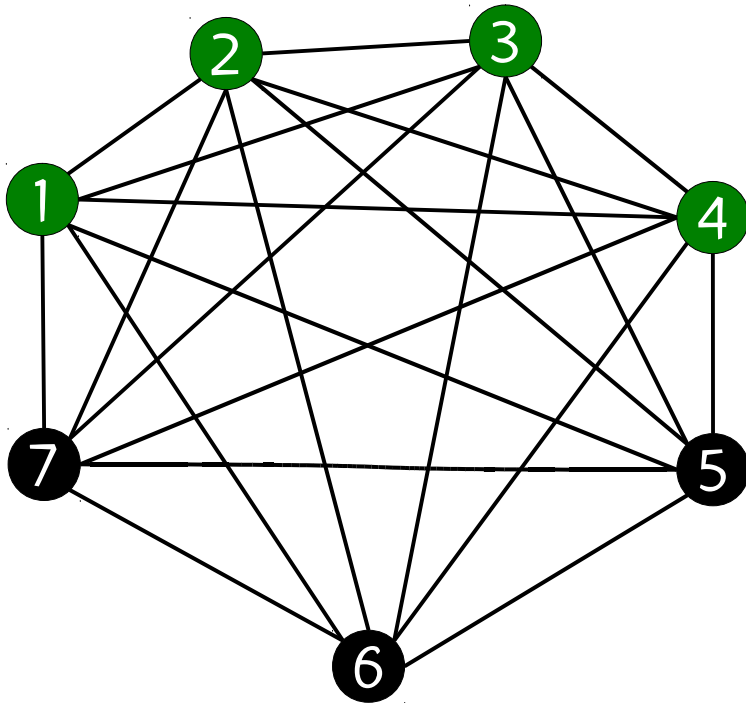
Compute set  $X$  of pairs that do not communicate that would if  $v$  becomes a regenerator

Add  $X$  to  $E'$

end while

return  $R$

# Decoding



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Add next vertex  $v$  in order into  $R$

Compute set  $X$  of pairs that do not communicate that would if  $v$  becomes a regenerator

Add  $X$  to  $E'$

end while  
return  $R$  ← local search



# Routing and wavelength assignment in optical networks

# Routing and wavelength assignment (RWA)

- Objective: Route a set of connections (called lightpaths) and assign a wavelength to each of them.

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- Two lightpaths may use the same wavelength, provided they do not share any common link.
- Connections whose paths share a common link in the network are assigned to different wavelengths (wavelength clash constraint).
- If no wavelength converters are available, the same wavelength must be assigned along the entire route (wavelength continuity constraint).

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  - Asymmetric traffic matrices and bidirectional links.
  - NP-hard (Erlebach and Jansen, 2001)

# Routing and wavelength assignment (RWA)

## Connections

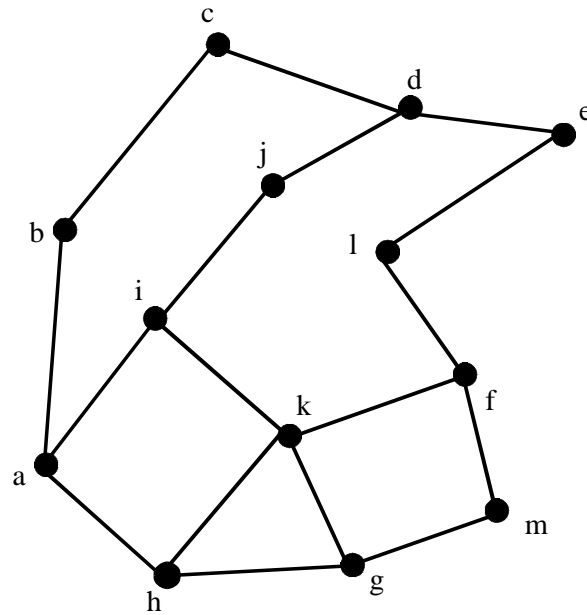
$c \leftrightarrow m$

$d \leftrightarrow b$

$e \leftrightarrow h$

$a \leftrightarrow e$

$b \leftrightarrow f$



Connections: (a ↔ e) (b ↔ f) (c ↔ m) (d ↔ b) (e ↔ h)



# Heuristic of N. Skorin-Kapov (EJOR, 2007)

- Associates the min-RWA with the bin packing problem.
  - Wavelengths are associated with bins.
  - The capacity of a bin is defined as its number of arcs.
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  - Best Fit (BF)
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  - Best Fit Decreasing (BFD)



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  - **Best Fit Decreasing (BFD):** state of the art heuristic for RWA

# Efficient implementation of BFD-RWA



T.F. Noronha, M.G.C.R., and C.C. Ribeiro,  
“Efficient implementations of heuristics for routing and  
wavelength assignment,” in “Experimental Algorithms,”  
7th International Workshop (WEA 2008), C.C. McGeoch  
(Ed.), LNCS, vol. 5038, pp. 169-180, Springer, 2008.

Tech report version:

[http://www.research.att.com/~mgcr/doc/impl\\_rwa\\_heur.pdf](http://www.research.att.com/~mgcr/doc/impl_rwa_heur.pdf)

# BFD-RWA

N. Skorin-Kapov (2007); Noronha, R., and Ribeiro (2008)

- Input:
  - A directed graph  $G$  representing the network topology.
  - A set  $T$  of connection requests.
  - The value  $d$  of the maximum number of arcs in each route. It is set to be the maximum of the square root of the number of links in the network and the diameter of  $G$ .
- Starts with only one copy of  $G$  (called  $G_1$ ).
- Connections are selected according to non-increasing order of the lengths of their shortest paths in  $G_i$ . Ties are broken at random.
- The connection is assigned wavelength  $i$ , and the arcs along path are deleted from  $G_i$ .
- If no existing bin can accommodate the connection with fewer than  $d$  arcs, a new bin is created.

# BRKGA for RWA: GA-RWA



T.F. Noronha, M.G.C.R., and C.C. Ribeiro, “**A biased random-key genetic algorithm for routing and wavelength assignment**,” J. of Global Optimization, vol. 50, pp. 503–518, 2011.

Tech report version:

<http://www.research.att.com/~mgcr/doc/garwa-full.pdf>

# BRKGA for RWA: GA-RWA

Noronha, R., and Ribeiro (2011)

- Encoding of solution: A vector  $X$  of  $|T|$  random keys in the range  $[0,1)$ , where  $T$  is the set of connection request node pairs.

# BRKGA for RWA: GA-RWA

Noronha, R., and Ribeiro (2011)

- Encoding of solution: A vector  $X$  of  $|T|$  random keys in the range  $[0,1)$ , where  $T$  is the set of connection request node pairs.
- Decoding:
  - 1) Sort the connection in set  $T$  in non-increasing order of  $c(i) = SP(i) \times 10 + X[i]$ , for each connection  $i \in T$ .
  - 2) Apply BFD-RWA in the order determined in step 1.

# BRKGA for RWA: GA-RWA

Noronha, R., and Ribeiro (2011)

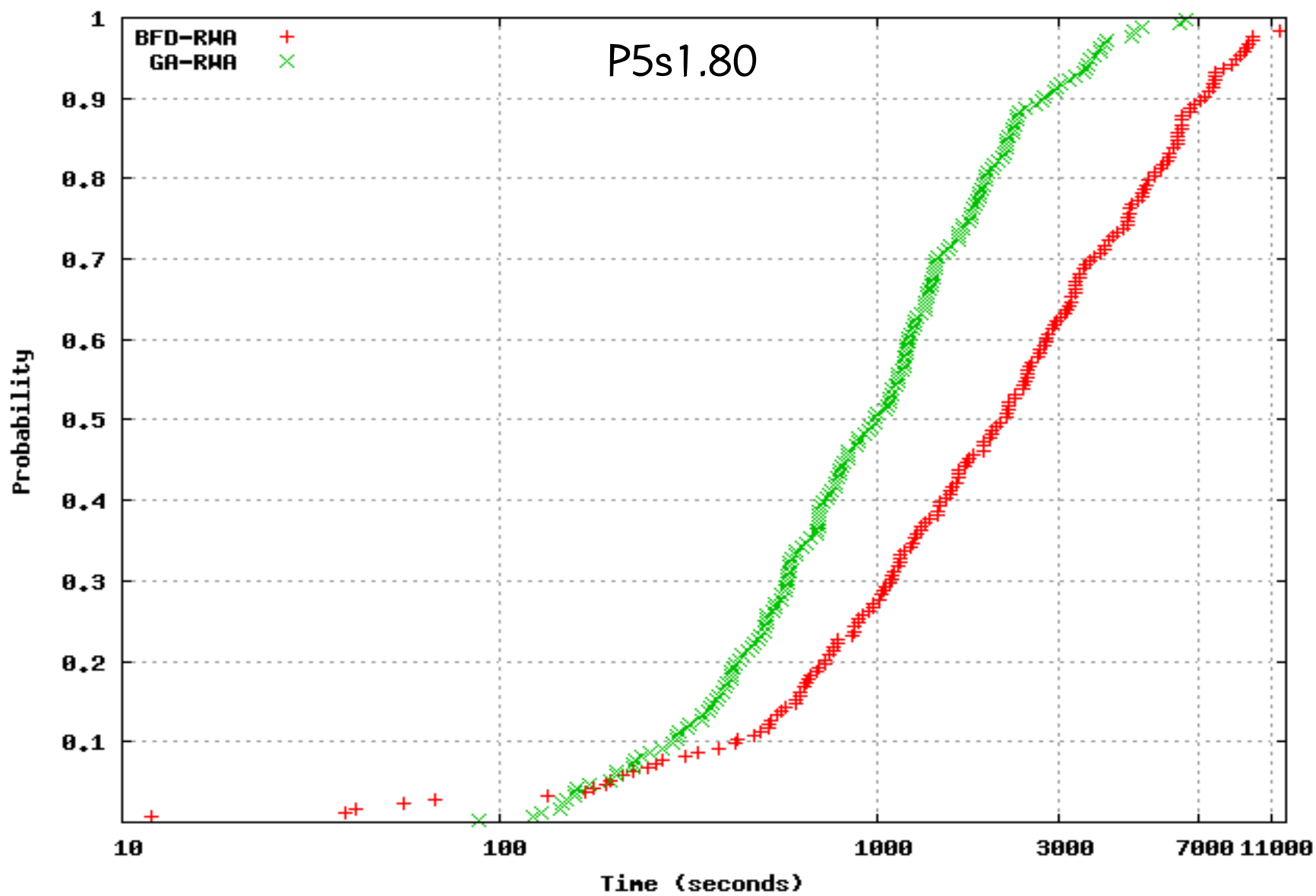
- Encoding of solution: A vector  $X$  of  $|T|$  random keys in the range  $[0,1)$ , where  $T$  is the set of connection request node pairs.
- Decoding:
  - 1) Sort the connection in set  $T$  in non-increasing order of  $c(i) = SP(i) \times 10 + X[i]$ , for each connection  $i \in T$ .
  - 2) Apply BFD-RWA in the order determined in step 1.

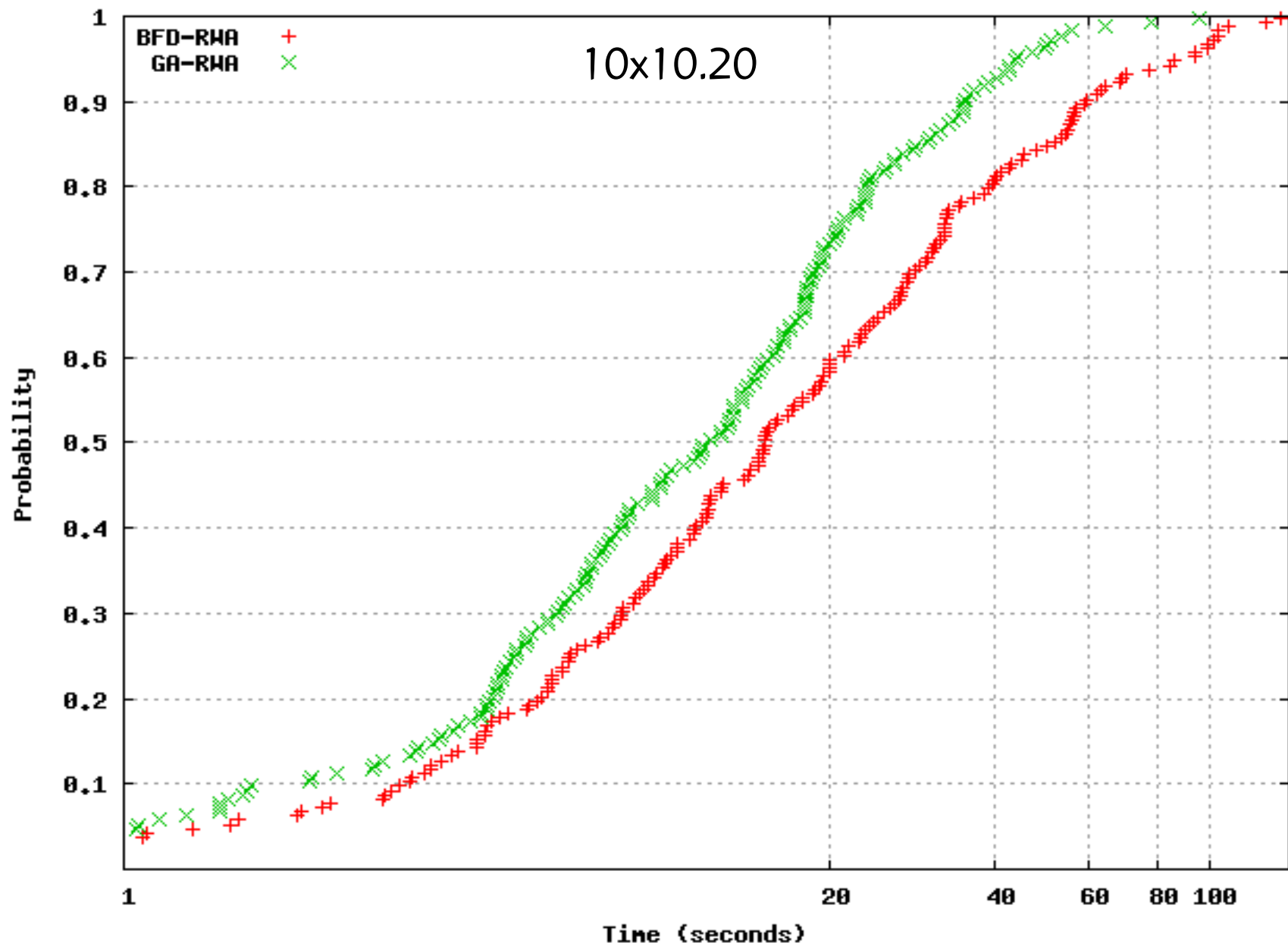
Since there are many ties connection pairs with The same  $SP(i)$  value, in the original algorithm of Skorin-Kapov, ties are broken at random. In the BRKGA, the algorithm “**learns**” how to break ties.

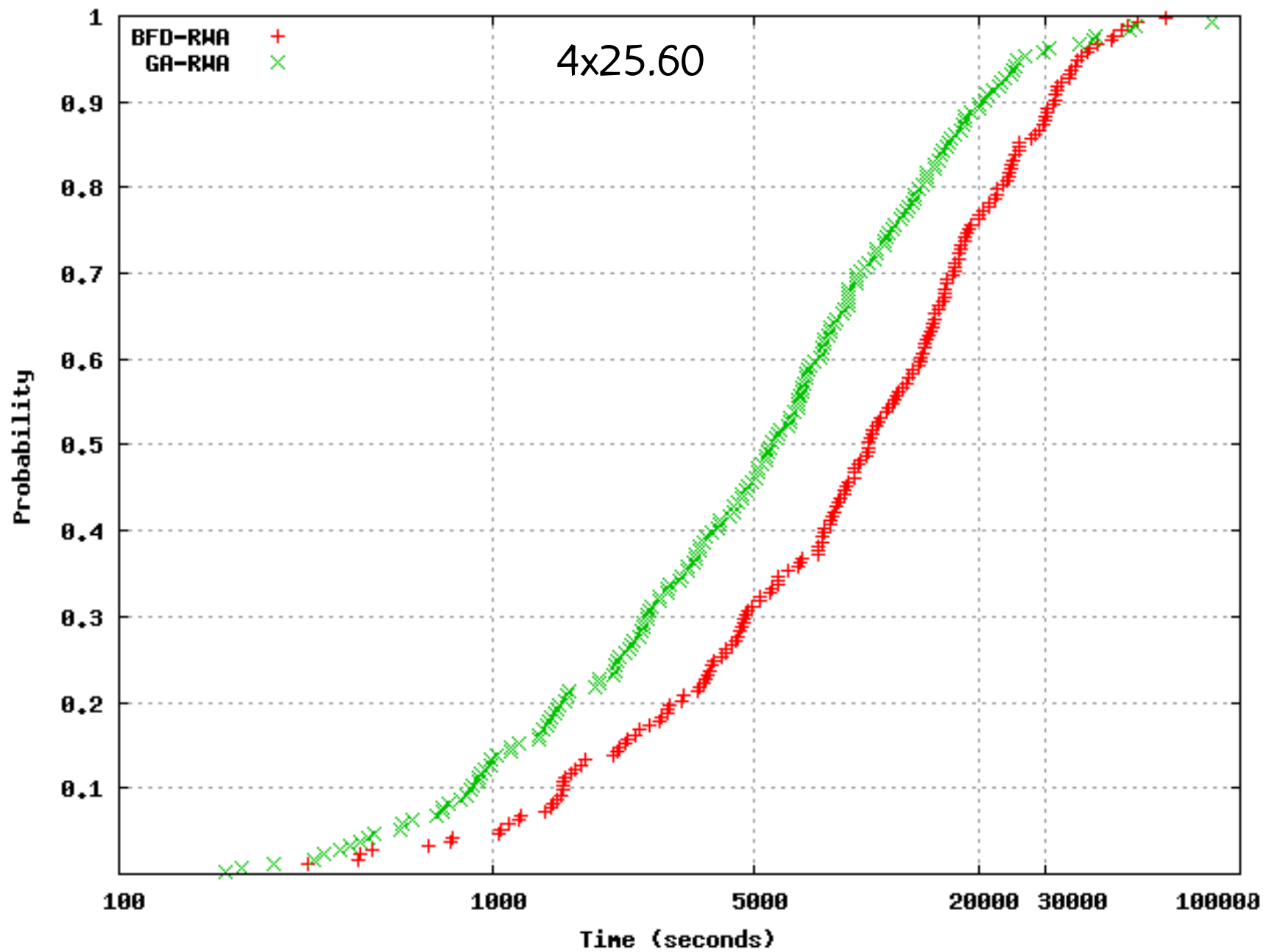
# Experiments

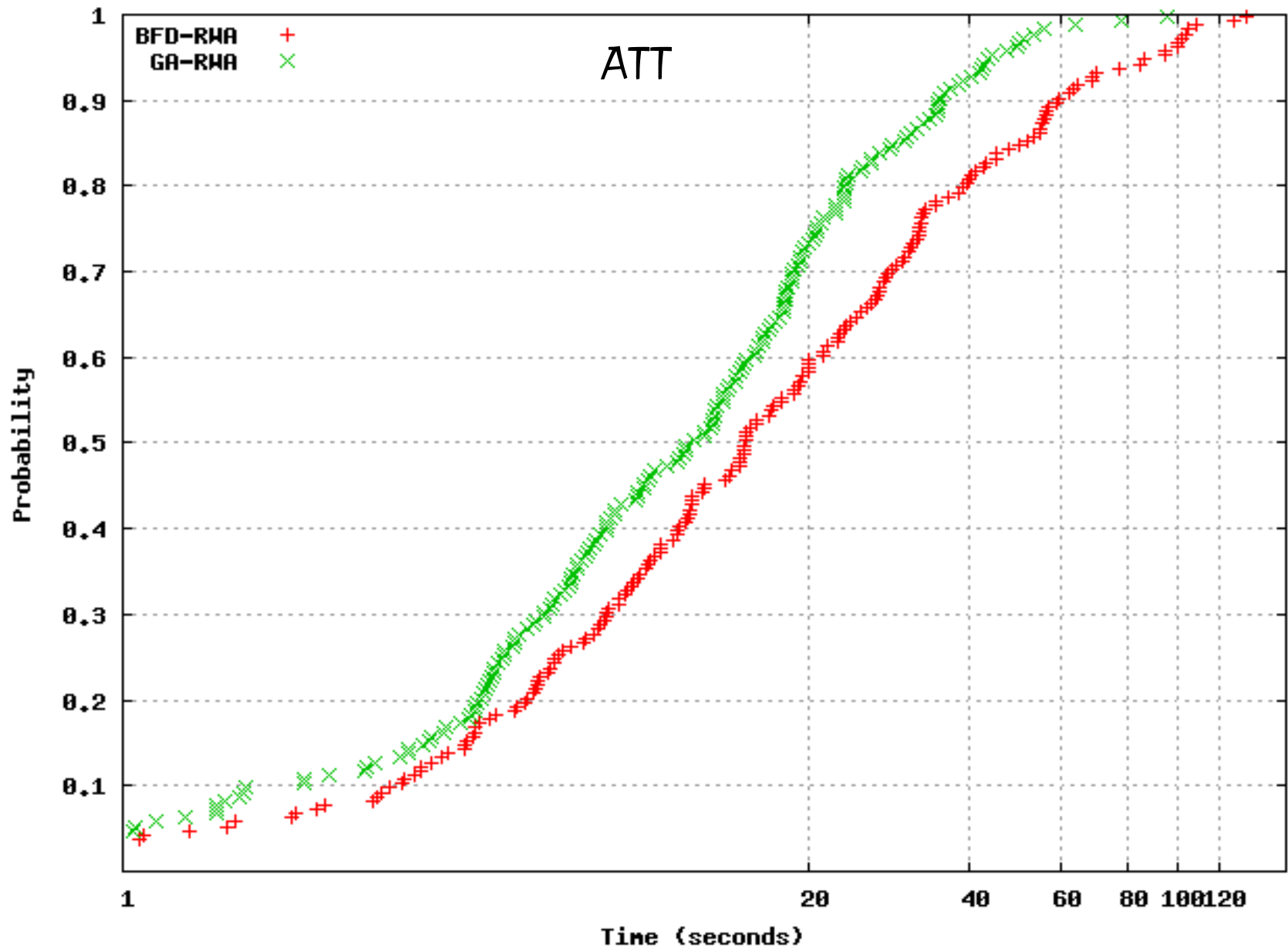
- Compare multi-start version of Skorin-Kapov's heuristic (MS-RWA) with GA-RWA.
- Make 200 independent runs of each heuristic of each heuristic on five instances, stopping when target solution was found (target was set to be best solution found by MS-RWA after 10,000 multi-start iterations).
- Plot CDF (runtime distribution) for each heuristic.

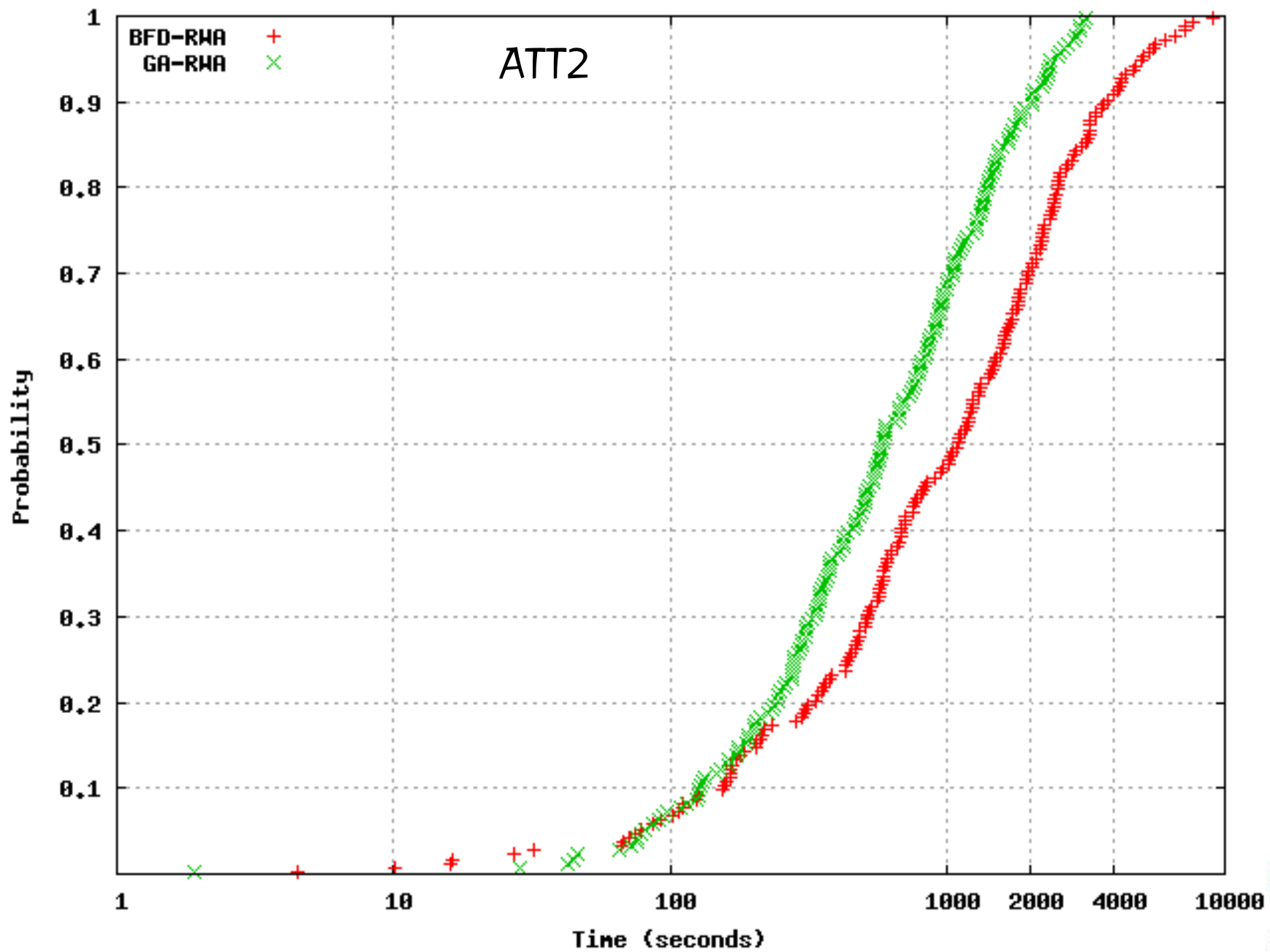












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- BRKGA heuristics are highly parallelizable. Calls to decoder are independent.

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- We have had only a small glimpse at BRKGA applications to problems arising in telecommunications.
- The BRKGAs described in this talk are all state-of-the-art heuristics for these applications
- We are currently working on a number of other applications in telecommunications, including the degree-constrained and the capacitated spanning tree problems and a metropolitan network design problem.



# Thanks!

These slides and all of the papers cited in this talk can be downloaded from my homepage:

<http://www2.research.att.com/~mgcr>